

If electrons are bound to atoms centered on \vec{R}_i

$$\rho_{el}(\vec{r}) = \sum_i f_{el}(\vec{r} - \vec{R}_i)$$

$$\rho_{el}(\vec{q}) = \int d\vec{r} e^{-i\vec{q} \cdot \vec{r}} \sum_i f(\vec{r} - \vec{R}_i)$$

$$= \sum_i \left[\int d\vec{r} e^{-i\vec{q} \cdot (\vec{r} - \vec{R}_i)} f(\vec{r} - \vec{R}_i) \right] e^{-i\vec{q} \cdot \vec{R}_i}$$

$$= Zf(\vec{q}) \sum_i e^{-i\vec{q} \cdot \vec{R}_i} = Zf(\vec{q}) \rho_N(\vec{q})$$

\swarrow
atomic form factor

$$S(q) = \langle |\rho_N(\vec{q})|^2 \rangle \quad [\times |f(q)|^2] \text{ for x-rays}$$

$$\rho_N(\vec{q}) = \int d\vec{r} e^{-i\vec{q} \cdot \vec{r}} \rho_N(\vec{r})$$

$$\Rightarrow S(q) = \iint d\vec{r} d\vec{r}' e^{-i\vec{q} \cdot (\vec{r} - \vec{r}')} \langle \rho_N(\vec{r}) \rho_N(\vec{r}') \rangle$$

If $\langle \rho_N(\vec{r}) \rho_N(\vec{r}') \rangle = \text{Fn. of } (\vec{r} - \vec{r}')$ only,

$$S(q) = V \int d\vec{r}' e^{-i\vec{q} \cdot \vec{R}} \langle \rho_N(\vec{r}) \rho_N(\vec{r} - \vec{R}) \rangle$$

$$= \int d\vec{R} e^{-i\vec{q} \cdot \vec{R}} g(\vec{R})$$

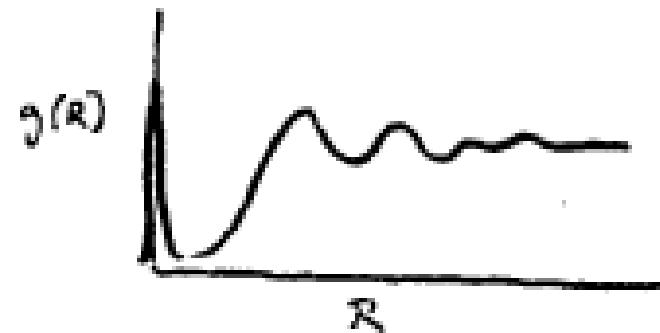
$g(\vec{R})$ = Pair-distribution function

$$= V \langle \rho_N(\vec{r}) \rho_N(\vec{r} - \vec{R}) \rangle$$

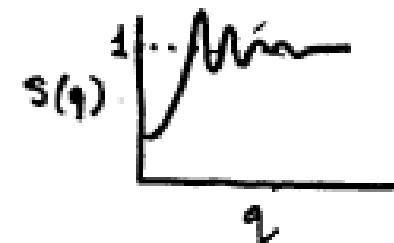
\Rightarrow Probability that given a particle at \vec{r} , there is distance \vec{R} from it (per unit volume)

$$g(\vec{R}) = \delta(\vec{R}) + g_d(\vec{R}) \quad S(q) - 1 = \int d\vec{R} e^{-i\vec{q} \cdot \vec{R}} g_d(\vec{R})$$

$$g_d(\vec{R})_{R \rightarrow \infty} \rightarrow V \langle \rho \rangle^2$$



Liquids and Glasses



$g(\vec{R})$ and hence $S(q)$ are isotropic.

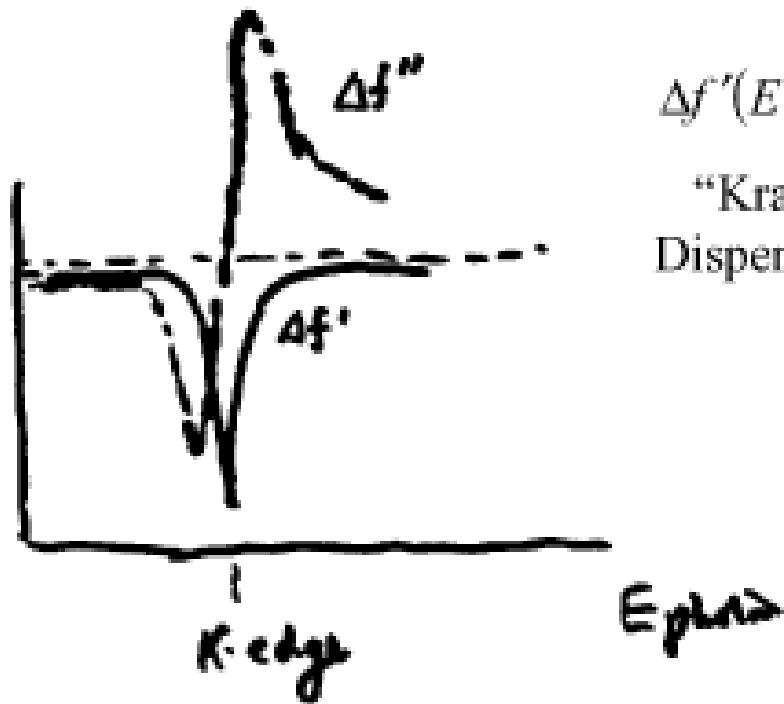
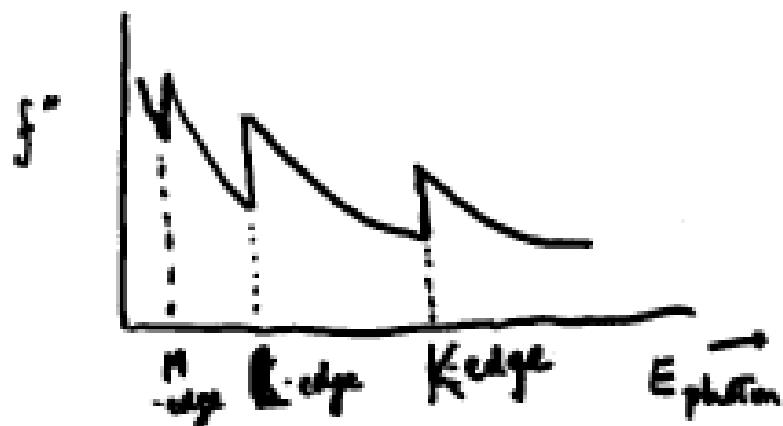
$g_d(R)$ = Reverse F.T. of $[S(q) - 1]$

$$= 4\pi \int_0^\infty dq q^2 \frac{\sin(qR)}{(qR)} [S(q) - 1]$$

X-rays

$$f = f_0 + \underbrace{\Delta f'}_{\text{"Scattering factor"} = Zf(q)} + i\Delta f''$$

"anomalous" big at edges



$$\Delta f'(E) = 2\pi \int \frac{\Delta f''(E')}{E - E'} dE'$$

"Kramers-Kronig
Dispersion Relations"

$$S(q) = \langle |\rho_N(\vec{q})|^2 \rangle \quad [\times |f(q)|^2 \text{ for x-rays}] * Z^2$$

$$\rho_N(\vec{q}) = \int d\vec{r} e^{-i\vec{q} \cdot \vec{r}} \rho_N(\vec{r})$$

$$\Rightarrow S(q) = \iint d\vec{r} d\vec{r}' e^{-i\vec{q} \cdot (\vec{r} - \vec{r}')} \langle \rho_N(\vec{r}) \rho_N(\vec{r}') \rangle$$

If $\langle \rho_N(\vec{r}) \rho_N(\vec{r}') \rangle = \text{Fn. of } (\vec{r} - \vec{r}')$ only,

$$S(q) = V \int d\vec{r}' e^{-i\vec{q} \cdot \vec{R}} \langle \rho_N(\vec{r}) \rho_N(\vec{r} - \vec{R}) \rangle$$

$$= \int d\vec{R} e^{-i\vec{q} \cdot \vec{R}} g(\vec{R})$$

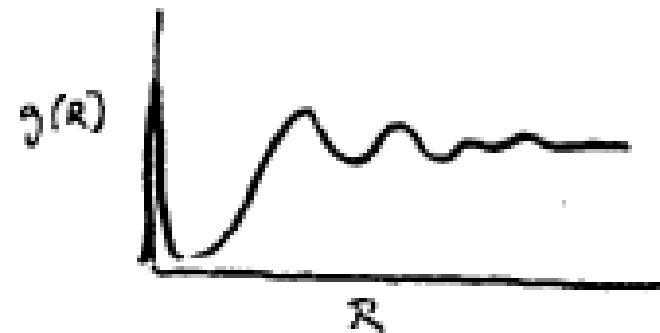
$g(\vec{R})$ = Pair-distribution function

$$= V \langle \rho_N(\vec{r}) \rho_N(\vec{r} - \vec{R}) \rangle$$

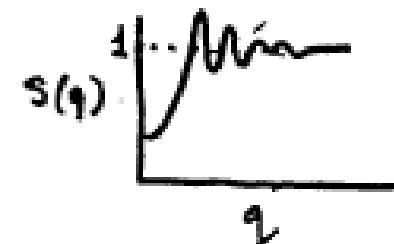
\Rightarrow Probability that given a particle at \vec{r} , there is distance \vec{R} from it (per unit volume)

$$g(\vec{R}) = \delta(\vec{R}) + g_d(\vec{R}) \quad S(q) - 1 = \int d\vec{R} e^{-i\vec{q} \cdot \vec{R}} g_d(\vec{R})$$

$$g_d(\vec{R})_{R \rightarrow \infty} \rightarrow V \langle \rho \rangle^2$$



Liquids and Glasses



$g(\vec{R})$ and hence $S(q)$ are isotropic.

