

# Scientific Opportunities with Synchrotron Radiation for Nano-Science

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# Outline



## ❖ Why and How?

- *What we need to learn about nano systems ?*
  - *What kind of tools are necessary ?*
  - *What facilities are available at the synchrotron (ALS) ?*
  - *What facilities need to be developed ?*
- **Understanding complex nano-phenomena require advanced tools**
  - **Close proximity essential for efficiency and timely results**

# Opportunities for nanoscience with soft x-rays @ ALS



## 1. Spectroscopy (In-Situ, real time)

**Absorption/Emission Spectroscopy** (photon-in photon-out, bulk sensitivity, mag/electric field)

**Photoemission Spectroscopy** (photon-in electron-out, surface sensitivity, upto 10 torr)

## 2. Microscopy (sometime combined with spectroscopy)

**Scanning Transmission Microscope** (STXM; Res ~25nm)

**Transmission Imaging Microscope** (XM1/2; Res ~15 nm)

**Photoemission electron microscope** (PEEMII/III; Res ~5-50nm)

**Lensless diffractive imaging** (3D images, Res ~5-10nm)

## 3. Scattering

**Resonant Scattering** (~100-1000 times higher cross section)

**Resonant Inelastic Scattering** (res ~3-4meV @ Mn/Cu 3p edges)

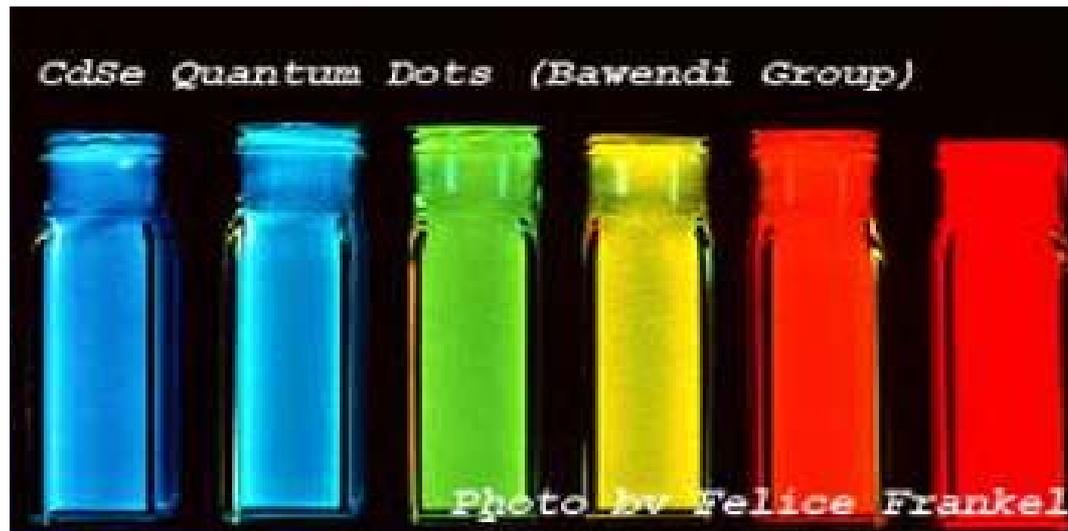
**Coherent (dynamic) Scattering** (time resolution msec to nanosec)

**Small and Wide Angle Scattering** (SAXS/WAXS, soft x-rays provide unique capabilities)

# Spectroscopy



- ❖ Quantum confinement (properties different than constituents)
- ❖ Chemical Bonding (chemical reactivity)
- ❖ Electronic Structure (understanding complexity)

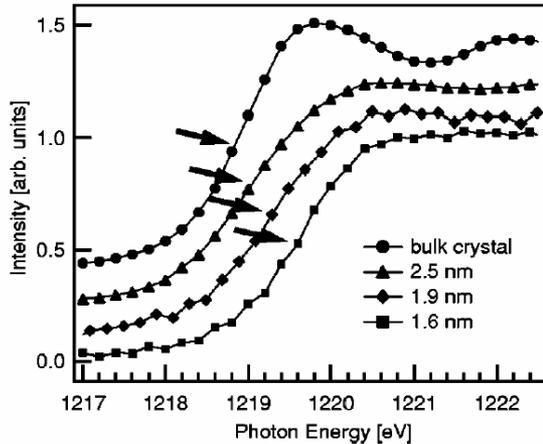


**Crystal size determines color (quantum confinement)**

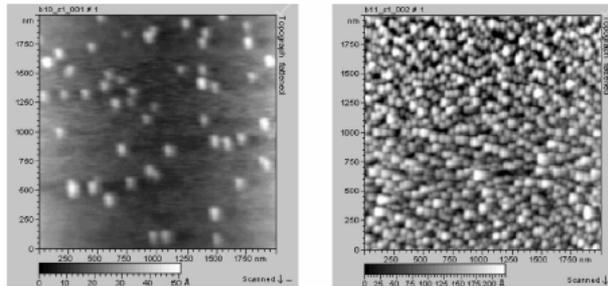
# Quantum-confinement effects and particle-particle interactions in Ge & Si nanocrystals



## Absorption Spectra



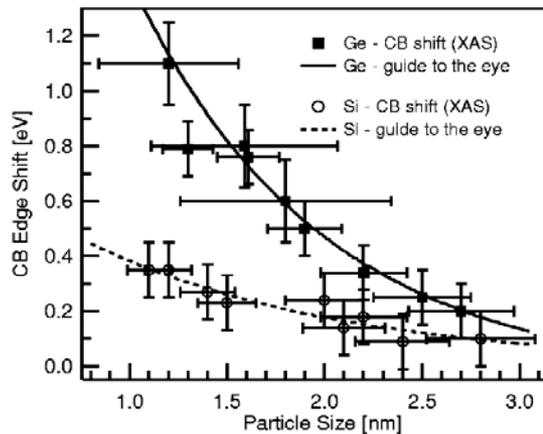
## Atomic force microscope



sub-ML

multilayer

- Ge nanoparticles condensed out of the gas-phase
- Particle-size determined post-situ by AFM
- Blue-shift of Ge L-edge correlated with decreasing cluster size
- Stronger CB confinement effects observed in Ge than in Si nanoparticles.



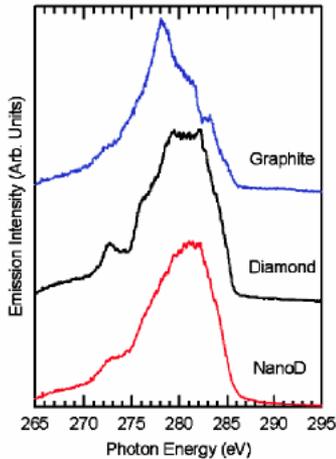
## Conduction band shift vs particle size

C. Bostedt et al. *APL* **84**, 4056 (2004) — LLNL PRT  
C. Bostedt et al. *APL* **85**, 5334 (2004)

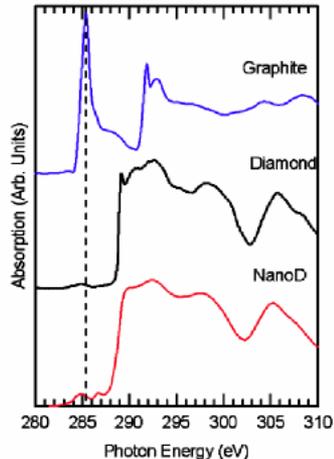
# Surface Reconstructions in Nanodiamonds



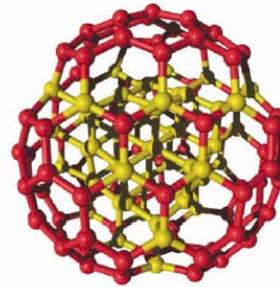
## Emission



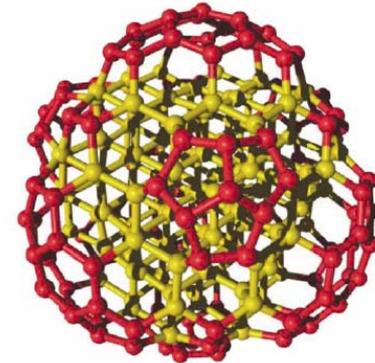
## Absorption



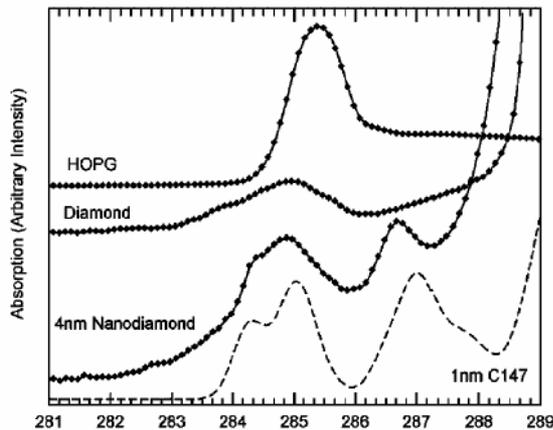
Core - Diamond; Surface - buckyballs



$C_{147}$  ( $\approx 1.2$  nm)



$C_{275}$  ( $\approx 1.4$  nm)

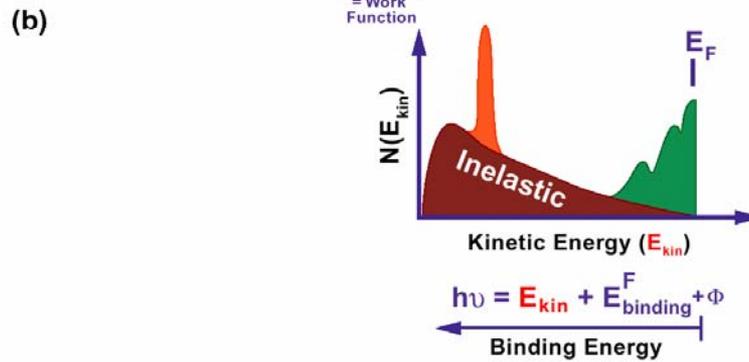
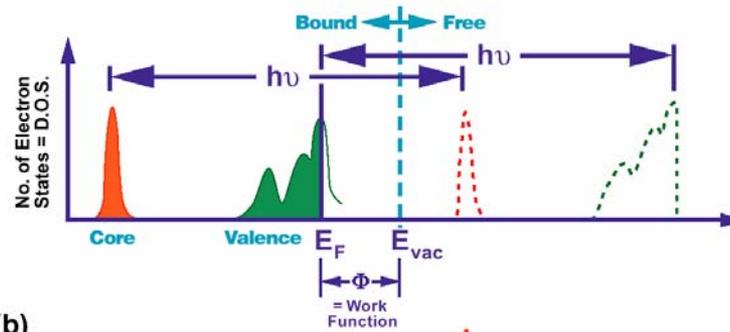
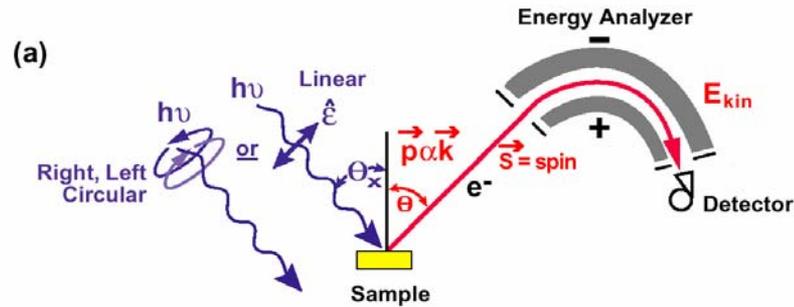


- Pre-edge Carbon K-edge structures observed in diamond clusters different from bulk diamond.
- Fullerene-like reconstructions determined at surfaces of diamond clusters by *ab initio* calculations compatible with pre-edge XAS

Need of theory

J.-Y. Raty, G. Galli, C. Bostedt, T.W. Buuren & L.J. Terminello,  
PRL 90, 4056 (2003) — LLNL PRT

# Photoelectron Spectroscopy

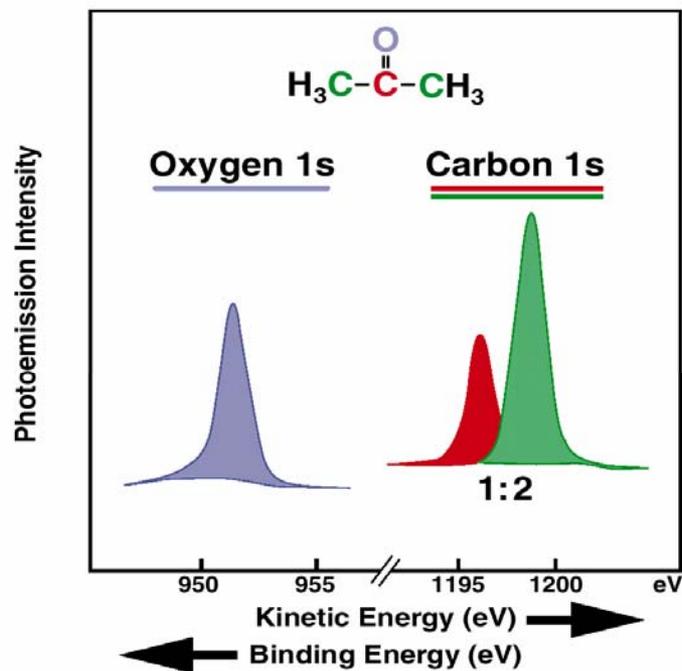


# Photoelectron Spectroscopy

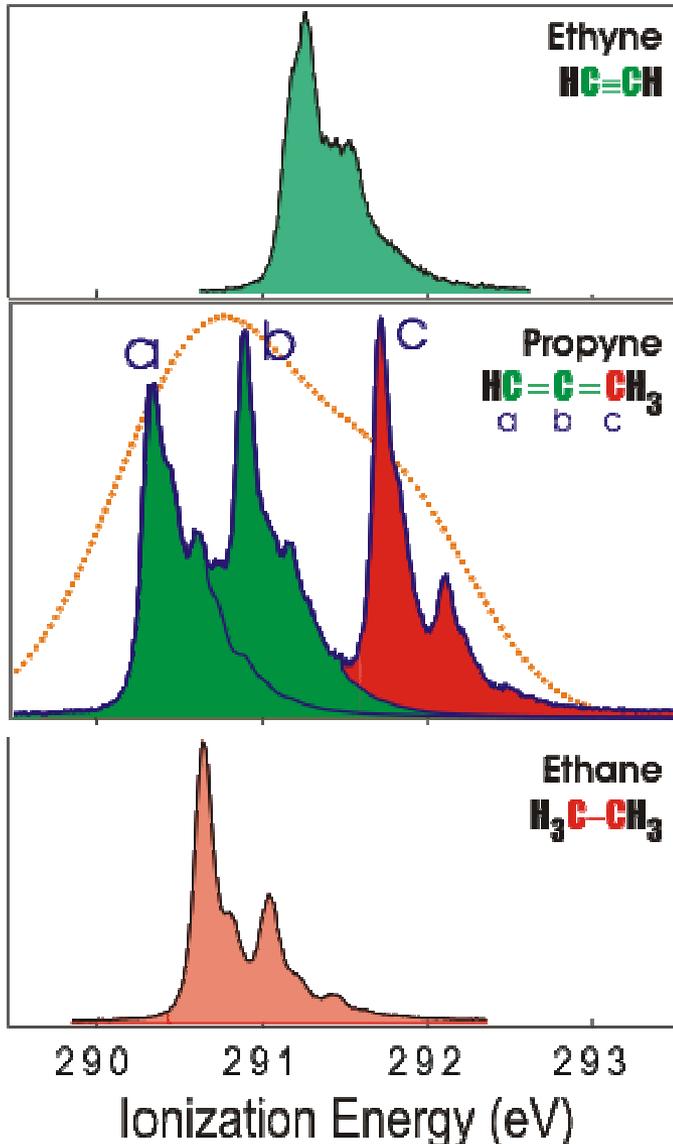


Provides information about

- Kind of atom
- Number of atoms
- Chemical shift



# High resolution C 1s Photoelectron Spectra of hydrocarbon



C 1s photoelectron spectra of propyne and two model compounds ethyne and ethane measured.

Unambiguous assignment of peaks in propyne spectrum is made possible by characteristic vibrational structure and ab initio theory.

Shift of the methyl (CH<sub>3</sub>) peak in propyne relative to ethane is due to the electronegativity of the ethyne (HC<sup>°</sup>C) group.

Previous C 1s spectrum of propyne measured with a lab source is indicated by the dashed line

*From BL 10.0 (AMO, ALS)*

*Thomas et al, PRL*

**Ambient pressure photoemission**

# Microscopy

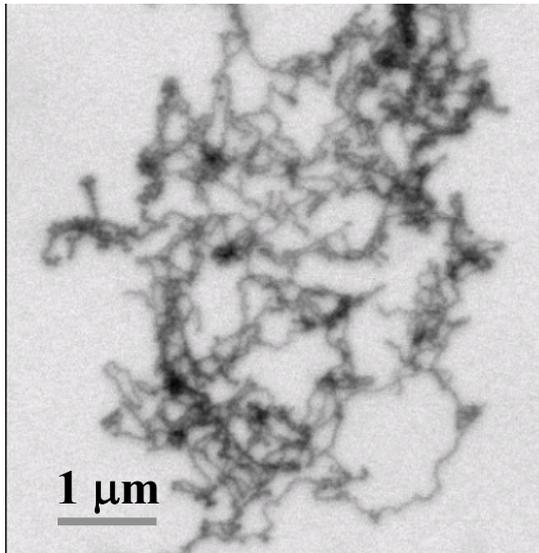


## STXM: Microbial Polymer Templates for Iron Oxyhydroxides

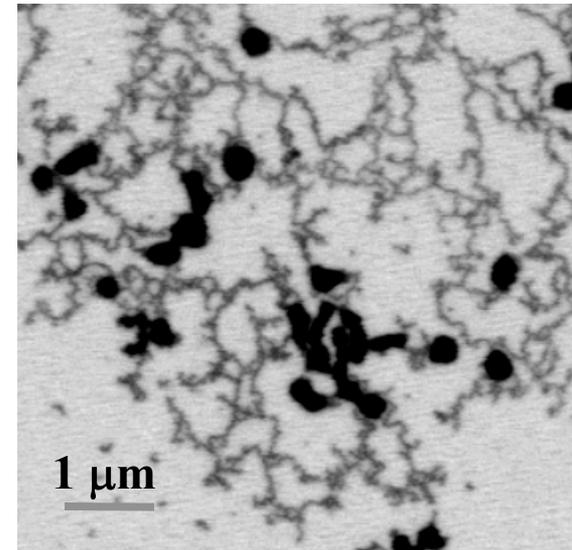
### Natural filaments

Fe (~ 25 nm) with a polymer  
as core (~5nm)

Spectra taken  
above C K edge



### Synthetic filaments (Fe-Alginate)

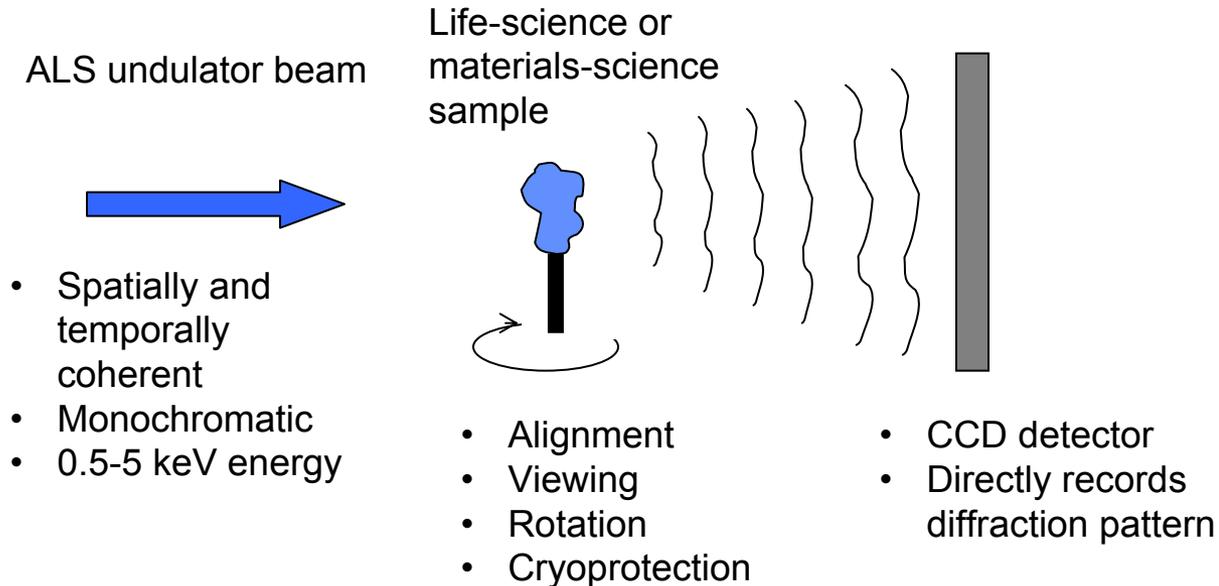


Look like natural filaments!

STXM confirmed that the natural polymers are  
mostly polysaccharides with some proteins (by doing spectroscopy)

C.S. Chan, G. De Stasio, S.A. Welch, M. Girasole, B.H. Frazer, M.V. Nesterova, S. Fakra, J.F. Banfield, "Microbial Polysaccharides Template Assembly of Nanocrystal Fibers", Science 303, 1656-1658 (2004). LBNL-55375

# WHAT IS COHERENT X-RAY DIFFRACTION IMAGING (CXDI) OR LENSLESS IMAGING ?



- **To get a 3D image:**
  - record a tilt series of diffraction patterns
  - insert the resulting Fourier amplitudes into a 3D Fourier space
  - use a 3D phase retrieval algorithm to get their phases
  - get an image by Fourier inversion ("true" 3D imaging)

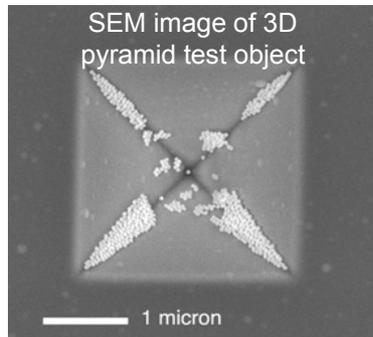
# Lensless Imaging:

## TEST IMAGES IN 3D AND 2D



Pyramid 3D  
test object

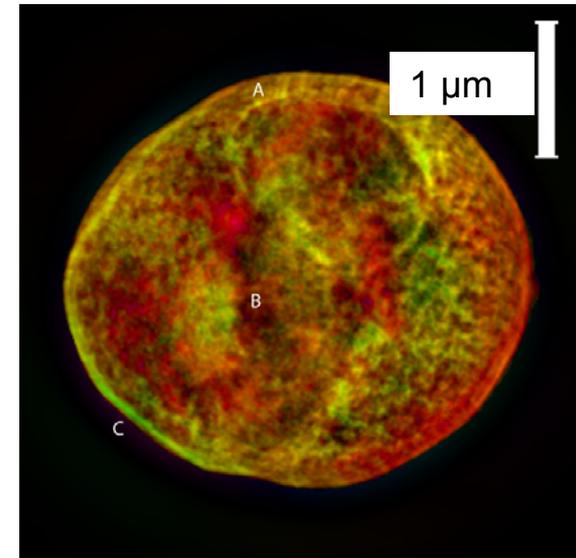
- Test object of 50 nm gold balls
- Individual gold balls resolved
- **Estimated resolution 20 nm**
- 130 views -65 to +65°
- Exposure time 10 hours
- X-ray energy = 750 eV
- Object width: about 2 $\mu$ m
- Reconstruction on a Macintosh G5 in about 10 hours