$g_d(R)$ = Reverse F.T. of $[S(q) - 1]$

$$= 4\pi \int_0^\infty dq q^2 \frac{\sin(qR)}{(qR)} [S(q) - 1]$$

$S(Q)$ and $g(r)$ for Simple Liquids

- Note that $S(Q)$ and $g(r)/\rho$ both tend to unity at large values of their arguments
- The peaks in $g(r)$ represent atoms in “coordination shells”
- $g(r)$ is expected to be zero for $r <$ particle diameter – ripples are truncation errors from Fourier transform of $S(Q)$

Fig. 5.1 The structure factor $S(\kappa)$ for ^{36}Ar at 85 K. The curve through the experimental points is obtained from a molecular dynamics calculation of Verlet based on a Lennard-Jones potential. (After Yarnell *et al.*, 1973.)

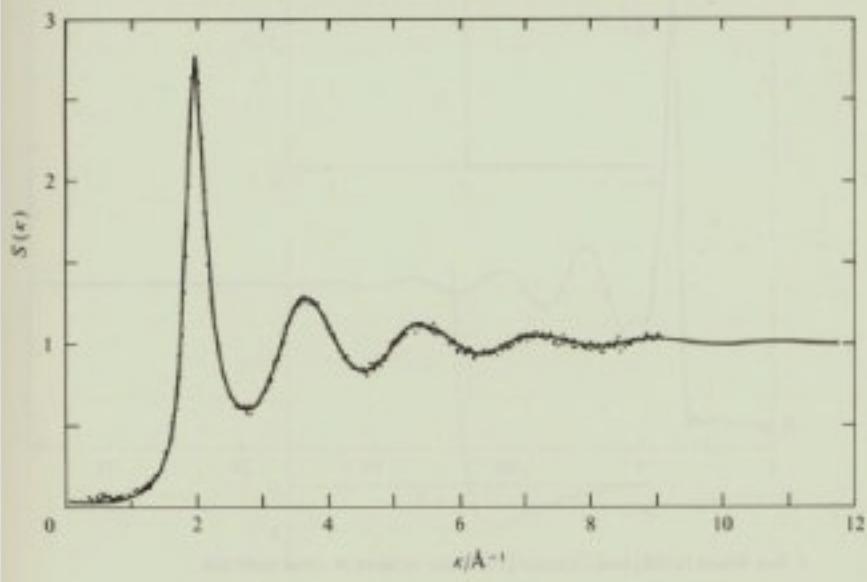
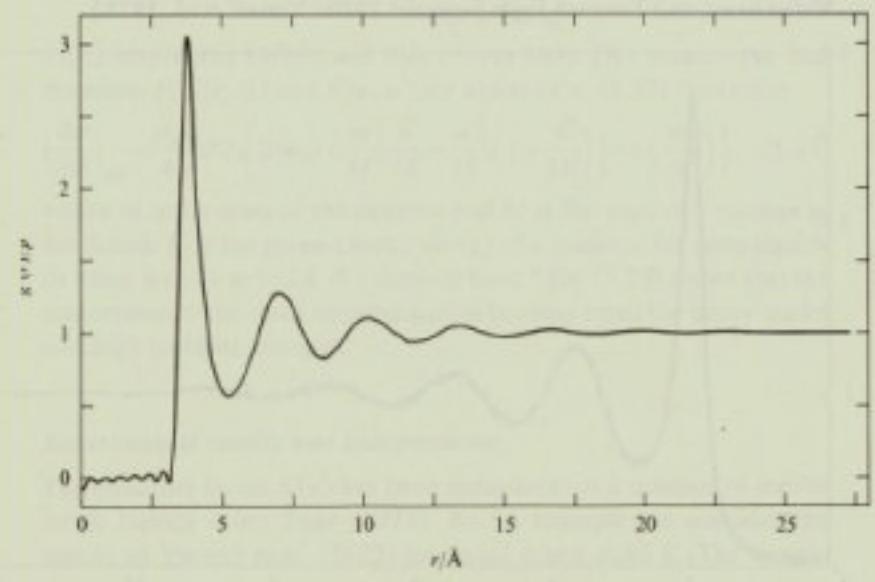


Fig. 5.2 The pair-distribution function $g(r)$ obtained from the experimental results in Fig. 5.1. The mean number density is $\rho = 2.13 \times 10^{28} \text{ atoms m}^{-3}$. (After Yarnell *et al.*, 1973.)



Neutrons

$$I(q) \equiv \frac{d\sigma}{d\Omega} = \sum_{K,K'} b_K b_{K'} S_{KK'}(q)$$

X-rays

$$I(q) = \sum_{K,K'} (r_0)^2 Z_{K'} Z_{K'} f_K(q) f_{K'}^*(q) S_{KK'}(q)$$

$$\times \left[1 + \frac{\cos^2(2\theta)}{2} \right]$$

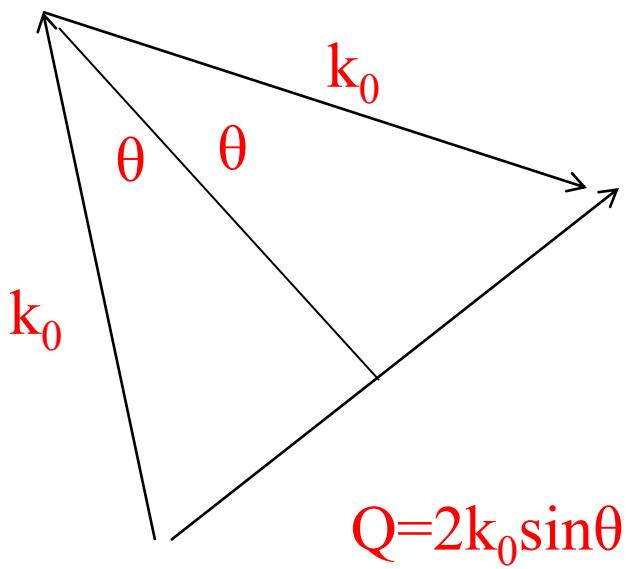
More than one type of atom

(K, K' = Different atomic types)

$$S_{KK'}(q) = \left\langle \sum_{i(K)j(K')} e^{-i\vec{q} \cdot [\vec{R}_i(K) - \vec{R}_j(K')]} \right\rangle$$

⇒ partial structure factor

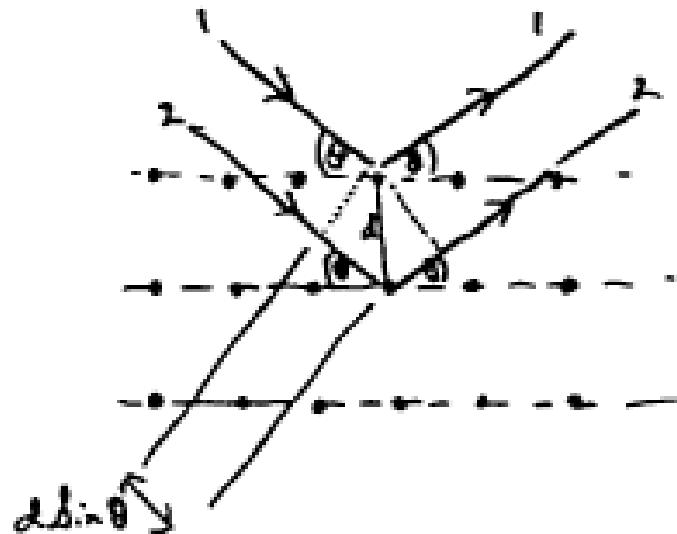
These can be unscrambled by simultaneous measurements of $\frac{d\sigma}{d\Omega}$ for neutrons, different isotopes + x-rays.



$$Q=2k_0\sin\theta$$

In general, in a scattering experiment

$$|\vec{q}| = 2k \sin \theta = \frac{4\pi}{\lambda} \sin \theta$$



A simple way to see Bragg's Law:

Path length difference between rays reflected from successive planes (1 and 2) = $2d \sin \theta$

∴ Constructive interference when

$$n\lambda = 2d \sin \theta$$

Define 3 other vectors:

$$\bar{b}_1 = 2\pi(\bar{a}_2 \times \bar{a}_3)/v_0$$

$$\bar{b}_2 = 2\pi(\bar{a}_3 \times \bar{a}_1)/v_0$$

$$\bar{b}_3 = 2\pi(\bar{a}_1 \times \bar{a}_2)/v_0$$

$$v_0 = \bar{a}_1 \cdot (\bar{a}_2 \times \bar{a}_3)$$

= unit cell vol.