SEM image of 3D  
pyramid test object

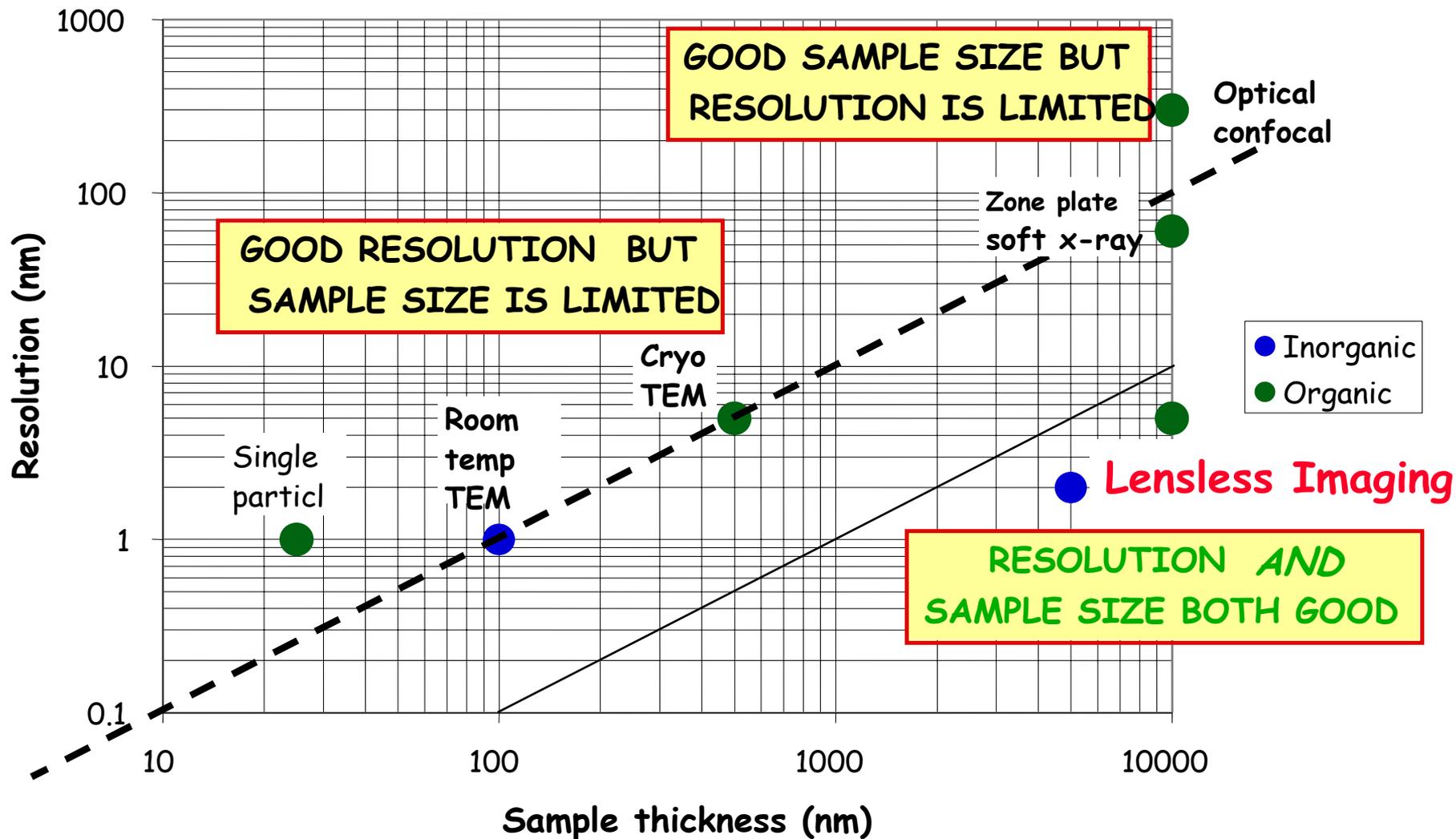


Object mounting on  
silicon nitride membrane



- **Reconstructed image of freeze-dried dwarf yeast**
- A = nucleus; B = vacuole; C = cell wall
- X-ray energy = 520 eV
- **Estimated resolution 30 nm**
- Exposure = 45 sec

# 3D MICROSCOPES: RESOLUTION AND SAMPLE-THICKNESS LIMITS



# Unique features of scattering in the soft x-ray region.



**Soft x-ray resonances bring new contrast mechanisms:**

- molecular bond sensitivity
- elemental sensitivity

**Extremely sensitive to small sample volumes**

- cross section  $\propto \lambda^3$
- ideal for nanoscience
- coherent power  $\propto \lambda^2$

**Low  $q$  scattering at higher angles:**

- wide range structure in  $3\text{mm} - 1\text{nm}$
- "incoherent" & "coherent"

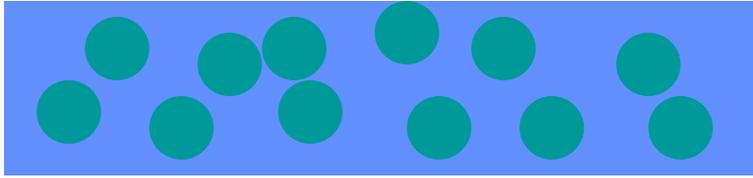
**Unique capabilities for spectroscopy  
microscopy  
time-resolved dynamic studies**

- **Spectro-microscopy of nano materials**
- **Inhomogenities of correlated systems (charge/orbital order)**

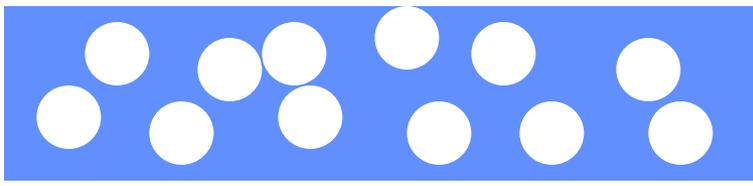
# Porous low-K dielectric films via templating process



porogen in matrix



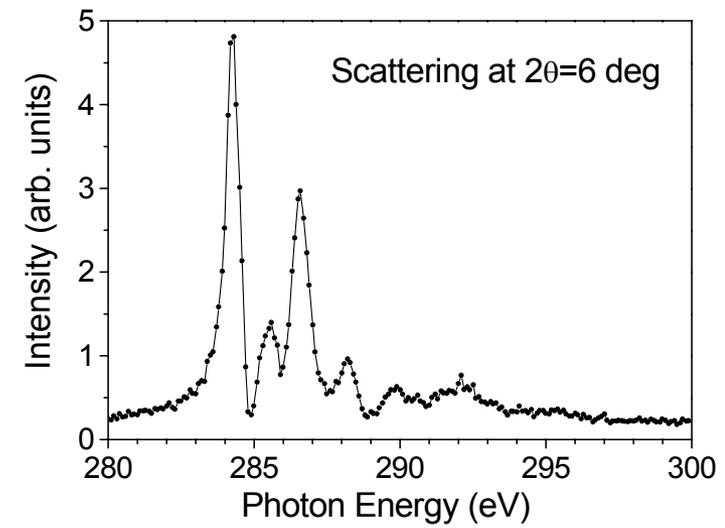
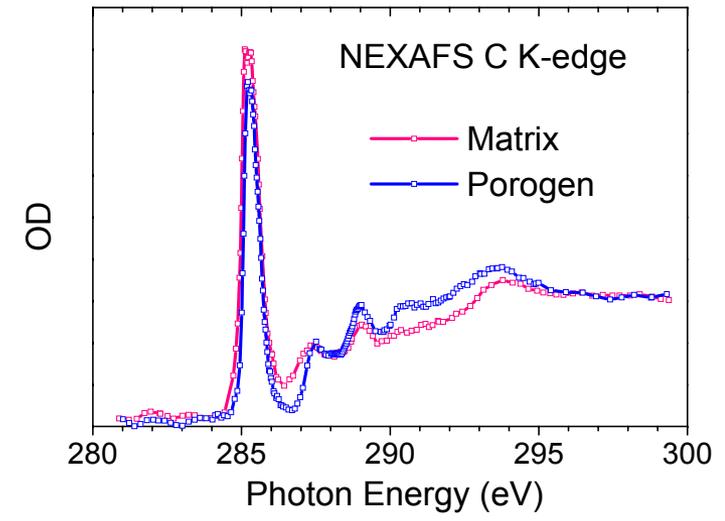
after porogen removal



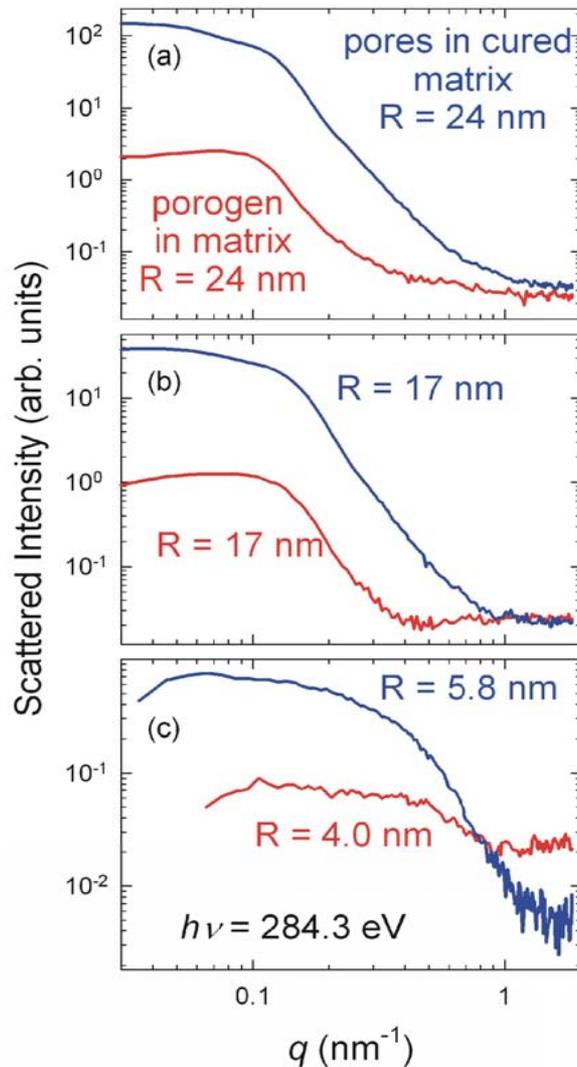
Lower limit to pore size?

Processing effects?

Mitchel, Kortright et al (unpublished)

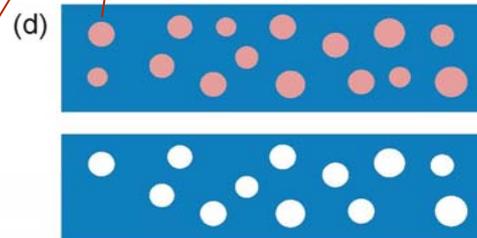


# Scattering pre- and post-processing to remove porogen phase particles.



For large porogens,  $R_{\text{pore}} = R_{\text{porogen}}$ .

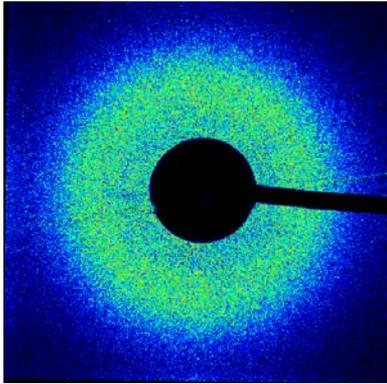
For smallest porogen,  $R_{\text{pore}} > R_{\text{porogen}}$ .  
Matrix not rigid enough to sustain smallest pores.



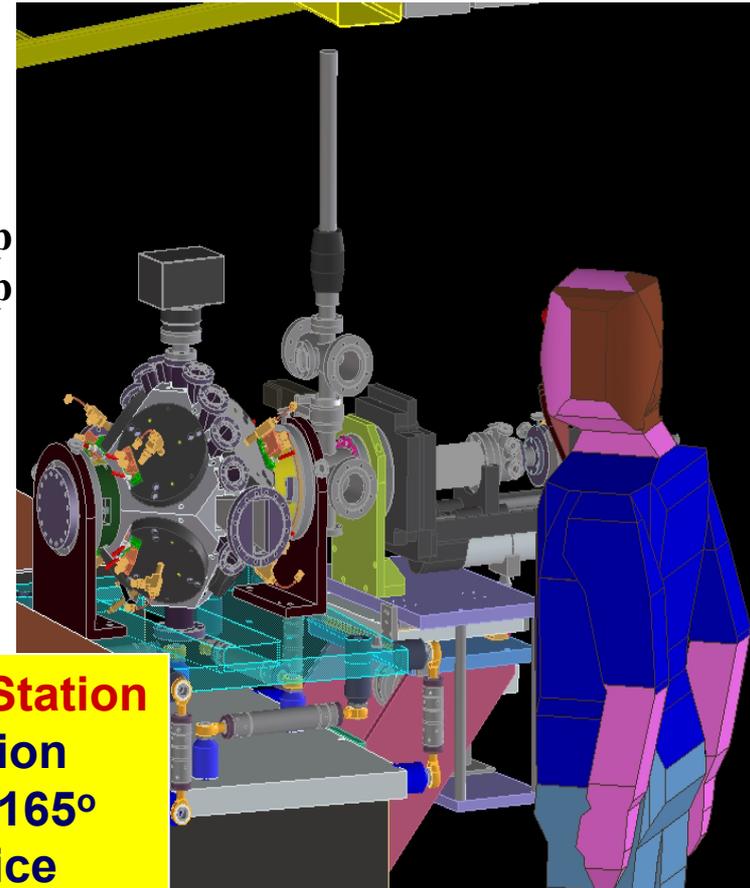
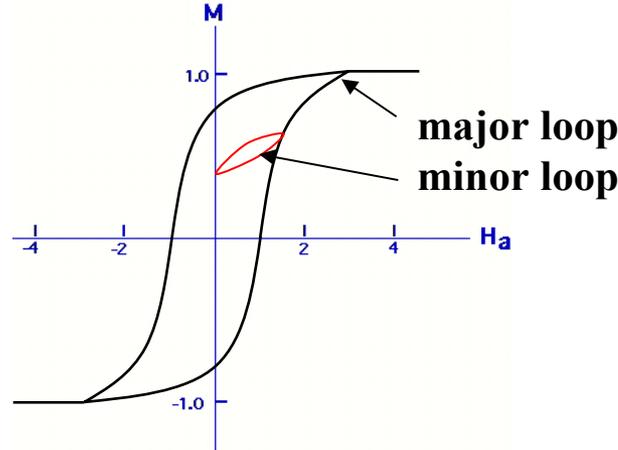
# Coherent soft-Xray Scattering – Dynamical Studies !!



- Soft x-rays:**
- More coherent flux - Scales like  $\lambda^2 \times$  brightness
  - High sensitivity for 3d metals: Resonant 2p-3d transition-
  - Dynamical studies: Time resolution:  $> \text{ms} - 5\text{ns}$ ) limited by time correlator



Speckle-diffraction Pattern through a Co:Pt film.



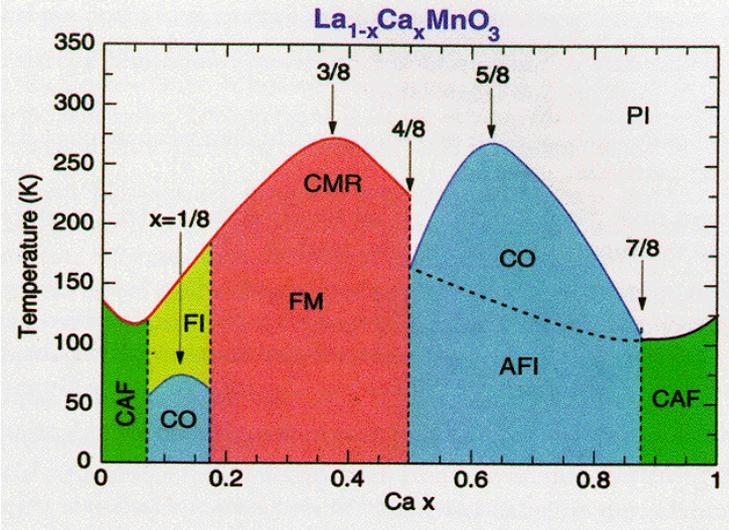
## Coherent Soft X-ray Magnetic Scattering End Station

- Applied field to 0.52 T of arbitrary orientation
- ‘Continuous’ scattering angle from  $0^\circ$  to  $\sim 165^\circ$
- Functional prototype for higher field device

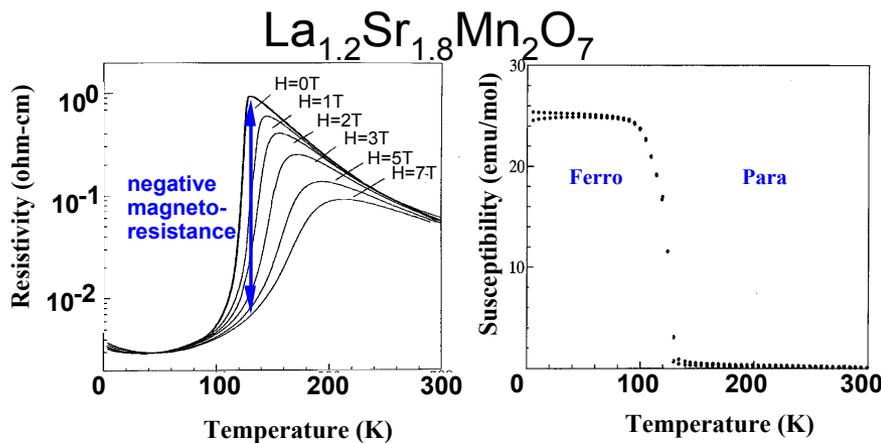
# Colossal Magnetoresistance (CMR) Effect



## Novel Electronic Phases



**CO** : Charge Order (Stripes)  
**FI** : Ferromagnetic Insulator  
**AFI** : Antiferro. Insulator  
**CAF** : Canted AFM Insulator  
**CMR** : Colossal MagnetoResis.

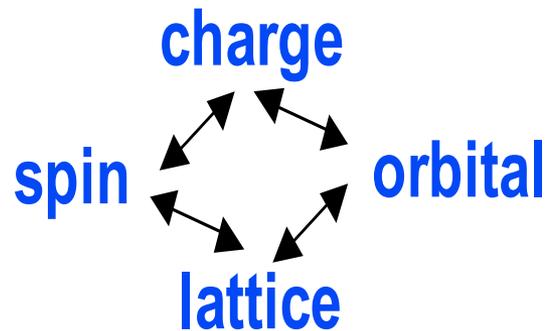


- Large drop of resistivity upon relatively small magnetic fields
- Para → Ferromagnetism
- Most dramatic on the insulating phase (short range orbital order)

# Manganites Exhibit Interplay of Charge, Spin, lattice and Orbital degrees of freedom

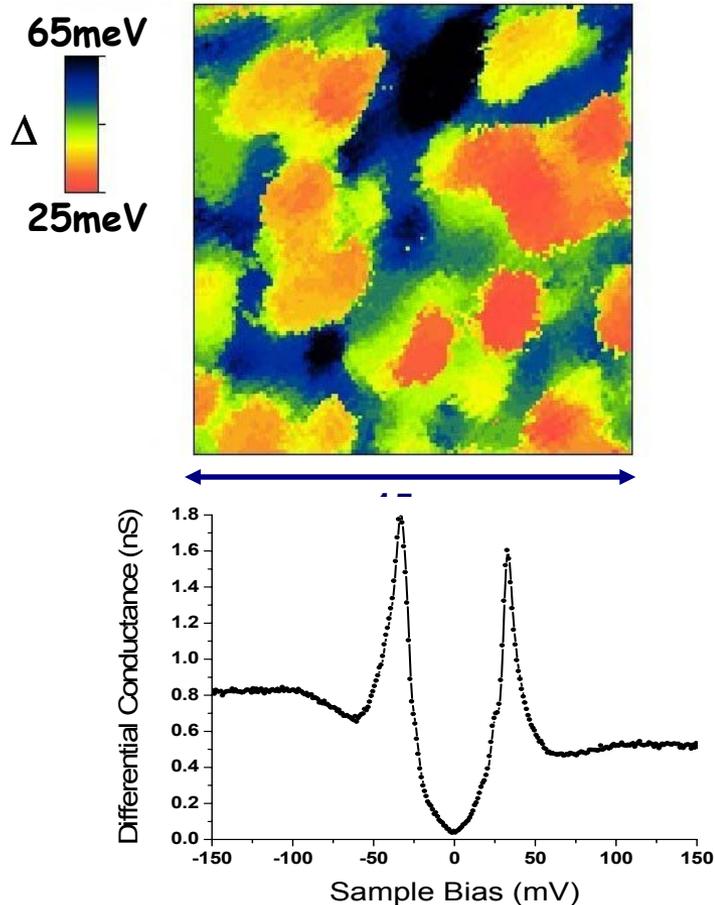


**Interacting degrees of freedom (complex electron systems)**

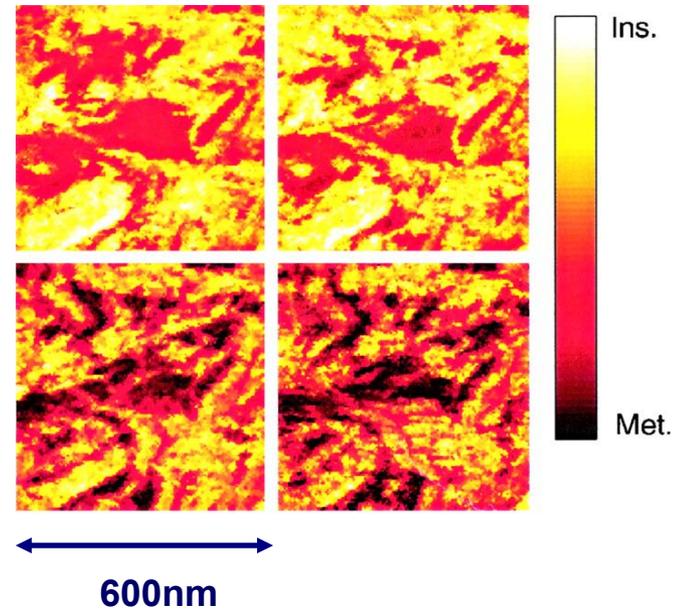


Competition among many **Energy**  
and **Length** scales  
Determine the physics of these systems

# Nanoscale electronic disorder in Correlated Systems (STM)



Spatial distribution of energy gap ( $\Delta$ )  
in Bi2212 (High Tc materials)

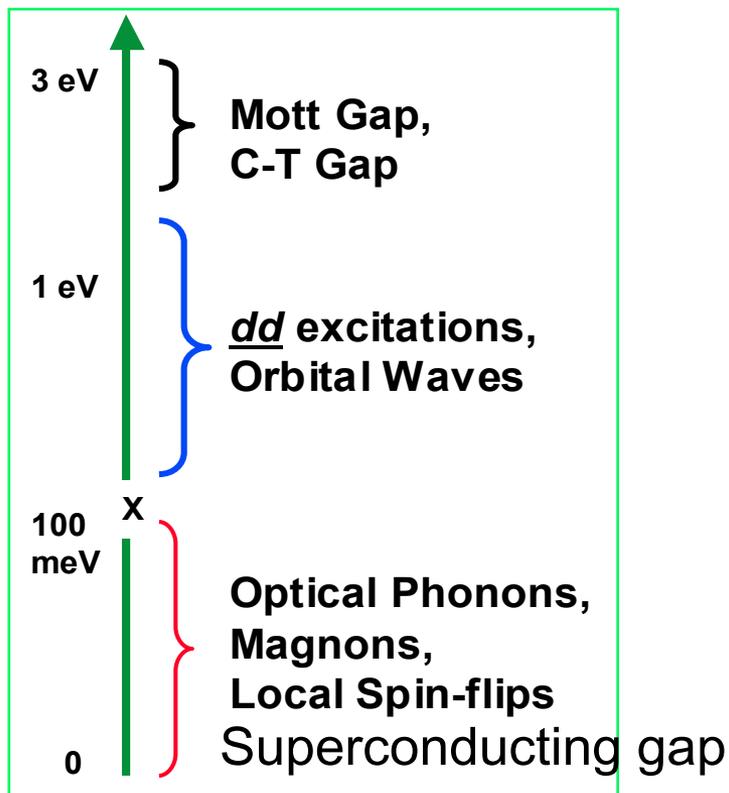


Metal-Insulator transitions in the  
CMR material La Ca MnO<sub>3</sub>

Energy scale:  $\sim 10$  meV  
Length scale: 2 - 1000 nm

Ch. Renner et al, Nature, 416, 518 (2002)

# Energy scales of various excitations



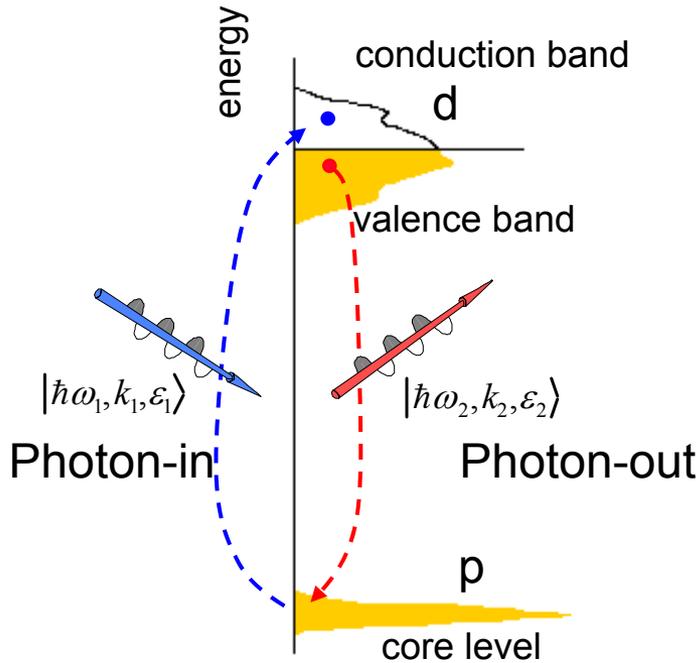
- Superconducting gap:  $\sim 1-10\text{meV}$
- Optical Phonons:  $\sim 40 - 70 \text{ meV}$
- Magnons:  $\sim 10 \text{ meV} - 40 \text{ meV}$
- Orbital fluctuations (originated from optically forbidden **d-d** excitations):  $\sim 100 \text{ meV}-1.5 \text{ eV}$

**Soft x-ray resonances** ( $3p \rightarrow 3d$ ) provide a very sensitive channels of excitations  
MERLIN ( $<1\text{meV}$  res. beamline: Spectrograph  $\sim 3 \text{ meV}$ )

## Techniques of choice:

1. Angle resolved photoemission (ARPES) :  
Single-particle spectrum  $A(k, \omega)$
2. Inelastic Neutron Scattering (INS) :  
(neutrons carry magnetic moment)  
Spin fluctuation spectrum  $S(q, \omega)$
3. Inelastic x-ray scattering (IXS) :  
New info on the Charge Channel :  $N(q, \omega)$   
This extra experimental info can help understand correlated systems

# Resonant Inelastic soft X-ray Scattering (Raman Spectroscopy with finite $q$ )



Energy loss:  $\omega = \omega_2 - \omega_1$   
Momentum transfer:  $q = k_2 - k_1$   
Resonance:  $\omega_1 \sim \omega_{\text{edge}}$

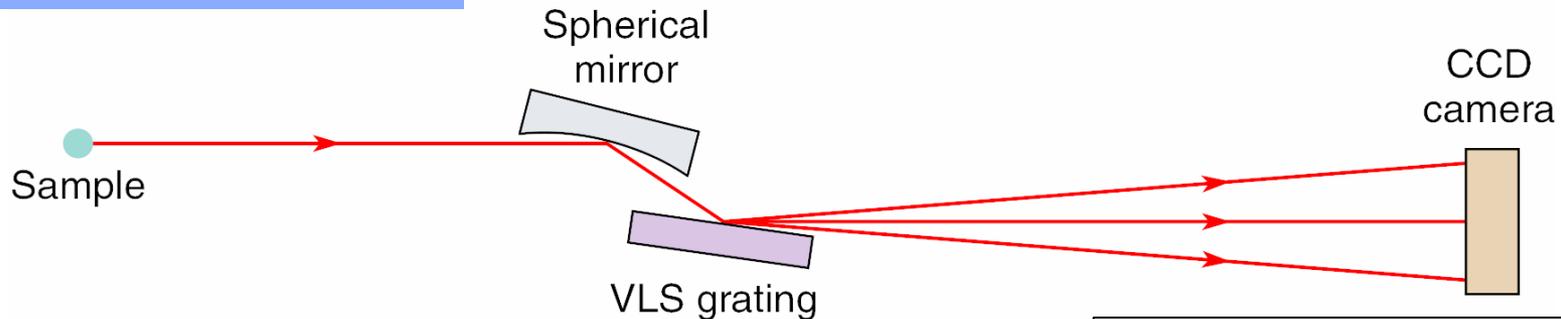
## Why???