These have the property that $\bar{a}_i \cdot \bar{b}_j = 2\pi\delta_{ij}$

So if we choose any vector \bar{G} on the lattice defined by $\bar{b}_1, \bar{b}_2, \bar{b}_3$:

$$\bar{G} = n_1 \bar{b}_1 + n_2 \bar{b}_2 + n_3 \bar{b}_3$$

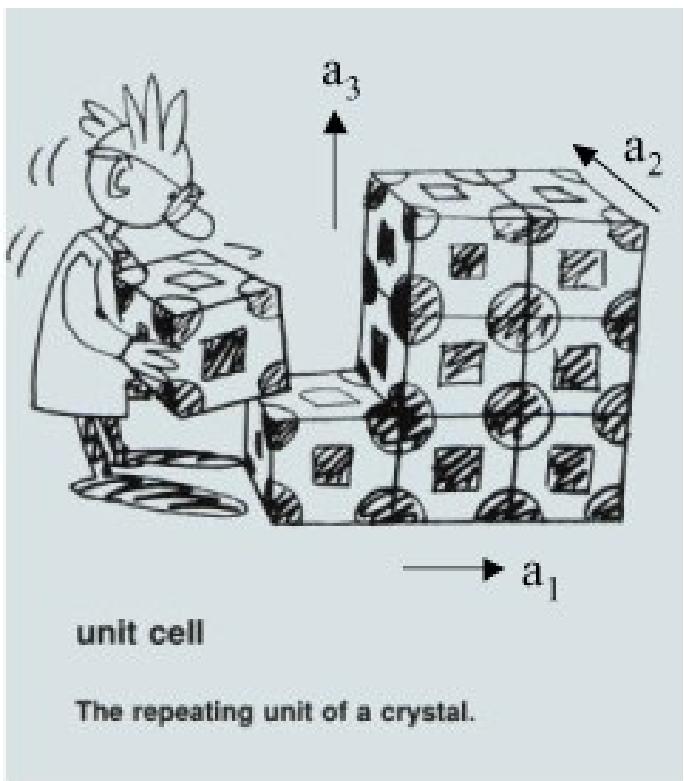
then for any \bar{G}, \bar{R}_ℓ ,

$\bar{G} \cdot \bar{R}_\ell = 2\pi \times \text{integer} \rightarrow$ Implies \bar{G} is normal to sets of planes of atoms spaced $n 2\pi/G$ apart.



OR

$$e^{i\bar{G} \cdot \bar{R}_\ell} = 1$$



unit cell

The repeating unit of a crystal.

Reciprocal Lattice

Lattice Vectors $\bar{R}_\ell = m_1 \bar{a}_1 + m_2 \bar{a}_2 + m_3 \bar{a}_3$

$\bar{a}_1, \bar{a}_2, \bar{a}_3 \rightarrow$ primitive translation vectors of unit ce

S.K. Sinha

Crystals (Bravais or Monotonic)

$$\left(\frac{d\sigma}{d\Omega} \right)_{neutrons} = \langle b \rangle^2 \left\langle \sum_{\ell\ell'} e^{-i\bar{q} \cdot (\bar{R}_\ell - \bar{R}_{\ell'})} \right\rangle$$

where \bar{R}_ℓ denotes a lattice site

$$= N \langle b \rangle^2 \left\langle \sum_{\ell} e^{-i\bar{q} \cdot \bar{R}_\ell} \right\rangle$$

Now

$$\sum_{\ell} e^{-i\bar{q} \cdot \bar{R}_\ell} = \frac{(2\pi)^3}{v_0} \sum_{\bar{G}} \delta(\bar{q} - \bar{G})$$

v_0 = Vol. of unit cell; \bar{G} = Reciprocal Lattice Vector

[Property of reciprocal lattices and direct lattices:

$$e^{-i\bar{G} \cdot \bar{R}_\ell} = e^{in \cdot 2\pi} = 1]$$

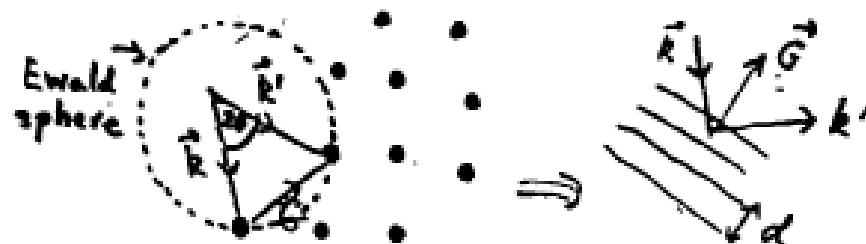
$$\left(\frac{d\sigma}{d\Omega} \right)_{neutrons} = \langle b \rangle^2 N \cdot \frac{(2\pi)^3}{v_0} \sum_{\bar{G}} \delta(\bar{q} - \bar{G}) e^{-2W}$$

(Introduce e^{-2W} = "Form factor" for thermal smearing of atoms = $e^{-\langle(\vec{q} \cdot \vec{u})^2\rangle}$ \Rightarrow Debye-Waller factor)

Similarly,

$$\left(\frac{d\sigma}{d\Omega} \right)_{x-rays} = Z^2 r_0^2 \left(\frac{1 + \cos^2(2\theta)}{2} \right) f^2(\vec{q}) e^{-2W}$$

$$N \cdot \frac{(2\pi)^3}{v_0} \sum_{\vec{G}} \delta(\vec{q} - \vec{G})$$



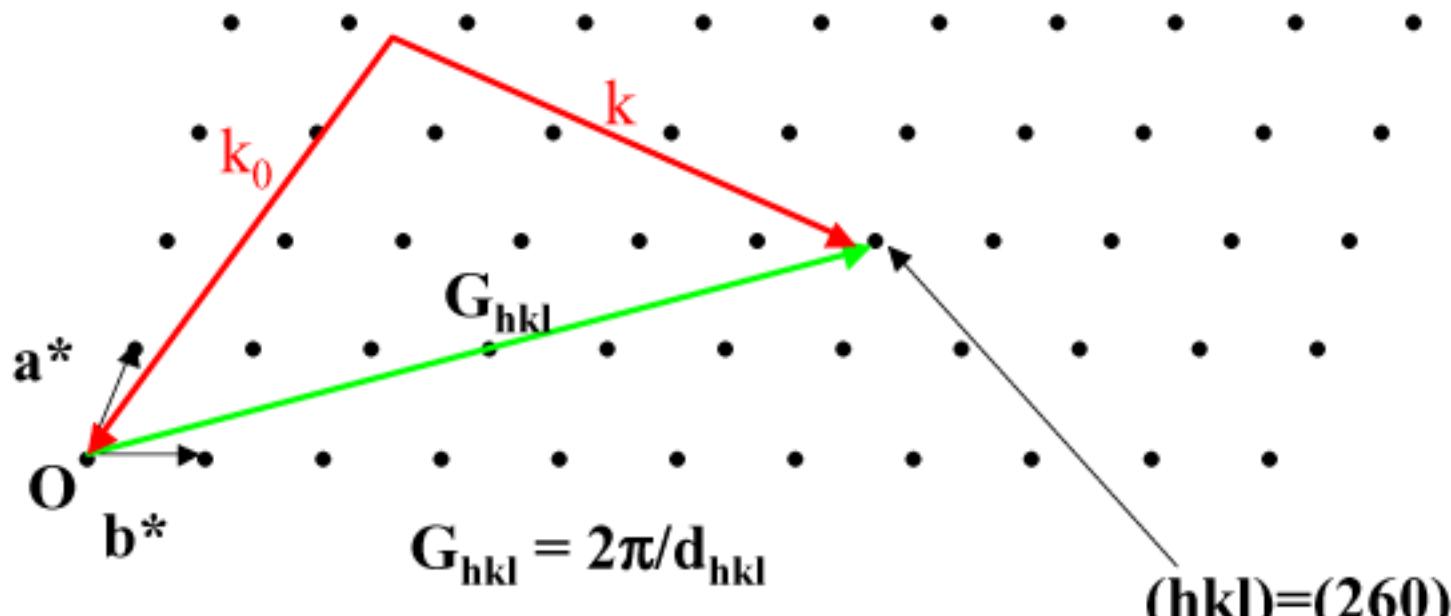
Bragg Reflections:

$$\vec{k}' - \vec{k} = \vec{G}$$

$$2k \sin \theta = G = \frac{2\pi}{d} n$$

$$\rightarrow n\lambda = 2d \sin \theta \quad \text{Bragg's Law}$$

Reciprocal Space – An Array of Points (hkl) that is Precisely Related to the Crystal Lattice



$$a^* = 2\pi(b \times c)/V_0, \text{ etc.}$$

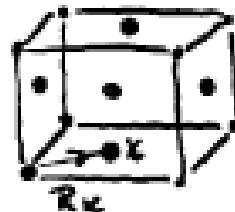
A single crystal has to be aligned precisely to record Bragg scattering

Crystals with Complex Unit Cells (more than one type of atom/cell)

Generalization

$$\left(\frac{d\sigma}{d\Omega} \right) = \left\langle \sum_{\substack{\ell\ell' \\ KK'}} b_K b_{K'} e^{-i\vec{q} \cdot (\vec{R}_\ell + \vec{R}_{K'} - \vec{R}_{\ell'} - \vec{R}_{K'})} \right\rangle$$

where b_K is coherent scattering length $\langle b \rangle$ for K -type atom in unit cell at position \vec{R}_K .



$$= \left| \sum_K b_K e^{-i\vec{q} \cdot \vec{R}_K} e^{-2W_K} \right|^2 \sum_{\ell\ell'} e^{-i\vec{q} \cdot (\vec{R}_\ell - \vec{R}_{\ell'})}$$

F (structure factor)

$$\left(\frac{d\sigma}{d\Omega} \right)_{neutron} = \frac{N \cdot (2\pi)^3}{v_0} \sum_G |F_G|^2 \delta(\vec{q} - \vec{G})$$

$$\left(\frac{d\sigma}{d\Omega} \right)_{x-ray} = \frac{N \cdot (2\pi)^3}{v_0} \sum_G |F_G|^2 \delta(\vec{q} - \vec{G}) \left(\frac{1 + \cos^2(2\theta)}{2} \right)$$

where

$$F_G = \sum_K Z_K f_K(\vec{G}) r_0 e^{-2W_K} e^{-i\vec{G} \cdot \vec{R}_K}$$

— x-ray structure factor

Measurement of Structure Factors \rightarrow Structure

BUT what is measured is $|F_G|^2$ NOT F_G !

\rightarrow “Phase Problem” \rightarrow Special Methods

Note that $|F_G|^2$ can be written $\sum_{KK'} \mu_K \mu_{K'} e^{-i\vec{G} \cdot (\vec{R}_K - \vec{R}_{K'})}$

so that its F.T. yields information about pairs of atoms

separated by $\vec{R}_K - \vec{R}_{K'} \Rightarrow$ Patterson Function.



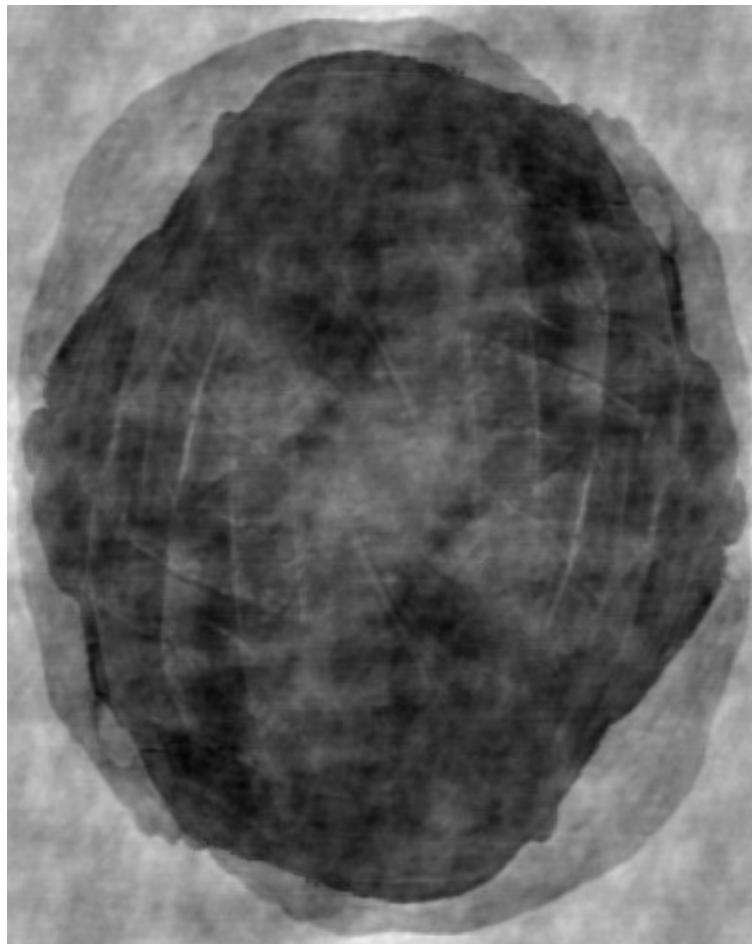
The “Phase Problem”

Object
A



Object
B

Fourier Reconstruction with phases of object A and phases of Object B



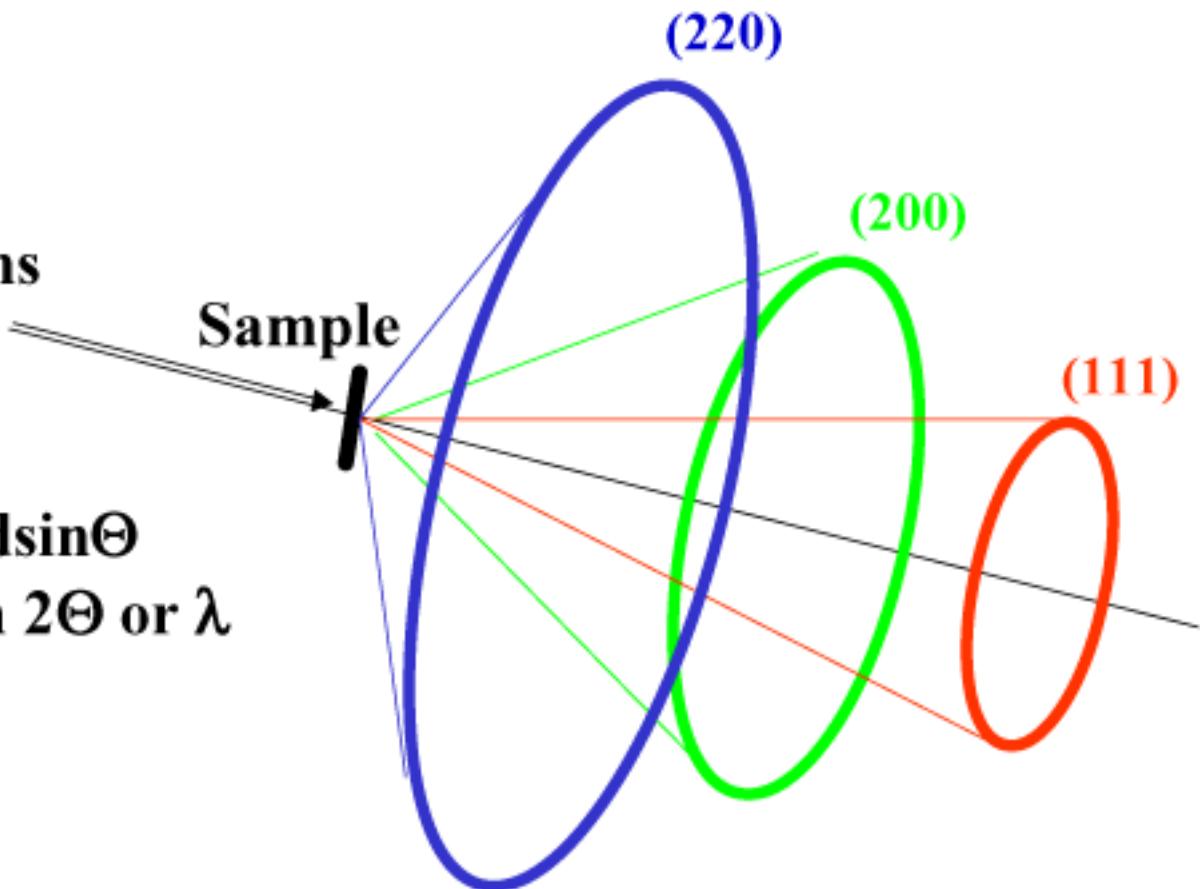
Fourier Reconstruction with phases of object B and phases of Object A