- Can be applied in the presence of **magnetic/electric field**
- **Bulk sensitive** probe for studying unoccupied electronic states
- Optically forbidden **d-d** excitation
- Finite **q** transfer allows to study indirect Mott gap
- Couples to **charge density** directly (Neutrons couples to spin).
- Energy Resolution **not** limited by the **core hole lifetime**: achieve  $k_B T$  resolution

# meV Resolution VLS Spectrograph

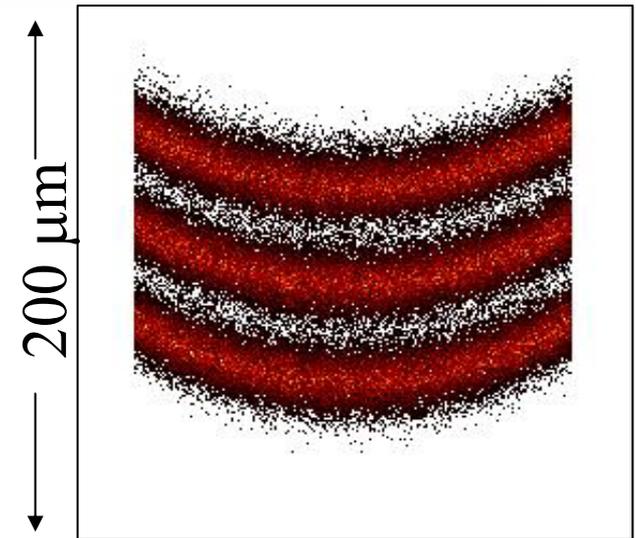


## Optical Design



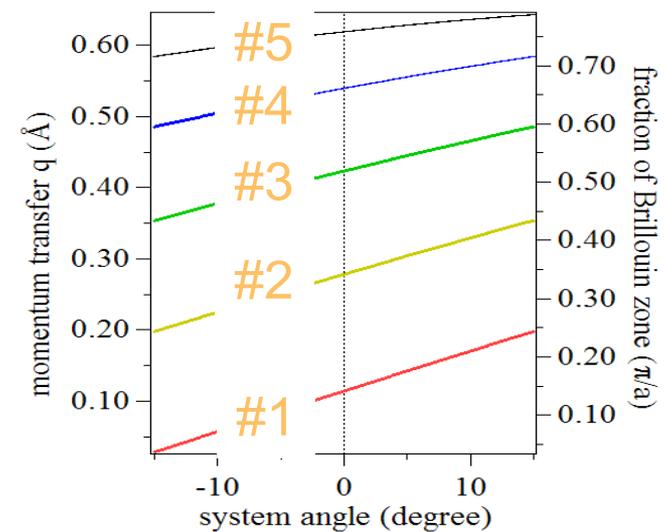
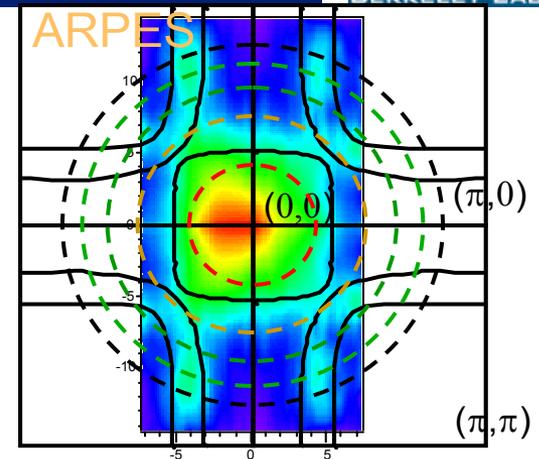
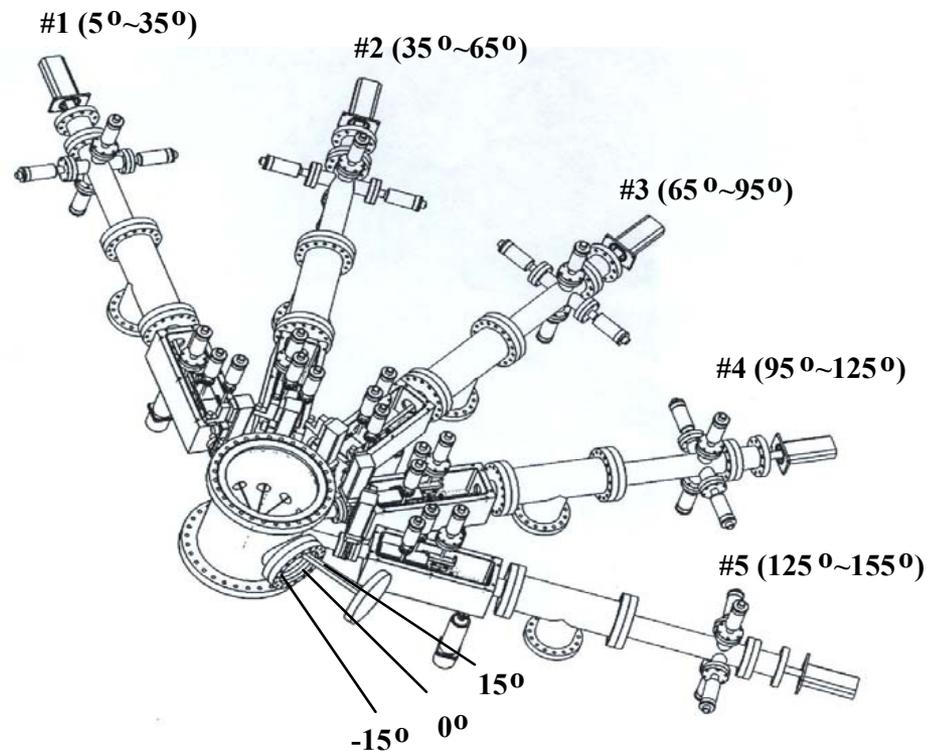
## Ray Traces

- **Calculated/measured Resolution**  
**3 meV (high efficiency)**
- Overall length = 2 meters.
- Spectrograph for Merlin beamline  
(completion end of 2006)
- **Early experiments on bl 12**  
**(starting in July, 2005)**



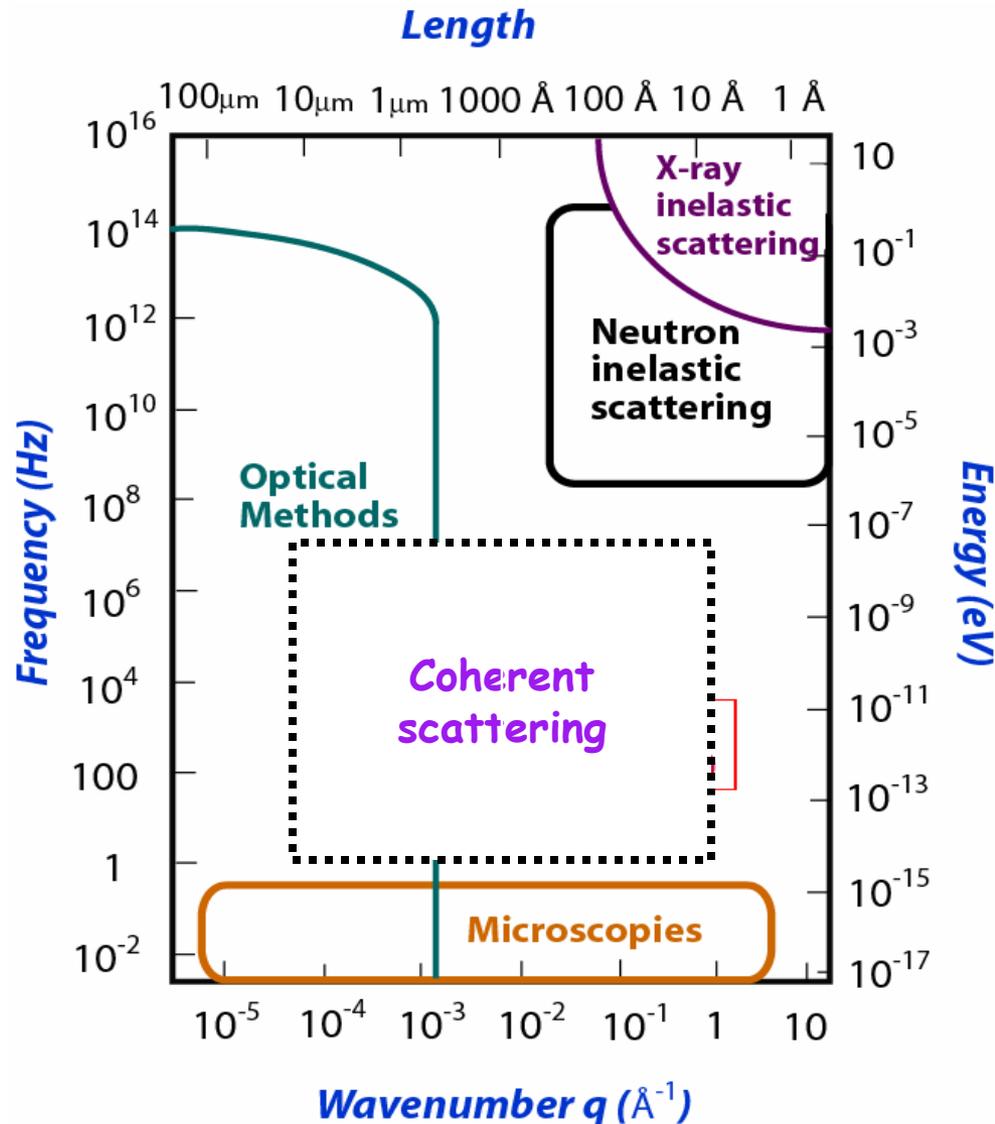
$$h\nu = 49 \text{ eV} \pm 5 \text{ meV}$$

# Momentum-Resolved Soft X-ray Inelastic Scattering



By combining the rotation of chamber and 5 mounting ports, one is able to perform **momentum-resolved RIXS**; need Resolving Power  $\sim 100,000$  - QERLIN !!