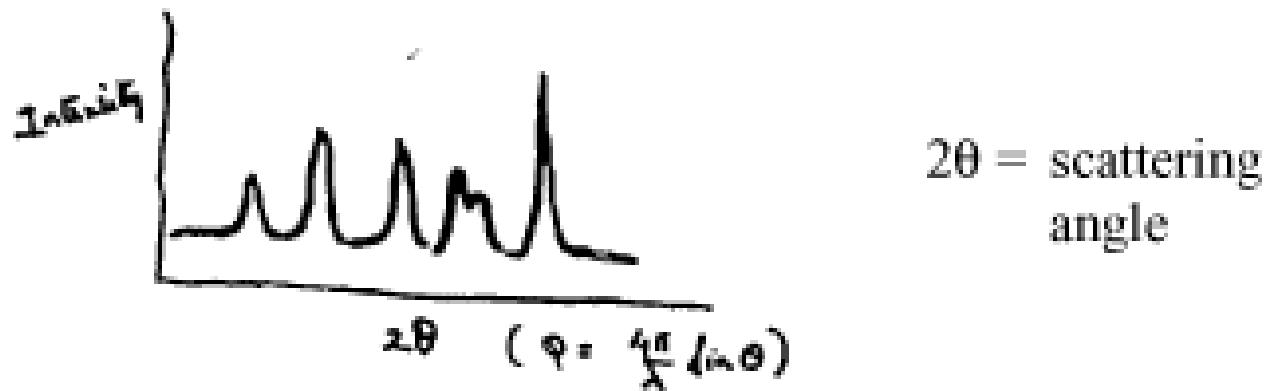
Powder Diffraction gives Scattering on Debye-Scherrer Cones

**Incident beam
x-rays or neutrons**

Bragg's Law $\lambda = 2d\sin\Theta$
Powder pattern – scan 2Θ or λ



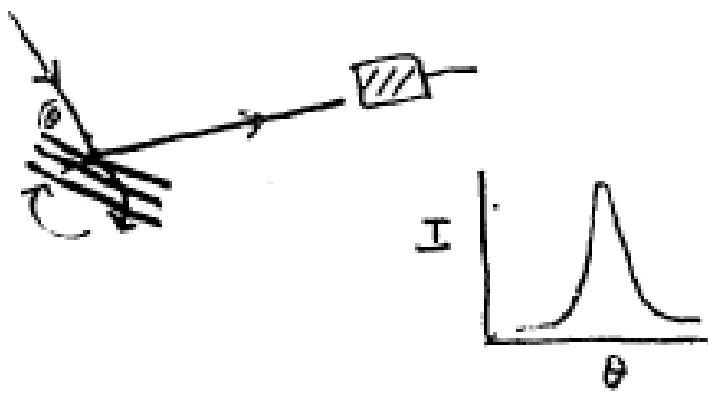
For a given \vec{k} , \vec{k}' will lie on a cone (Debye-Scherrer cone) traced out by a \vec{G} on the Ewald sphere as it is oriented randomly about the origin of reciprocal space.



Peaks whenever $\sin \theta = \frac{\lambda}{2d_{hkl}}$ for all sets of planes

indexable by (h,k,ℓ) with spacing d_{hkl} (provided $|F_{hkl}|^2 \neq 0$)

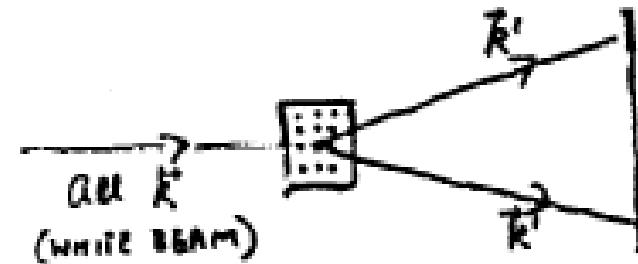
B. Single Crystal Bragg Methods



Integrated Intensity under Bragg Peak

$$I_{hkl} = \Phi \frac{V}{v_0^2} \frac{\lambda^3}{\sin(2\theta)} |F_{hkl}|^2$$

C. Laue Method



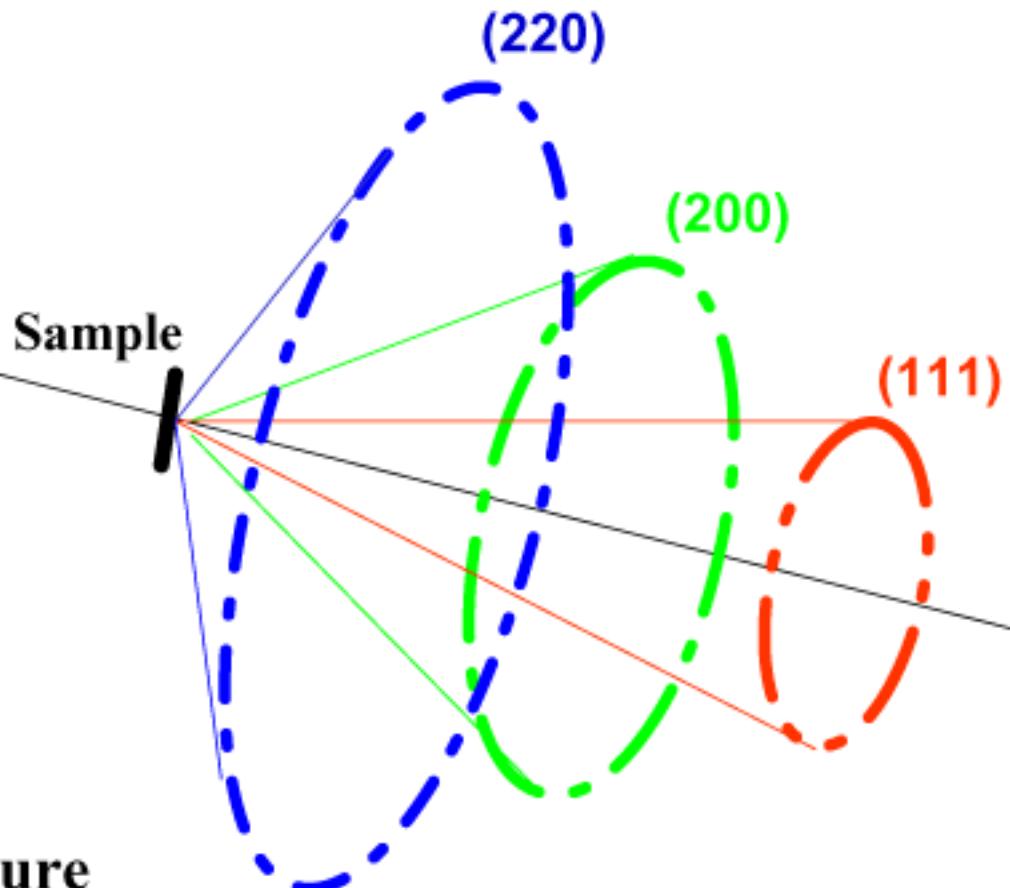
$$I_{hkl} = \phi(\lambda) \frac{V}{v_0^2} \frac{\lambda^4}{2 \sin^2 \theta} |F_{hkl}|^2$$

$\phi(\lambda)d\lambda$ = Incident flux between $\lambda, \lambda+d\lambda$

Texture Measurement by Diffraction

Non-random crystallite orientations in sample

Incident beam
x-rays or neutrons



Debye-Scherrer cones

- uneven intensity due to texture
- different pattern of unevenness for different hkl's
- intensity pattern changes as sample is turned

2-D Crystals (Adsorbed Monolayers, Films)

If \bar{R}_ℓ are all restricted to say the (x,y) plane, z -component of \bar{q} will not affect

$$S(\bar{q}) = \sum_{\ell\ell'} e^{i\bar{q} \cdot (\bar{R}_\ell - \bar{R}_{\ell'})}$$

which is thus independent of q_z .

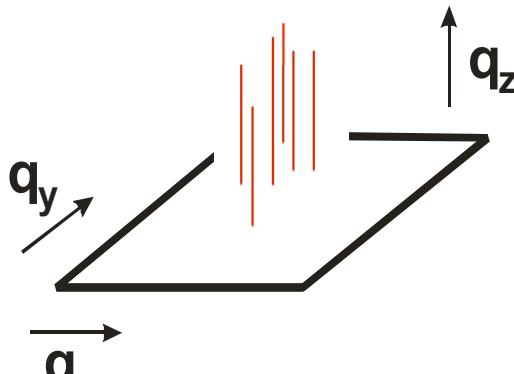
$$S(q) \propto \sum_{G_\parallel} \delta[\bar{q}_\parallel - \bar{G}_\parallel]$$

where

\bar{G}_\parallel is 2-D reciprocal lattice vector in plane

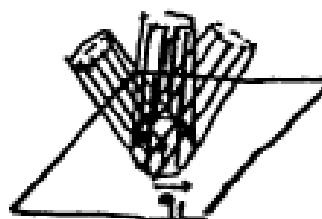
\bar{q}_\parallel is (x,y) plane component of \bar{q}

\Rightarrow diffraction is on rods in reciprocal space through the \bar{G}_\parallel and parallel to z -axis



Only q_z -dependence of I along rod is due to $f(\bar{q})e^{-2W}$ (functions of q_z but slowly varying)

Powders of 2-D Crystals



asymmetric (saw-tooth) powder peak shape

(Warren)

Alloys, Crystals with Defects (vacancies, impurities, etc.)

$$\frac{d\sigma}{d\Omega} = \left\langle \sum_{\ell\ell'} b_\ell b_{\ell'} e^{-i\vec{q}\cdot(\vec{R}_\ell - \vec{R}_{\ell'})} \right\rangle$$

[For neutrons, b_ℓ = (Sc. length of nucleus at site ℓ) $\times e^{-W_\ell}$.

For x-rays, $b_\ell = Zf(q) e^{-W_\ell} r_0$ for atom at site ℓ .]

For 2 types of atoms 1,2 with b_1, b_2

$$\frac{d\sigma}{d\Omega} = \left\langle \sum_{\ell\ell'} [b_1 \rho_\ell + b_2 (1 - \rho_\ell)][b_1 \rho_{\ell'} + b_2 (1 - \rho_{\ell'})] \right.$$

$$\left. \times \left[e^{-i\vec{q}\cdot(\vec{R}_\ell - \vec{R}_{\ell'})} \right] \right\rangle$$

where

ρ_ℓ = probability of occupn. by atom 1 on site ℓ .

$$\rho_\ell = c + \delta\rho_\ell$$

$c = \langle \rho_\ell \rangle$ = Concn. of type 1.

$$\frac{d\sigma}{d\Omega} = (\bar{b})^2 S_0(\bar{q}) + \sum_{\ell\ell'} (f_1 - f_2)^2 \left\langle \delta\rho_\ell \delta\rho_{\ell'} e^{-i\bar{q}\cdot(\bar{R}_\ell - \bar{R}_{\ell'})} \right\rangle$$

where

$$\bar{b} = b_1 c + b_2 (1 - c) = \text{average } b$$

$$S_0(\bar{q}) = \frac{(2\pi)^3}{v_0} \sum_{\bar{G}} \delta(\bar{q} - \bar{G}) \quad [\text{Bragg Peaks}]$$

2nd term → Diffuse Scattering

If $\delta\rho_\ell, \delta\rho_{\ell'}$ uncorrelated, $\langle \delta\rho_\ell \delta\rho_{\ell'} \dots \rangle \sim \delta_{\ell\ell'}$

$$2^{\text{nd}} \text{ term} = (f_1 - f_2)^2 \left\langle \delta\rho_\ell^2 \right\rangle = [(f_1 - f_2)^2 c(1 - c)]$$

Small Angle Scattering (SANS) (SAXS)

Length scale probed in a scattering experiment at

wave-vector transfer \bar{q} is $\sim \left(\frac{2\pi}{q} \right)$ (e.g., Bragg scattering $d_{hkl} \sim \frac{2\pi}{G_{hkl}}$)

Thus small \bar{q} scattering probes large length scales, not atomic or molecular structure.

At small q , one can consider “smeared out” nuclear or electron density varying relatively slowly in space.

$$I(\bar{q}) \propto \iiint d\bar{r} d\bar{r}' e^{-i\bar{q} \cdot (\bar{r} - \bar{r}')} \langle \rho_s(\bar{r}) \rho_s(\bar{r}') \rangle$$

where

$\rho_s(\bar{r})$ = scattering length (average) density for
neutrons
= electron density for electrons.

Since uniform $\rho_s(\vec{r})$ would give only forward scattering, we use the deviations (contrast) from the average density

$$I(q) \propto \iint d\vec{r} d\vec{r}' e^{-i\vec{q} \cdot (\vec{r} - \vec{r}')} \langle \delta\rho_s(\vec{r}) \delta\rho_s(\vec{r}') \rangle$$

Single Particles (Dilute Limit)

Let ρ_0 be average *sld* (e.g., embedding media or solvent)

ρ_1 be average *sld* of particle (assume uniform)

$$I(\vec{q}) \propto (\rho_1 - \rho_0)^2 \left| \int_V d\vec{r} e^{-i\vec{q} \cdot \vec{r}} \right|^2 = (\rho_1 - \rho_0)^2 |f(\vec{q})|^2$$

where V is over volume of particle, $f(\vec{q})$ is determined by shape of particle, e.g., for sphere of radius R ,

$$f(q) = (V_0) \frac{\sin(qR) - qR \cos(qR)}{(qR)^3}$$

V_0 = Particle Volume

origin of \vec{r} is taken as centroid of particle.

Expanding exponential,

$$\int_V d\vec{r} e^{-i\vec{q} \cdot \vec{r}} = V_0 - i\vec{q} \cdot \int_V \vec{r} d\vec{r} - \frac{1}{2} \int_V d\vec{r} (\vec{q} \cdot \vec{r})^2 + \dots$$

$$\simeq V_0 \left[1 - \frac{1}{2} \frac{\int_V d\vec{r} (\vec{q} \cdot \vec{r})^2}{\int_V d\vec{r}} + \dots \right]$$

$$= V_0 \left[1 - \frac{q^2}{6} \frac{\int_V d\vec{r} r^2}{\int_V d\vec{r}} + \dots \right]$$

r_G^2 r_G = radius of gyration

$$\text{so } I(\vec{q}) \propto (\rho_1 - \rho_0)^2 V_0^2 = \left[1 - \frac{1}{3} q^2 r_G^2 + \dots \right] \quad \text{approx.}$$

$$I(\vec{q}) \simeq A (\rho_1 - \rho_0)^2 V_0^2 e^{-\frac{1}{3} q^2 r_G^2}$$

↓
Guinier Approxn.

Scattering for Spherical Particles

The particle form factor $|F(\vec{Q})|^2 = \left| \int_V d\vec{r} e^{i\vec{Q}\cdot\vec{r}} \right|^2$ is determined by the particle shape.

For a sphere of radius R, $F(Q)$ only depends on the magnitude of Q :

$$F_{sphere}(Q) = 3V_0 \left[\frac{\sin QR - QR \cos QR}{(QR)^3} \right] \equiv \frac{3V_0}{QR} j_1(QR) \rightarrow V_0 \text{ at } Q = 0$$

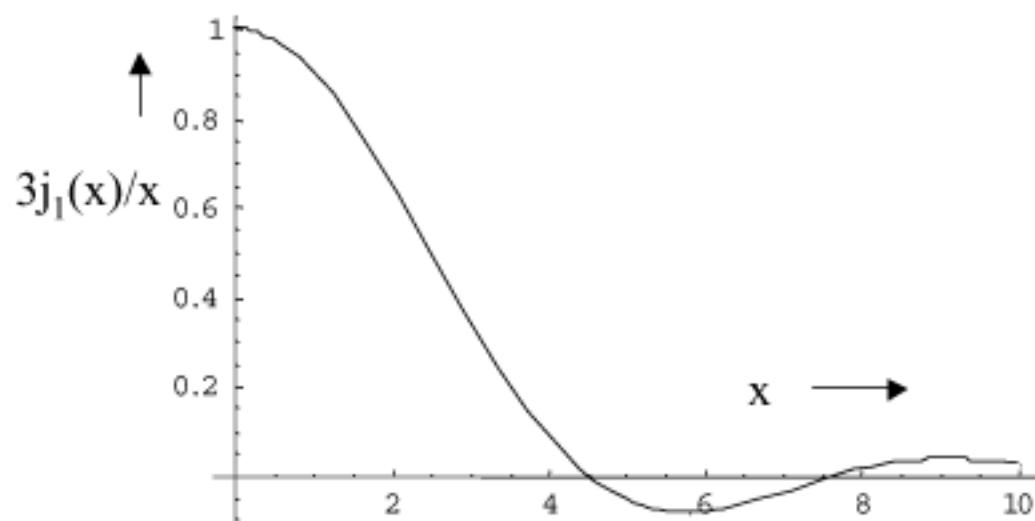
Thus, as $Q \rightarrow 0$, the total scattering from an assembly of uncorrelated spherical particles [i.e. when $G(\vec{r}) \rightarrow \delta(\vec{r})$] is proportional to the square of the particle volume times the number of particles.