# Spatial and temporal frequency sensitivities of various techniques



# Concluding remarks



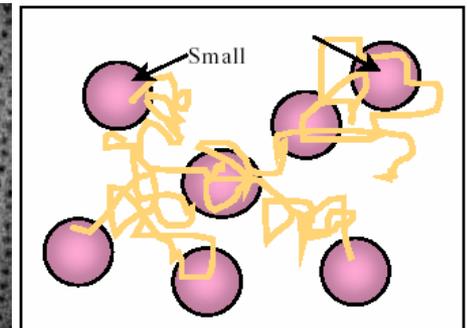
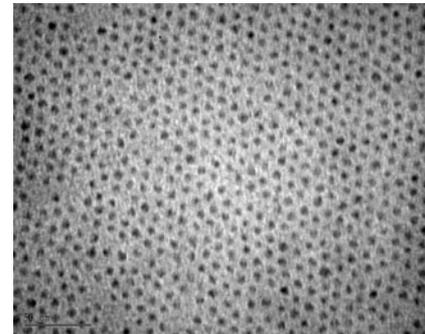
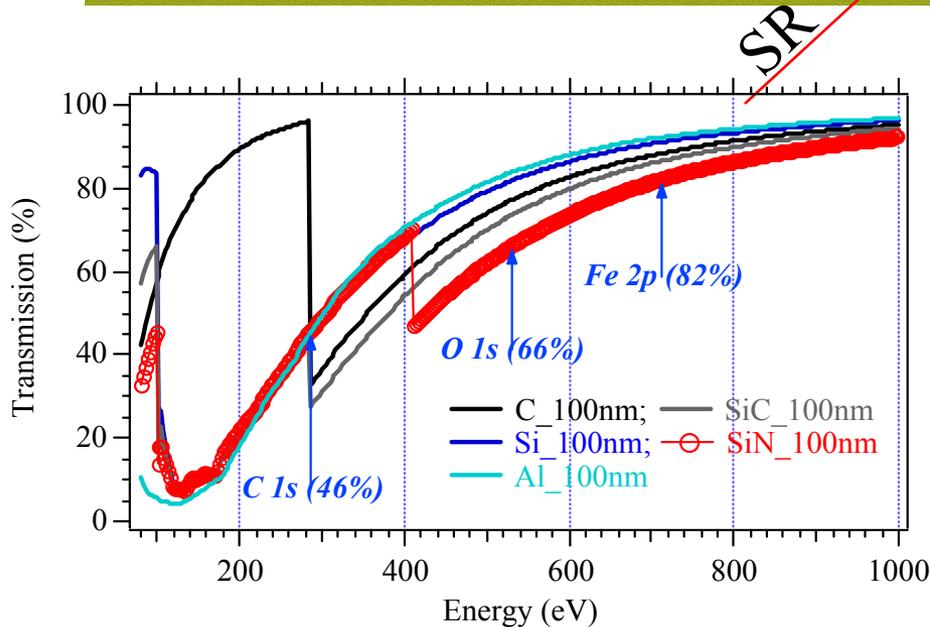
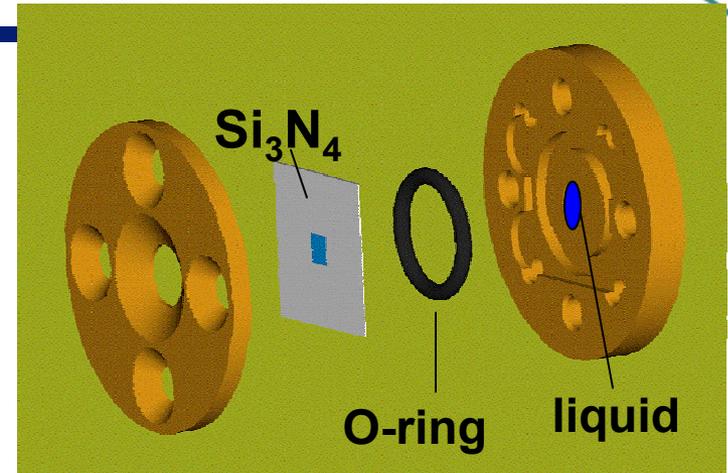
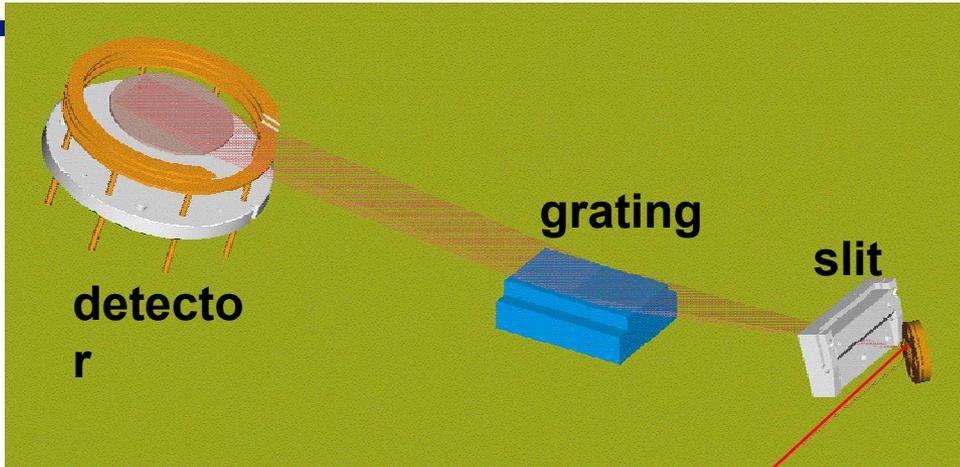
- o In situ (real conditions) studies. Photon-in photon-out studies, Ambient pressure photoemission.
- o Single nanoparticle imaging and spectroscopy. STXM
- o Lensless Imaging: Bulk 3D images, resolution  $\sim 2 \lambda$  (inorganic  $\sim 2\text{nm}$ , Organic  $\sim 5\text{nm}$  (radiation limit)
  - think of combining with atomic resolution protein
  - Crystallography.
- 
- o Dynamic coherent scattering. (ms - 5 ns, limited by time correlator).
- o Soft x-ray scattering: Provide new contrast mechanism for imaging. Imaging with spectroscopy.

# Acknowledgement



- o **Inelastic Scattering:** Yi-De Chuang, Jinghua Guo; Jonathan Denlinger, (LBNL, ALS), Eric Guillikson, Phil Batson (LBNL)
- o **Lensless Imaging:** Janos Kirtz, Malcolm Howells(LBNL, ALS)
- o **Nanoscience characterisation:** Franz Himpsel (univ of Wisconsin), Lou Terminello (LLNL)
- o **scattering:** Jeff Kortright (LBNL), Mitchel (Dow chemical), Ade (NCU)
- o **Coherent Scattering:** Steve Kevan (univ of Oregon)

# Liquid Cell - Static



○ Ligand-stabilized Co nanoparticles

○ Ligand material: *Oleic Acid*,

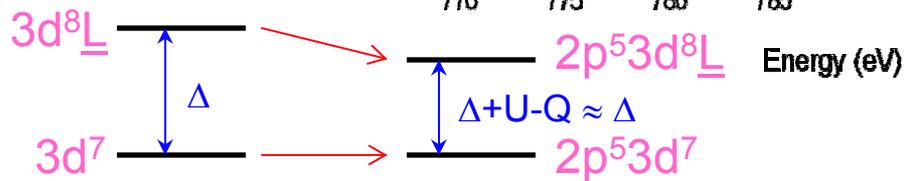
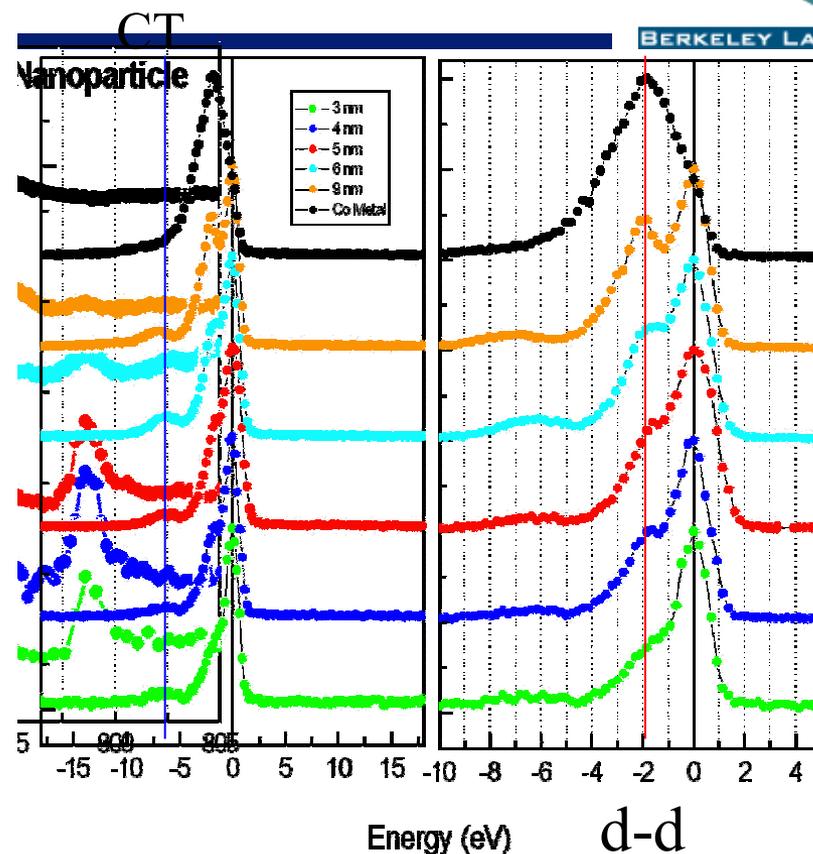
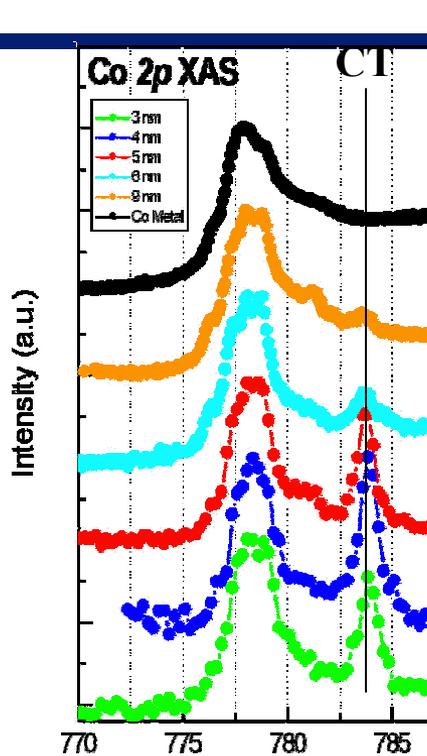
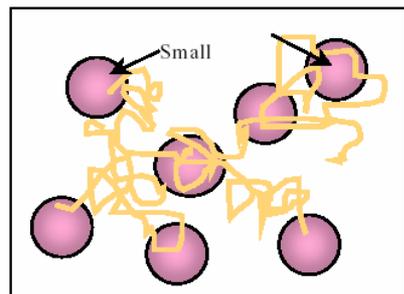
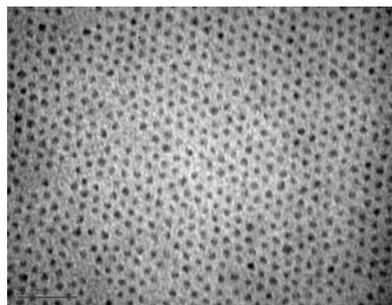
$C_{18}H_{34}O_2[CH_3(CH_2)_7CH:CH(CH_2)_7CO_2H]$

○ Solvent Solution: *Dichlorobenzene*,  $C_6H_4Cl_2$

○ Size (Measured using TEM): 3, 4, 5, 6, 9 nm

100nm  $Si_3N_4$ , ~4  $\mu l$  liquid volume, 66% transmission  
 @O1s, vacuum pressure  $< 1 \times 10^{-9}$  Torr

# In-situ analysis of Co nanoclusters in solution



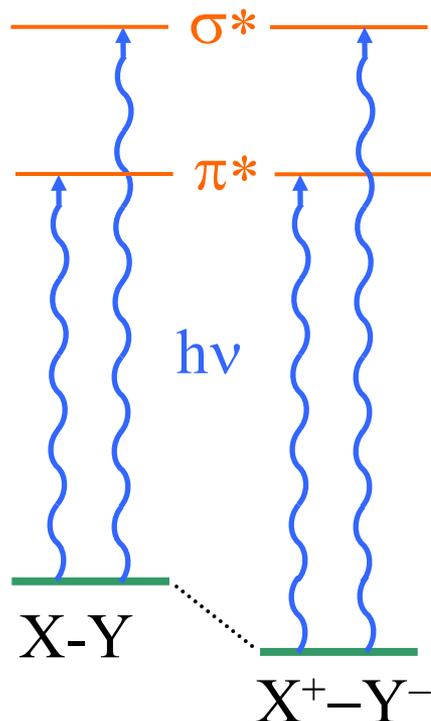
$$I_{d-d} \sim N_{\text{bulk}} / N_{\text{surface}}$$

- o Ligand-stabilized Co nanoparticles
- o Ligand material: Oleic Acid,  $C_{18}H_{34}O_2$  [ $CH_3(CH_2)_7CH:CH(CH_2)_7CO_2H$ ]
- o Solvent Solution: Dichlorobenzene,  $C_6H_4Cl_2$
- o Size (Measured using TEM): 3 nm, 4 nm, 5 nm, 6 nm, 9 nm

# Nanoscience: Electronic Structure Determination - Techniques of choice



## Requirements ?



*"in situ, real time"* studies of:

- **Valence orbital** (bonding, energy gap)
- **Core level** (charge transfer, elemental/chemical specificity)