For elliptical particles

replace R by:

$$R \rightarrow (a^2 \sin^2 \vartheta + b^2 \cos^2 \vartheta)^{1/2}$$

where ϑ is the angle between
the major axis (a) and \vec{Q}



Determining Particle Size From Dilute Suspensions

- Particle size is usually deduced from dilute suspensions in which inter-particle correlations are absent
- In practice, instrumental resolution (finite beam coherence) will smear out minima in the form factor
- This effect can be accounted for if the spheres are mono-disperse
- For poly-disperse particles, maximum entropy techniques have been used successfully to obtain the distribution of particles sizes

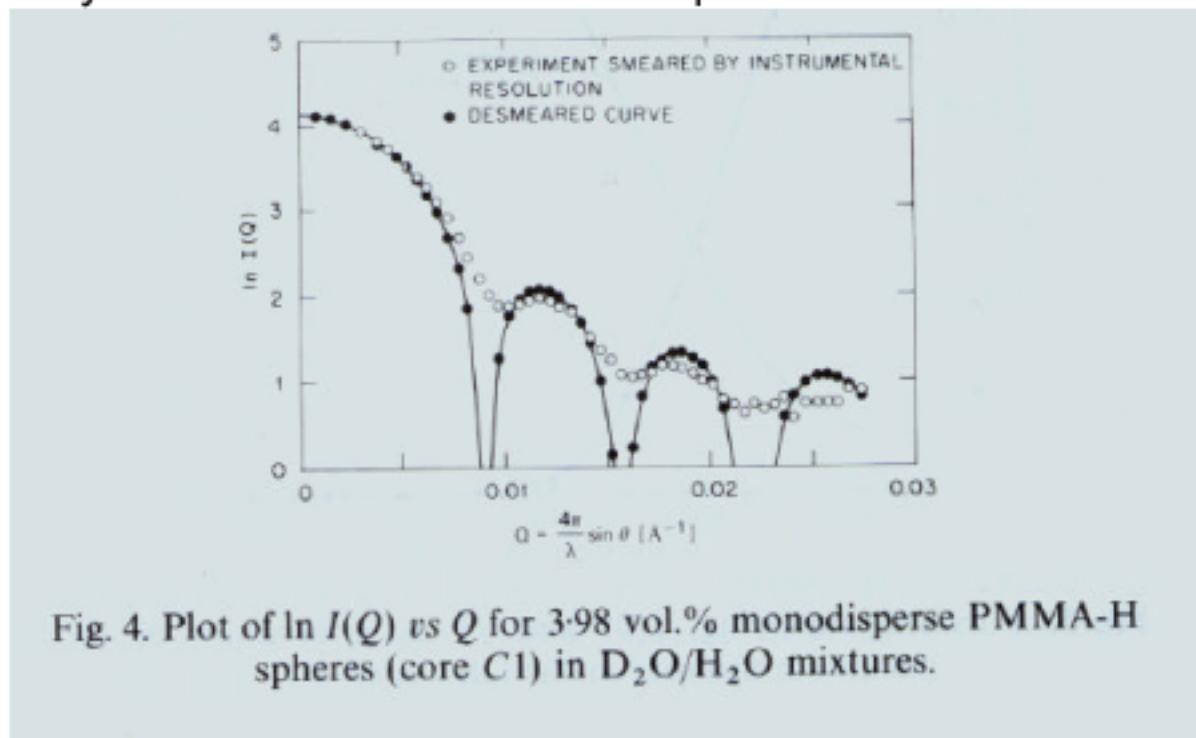
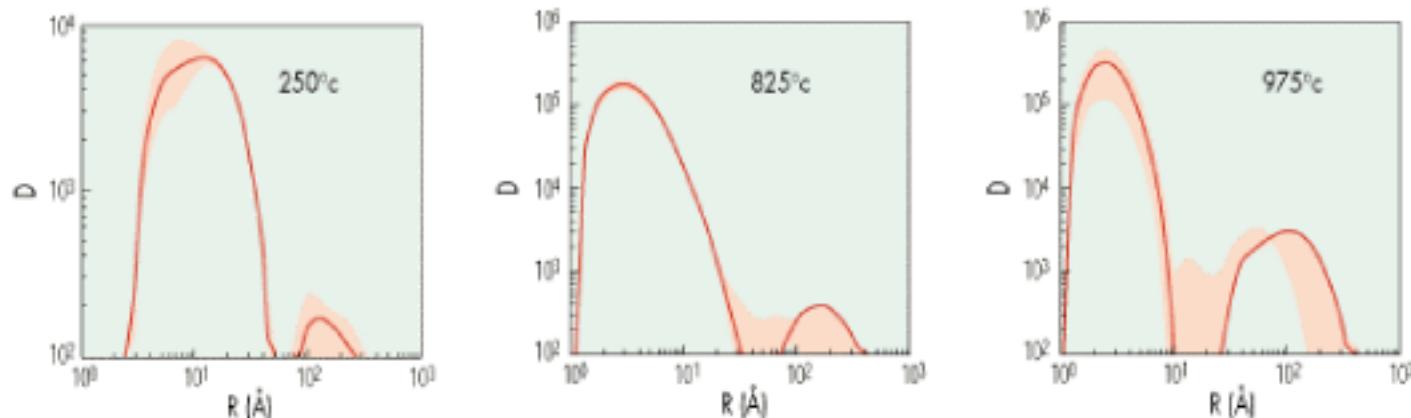
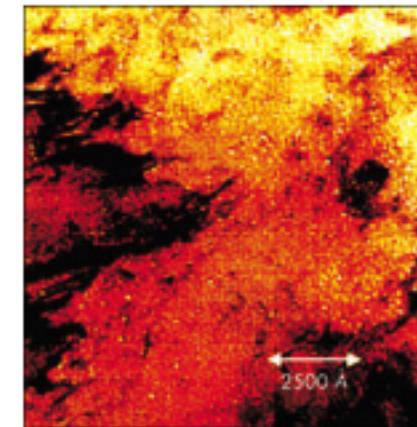


Fig. 4. Plot of $\ln I(Q)$ vs Q for 3.98 vol.% monodisperse PMMA-H spheres (core C1) in $\text{D}_2\text{O}/\text{H}_2\text{O}$ mixtures.