## How ?

- Unoccupied bands:

**Absorption Spectroscopy**

- Occupied bands:

**Emission Spectroscopy and Photoelectron Spectroscopy**

- Orientation of molecules on surfaces:

**Polarization dependence studies**

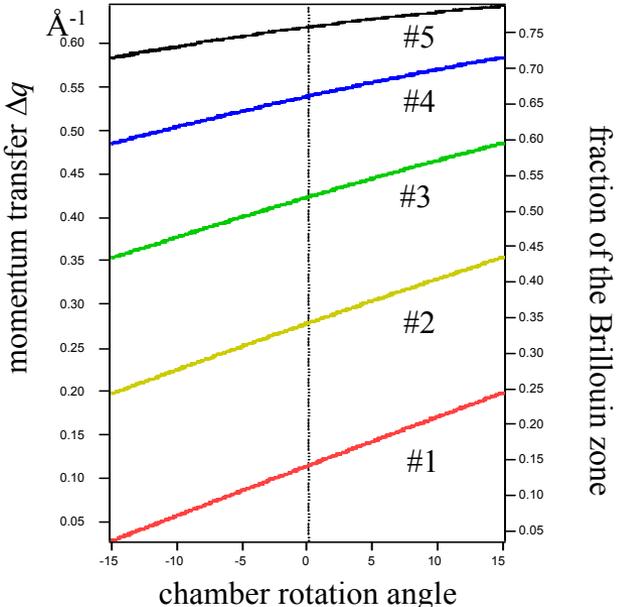
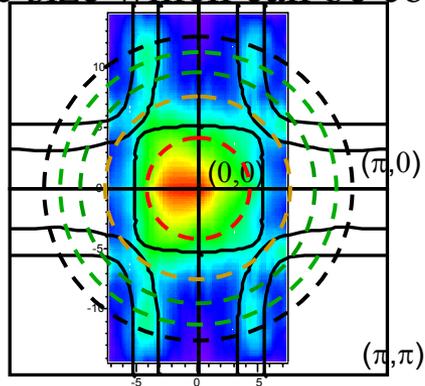
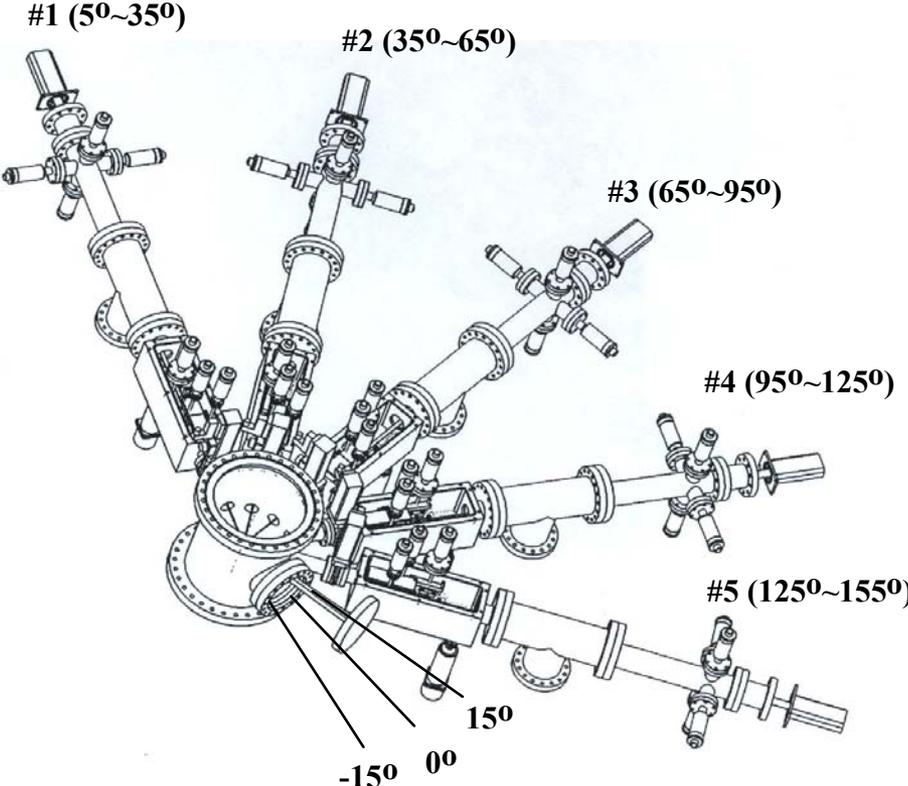
**Requires close coupling between theory and experiment**

# Resonant Inelastic soft X-ray Scattering



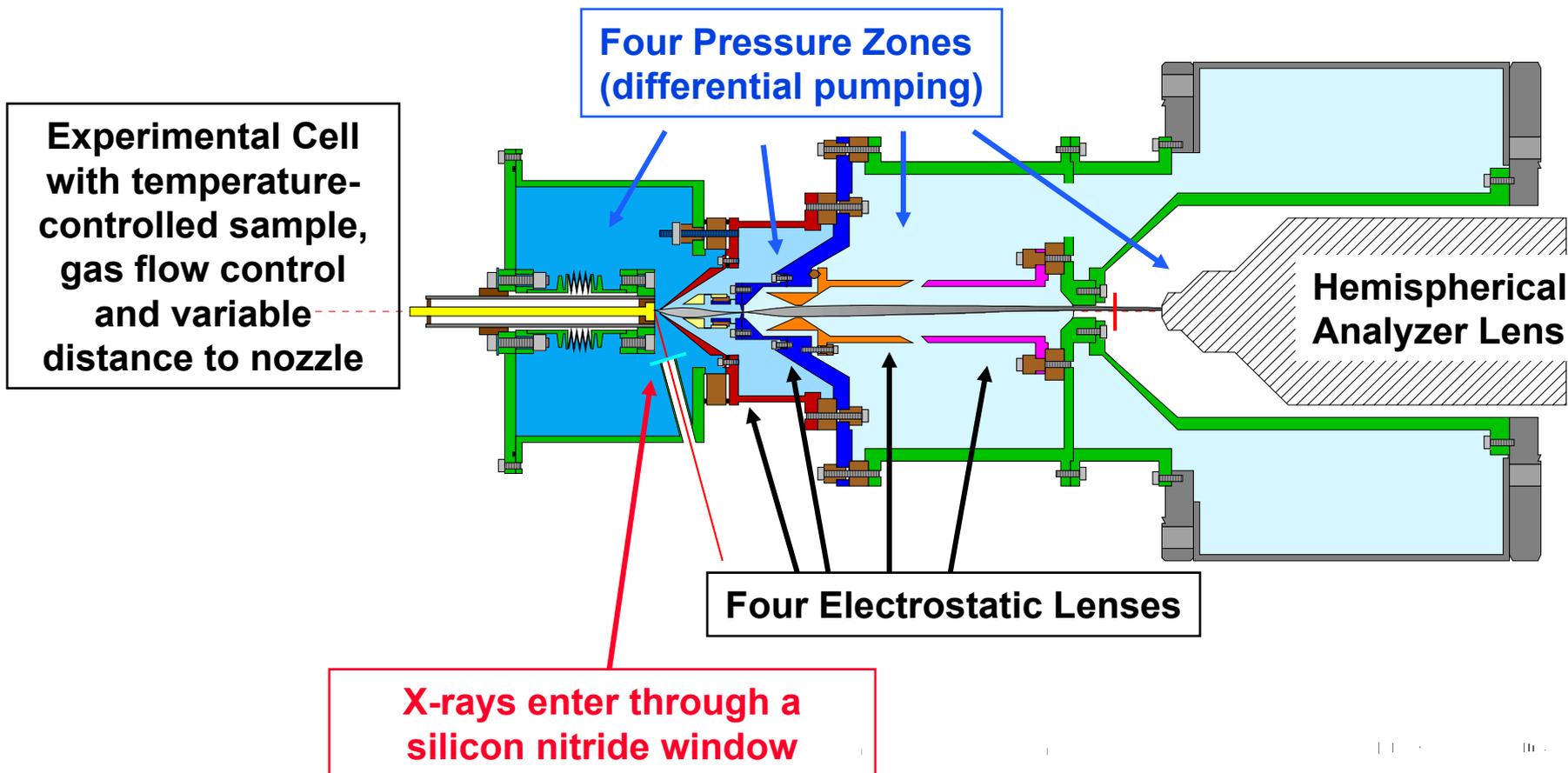
Calculation based on photon energy of **650eV** and 3.85 Å lattice constant

Brillouin zone size which can be covered by this spectrometer

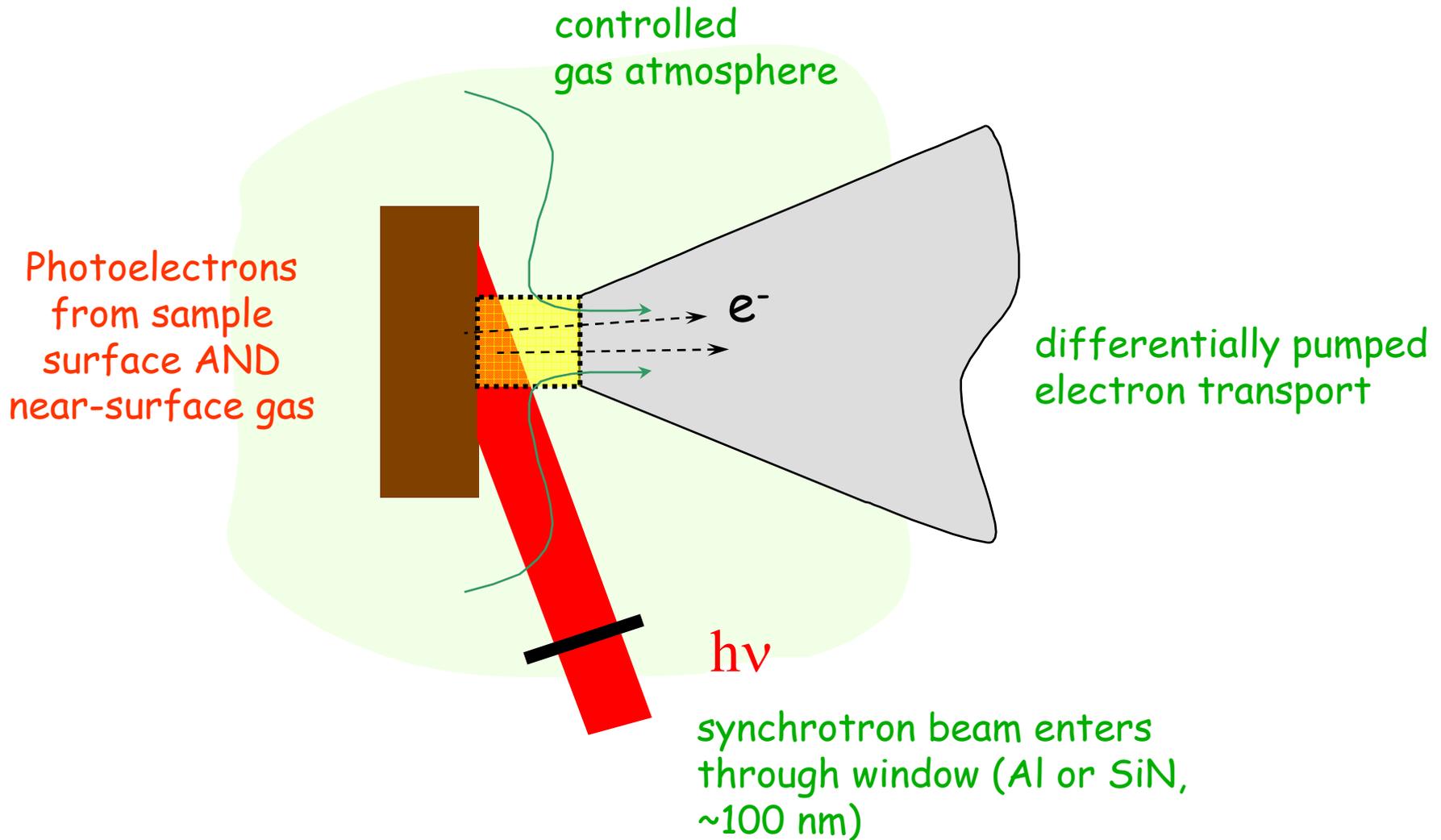


Five spectrometers with 30° rotations can cover most of the Brillouin zone

# High Pressure Transfer Lens - Schematic



# Ambient pressure soft x-ray spectroscopy: Concept



# ALS BEAM LINE 9.0.1: Lensless Imaging

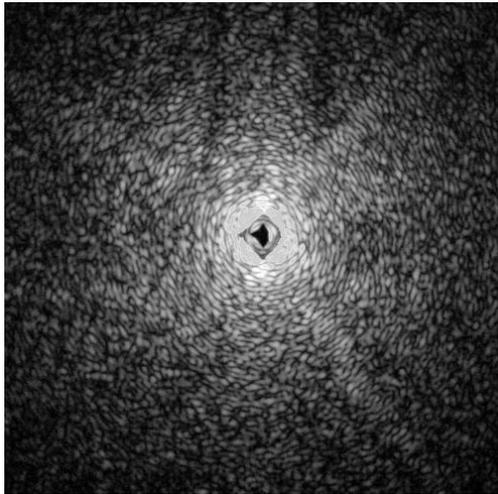


Cryo holder

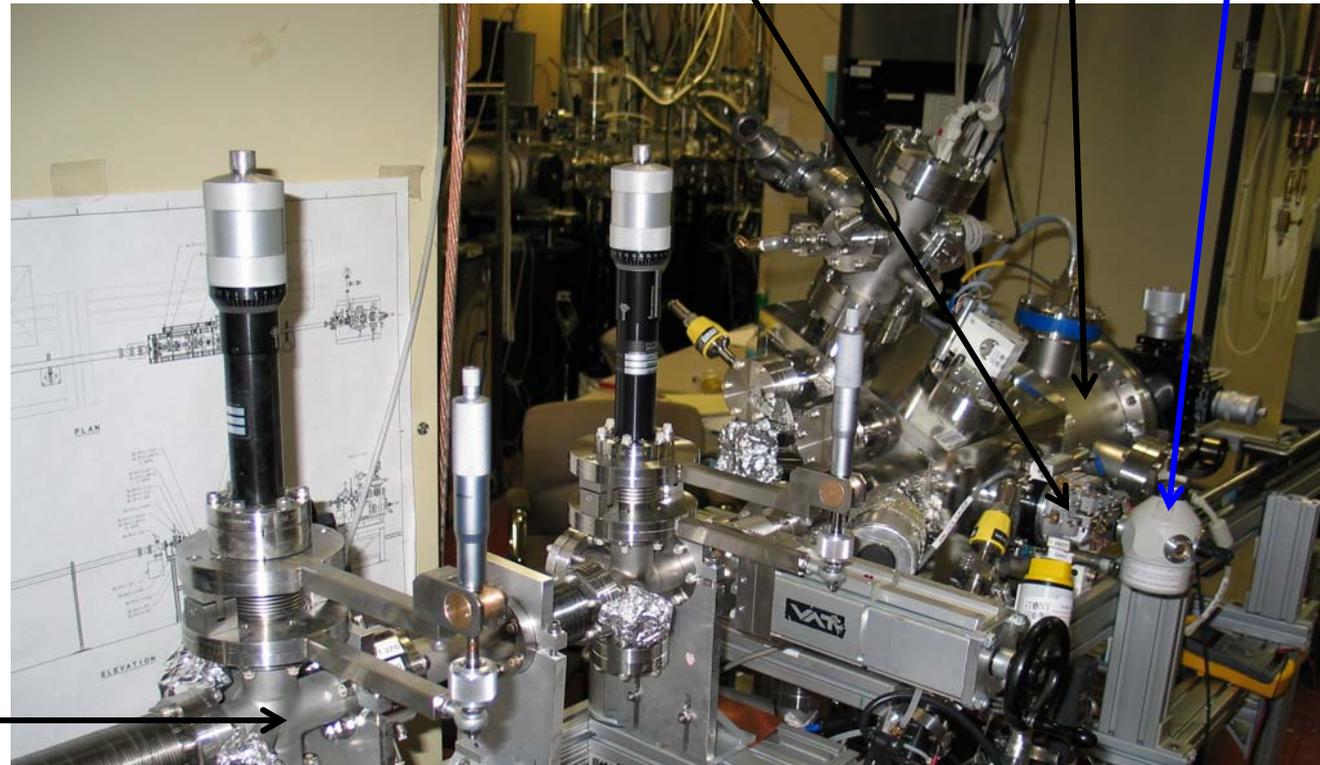
CCD detector chamber

Sample manipulator

Diffraction station built by SUNY/BNL group



Diffraction pattern of freeze-dried yeast taken by SUNY gp



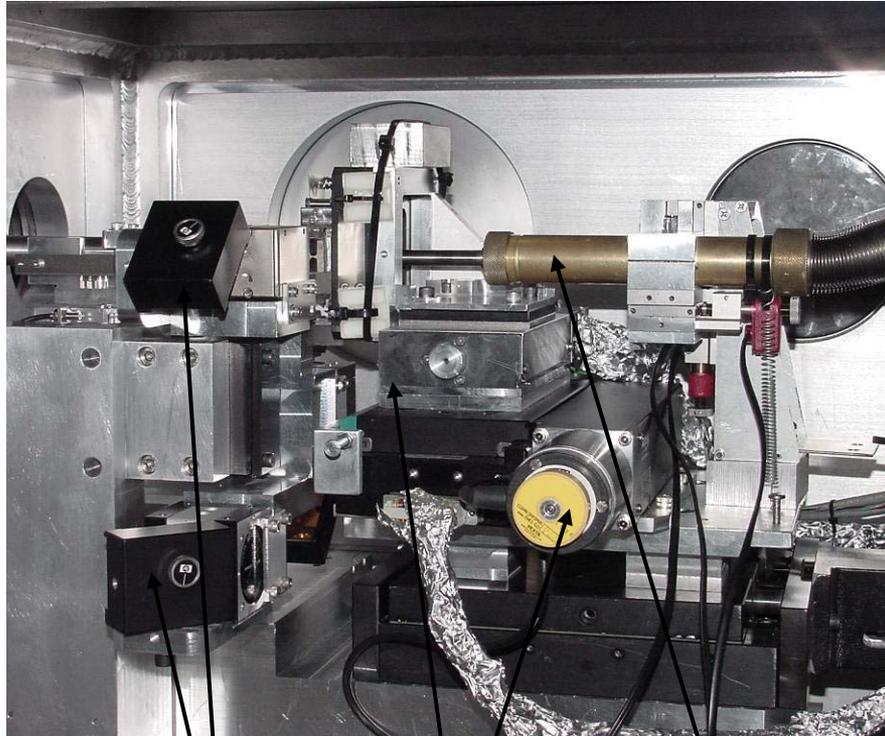
Monochromator zone plate

Optimized and dedicated setup could provide 100-1000 times better efficiency

# Scanning Transmission X-ray Microscope (STXM) 11.0.2



Side view

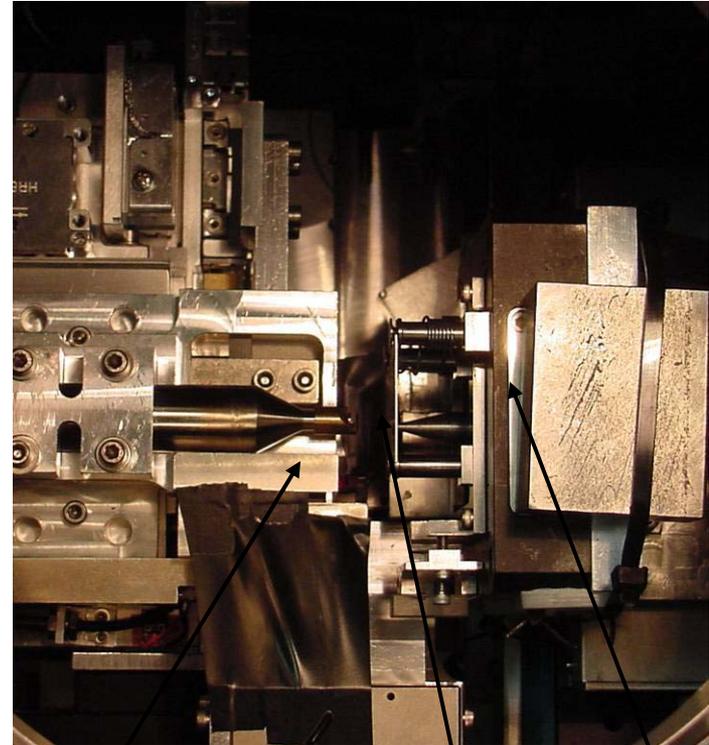


Interferometer optics

Sample mechanical stages

Detector

Top view

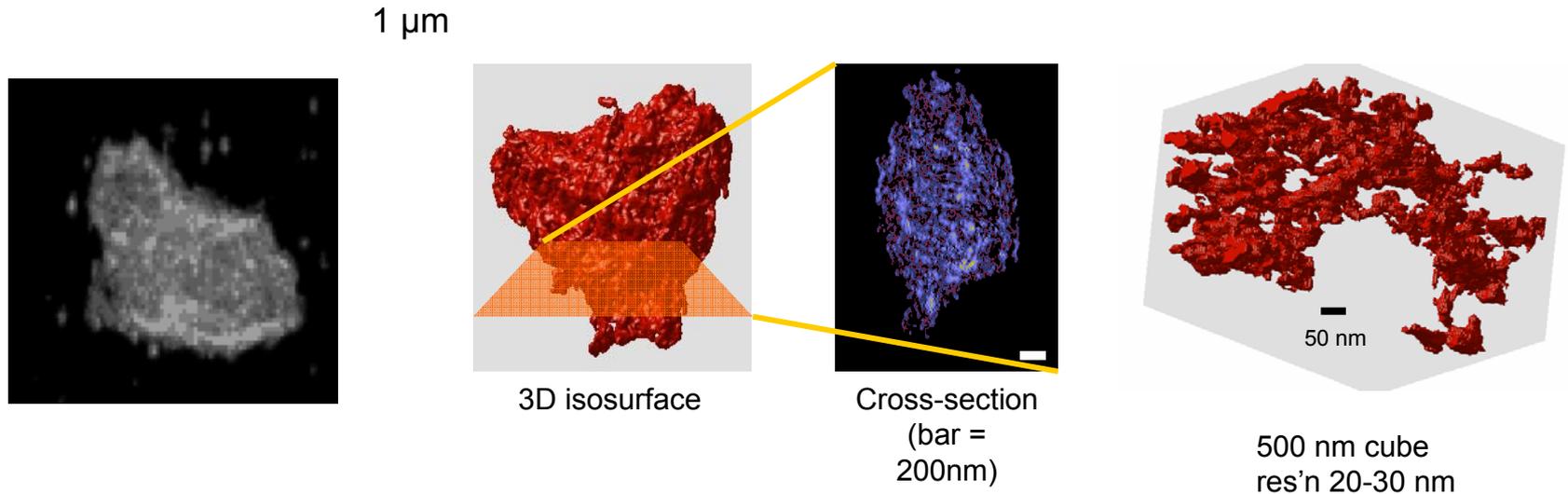


Zone plate and vacuum window

Sample holder

Piezo scanning stage

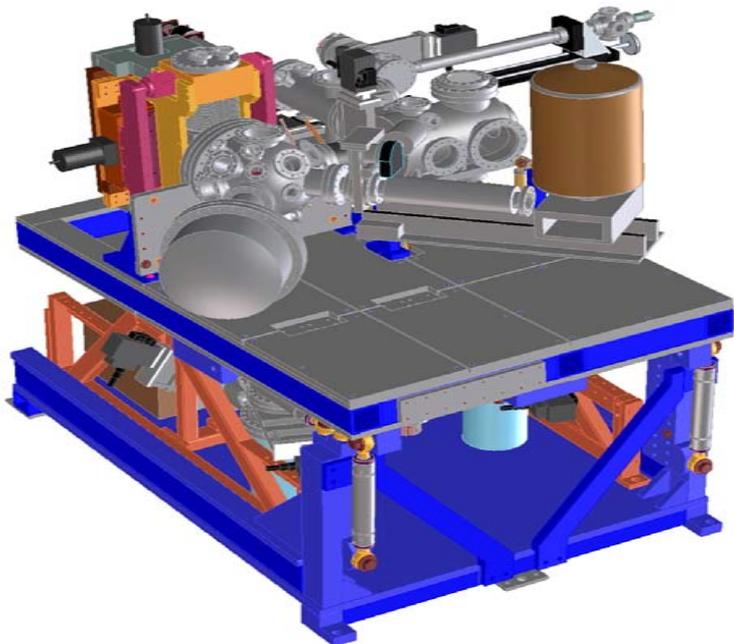
# Imaging of tantalum oxide aerogel



- 2-micron-wide particle of tantalum oxide aerogel
- Density about  $0.1 \text{ gm/cm}^3$  which is about 1.2% of bulk density
- Applications for NIF target, hydrogen storage

Chapman/Howells/Spence et al - ALS

# Nanoscience Characterization Facility @ ALS (bl 8.0)



**System is being commissioned**

*“User-friendly for nanostructure characterization endstation” proposal funded by DOE (U. Wisconsin-Madison, F. Himpsel et al)*

- (1) Micro-focus ( $\sim 10 \mu\text{m}$ ) beam spot**
- (2) Efficient fluorescence yield detectors for XAS**
- (3) Emission Spectrograph (VLS)**
- (4) Photoemission (Scienta 100)**