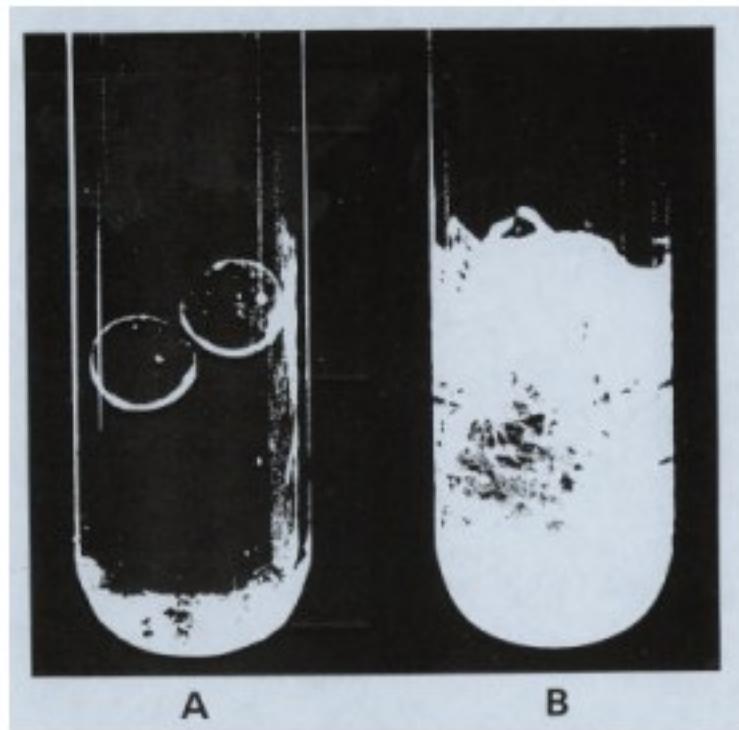
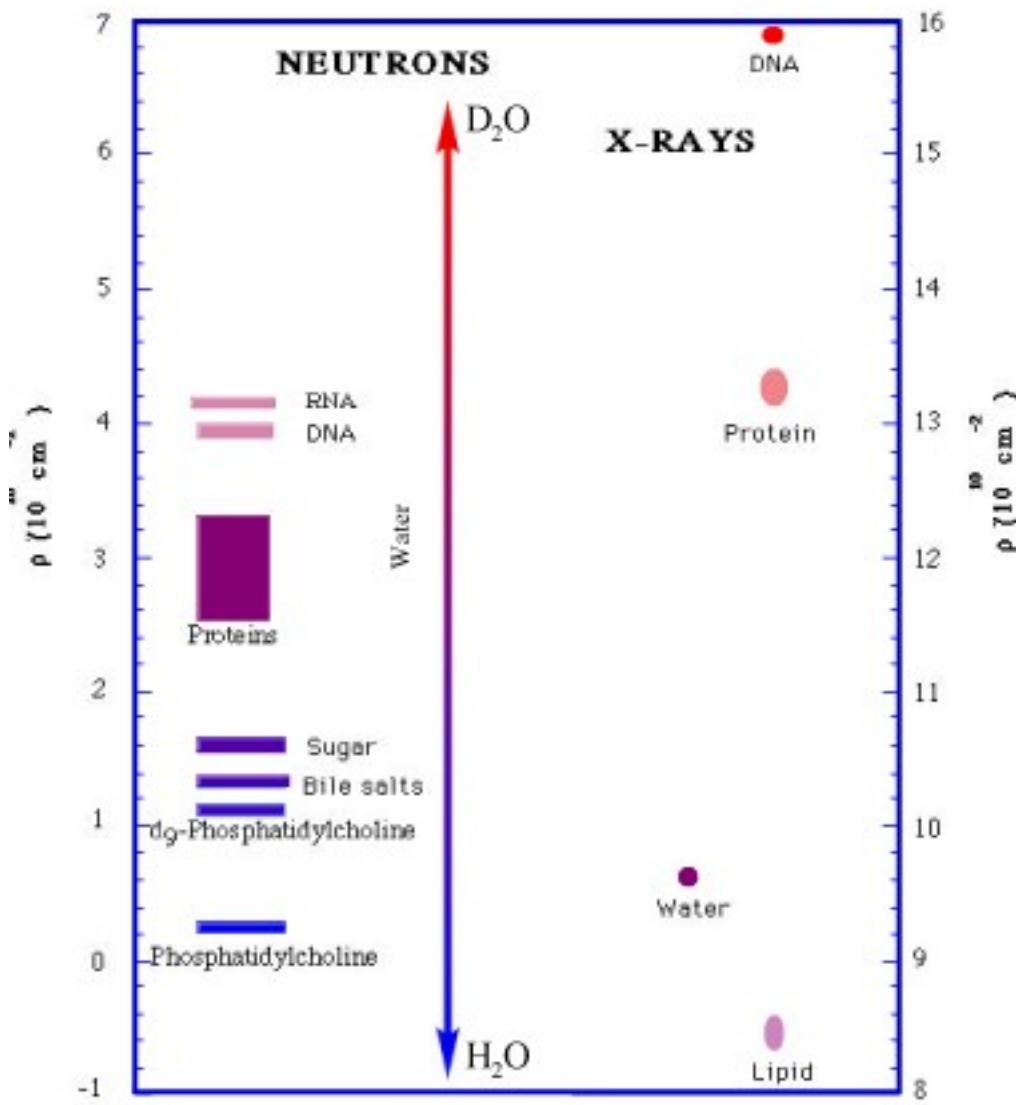
Size Distributions Have Been Measured for Helium Bubbles in Steel

- The growth of He bubbles under neutron irradiation is a key factor limiting the lifetime of steel for fusion reactor walls
 - Simulate by bombarding steel with alpha particles
- TEM is difficult to use because bubble are small
- SANS shows that larger bubbles grow as the steel is annealed, as a result of coalescence of small bubbles and incorporation of individual He atoms



SANS gives bubble volume (arbitrary units on the plots) as a function of bubble size at different temperatures. Red shading is 80% confidence interval.

Contrast & Contrast Matching



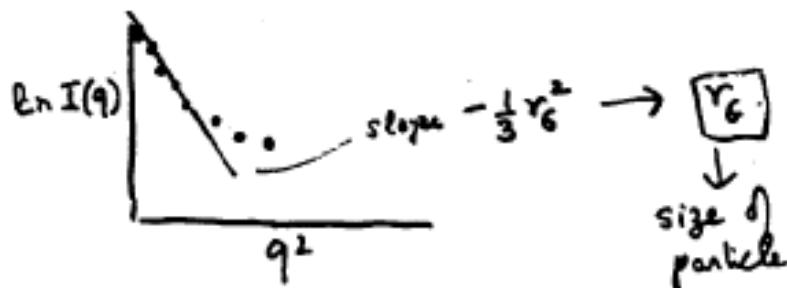
Both tubes contain borosilicate beads + pyrex fibers + solvent. (A) solvent refractive index matched to pyrex;. (B) solvent index different from both beads and fibers – scattering from fibers dominates

* Chart courtesy of Rex Hjelm

Isotopic Contrast for Neutrons

Hydrogen Isotope	Scattering Length b (fm)
¹ H	-3.7409 (11)
² D	6.674 (6)
³ T	4.792 (27)

Nickel Isotope	Scattering Lengths b (fm)
⁵⁸ Ni	15.0 (5)
⁶⁰ Ni	2.8 (1)
⁶¹ Ni	7.60 (6)
⁶² Ni	-8.7 (2)
⁶⁴ Ni	-0.38 (7)



$$S_0(\bar{q}) = \sum_{\ell\ell'} e^{i\bar{q} \cdot (\bar{R}_\ell - \bar{R}_{\ell'})} = \text{S.F. of centers of particles}$$

→ Liquid- or glass-like

Fractals These are systems which are scale-invariant (usually in a statistically averaged sense) i.e., $R \rightarrow \kappa R$, the object resembles itself ("self-similarity")

Property: If $n(R)$ is number of particles inside a sphere of radius R

$$n(R) \sim R^D$$

D = Fractal (Hausdorff) Dimension

It follows that

$$4\pi R^2 dR g(R) = CR^{D-1} dR \quad C = \text{constant}$$

$$\therefore g(R) = \frac{C}{4\pi} R^{D-3} = \frac{C}{4\pi} \frac{1}{R^{3-D}}$$

$$\therefore S_0(\bar{q}) = \int d\bar{R} e^{-i\bar{q} \cdot \bar{R}} g(R) = \text{Const} \times \frac{1}{q^D}$$

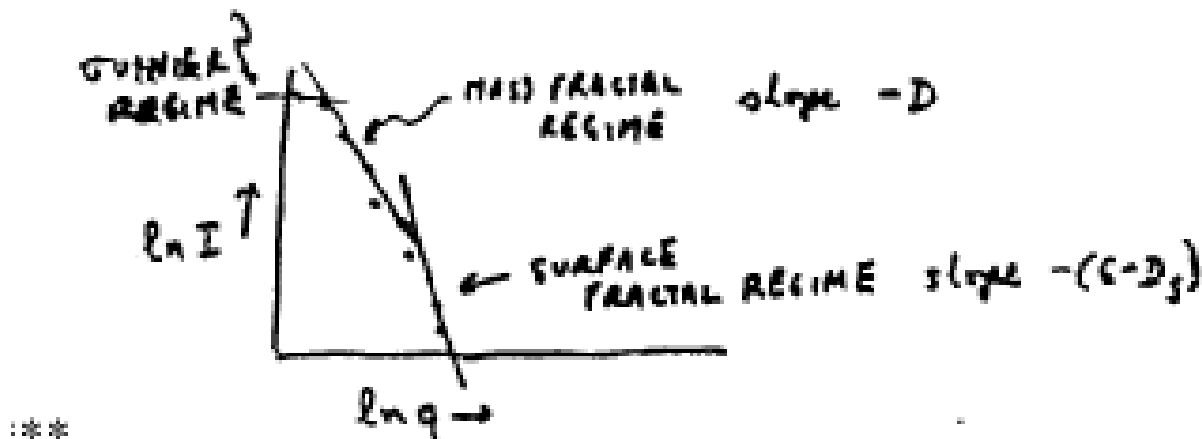
Small-Angle Scattering Is Used to Study:

- { Sizes } of particles in dilute solution (Polymers, Shapes Micelles, Colloids, Proteins, Precipitates, ...)
- Correlation between particles in concentrated solutions (Aggregates, Fractals, Colloidal Crystals and Liquids)
- 2-component or multicomponent systems (Binary fluid mixtures, Porous Media, Spinodal Decomposition)

For colloidal, micellar liquids:

$$S(\bar{q}) = \sum_{\ell\ell'} f_\ell(\bar{q}) f_{\ell'}^*(\bar{q}) e^{i\bar{q} \cdot (\bar{R}_\ell - \bar{R}_{\ell'})}$$

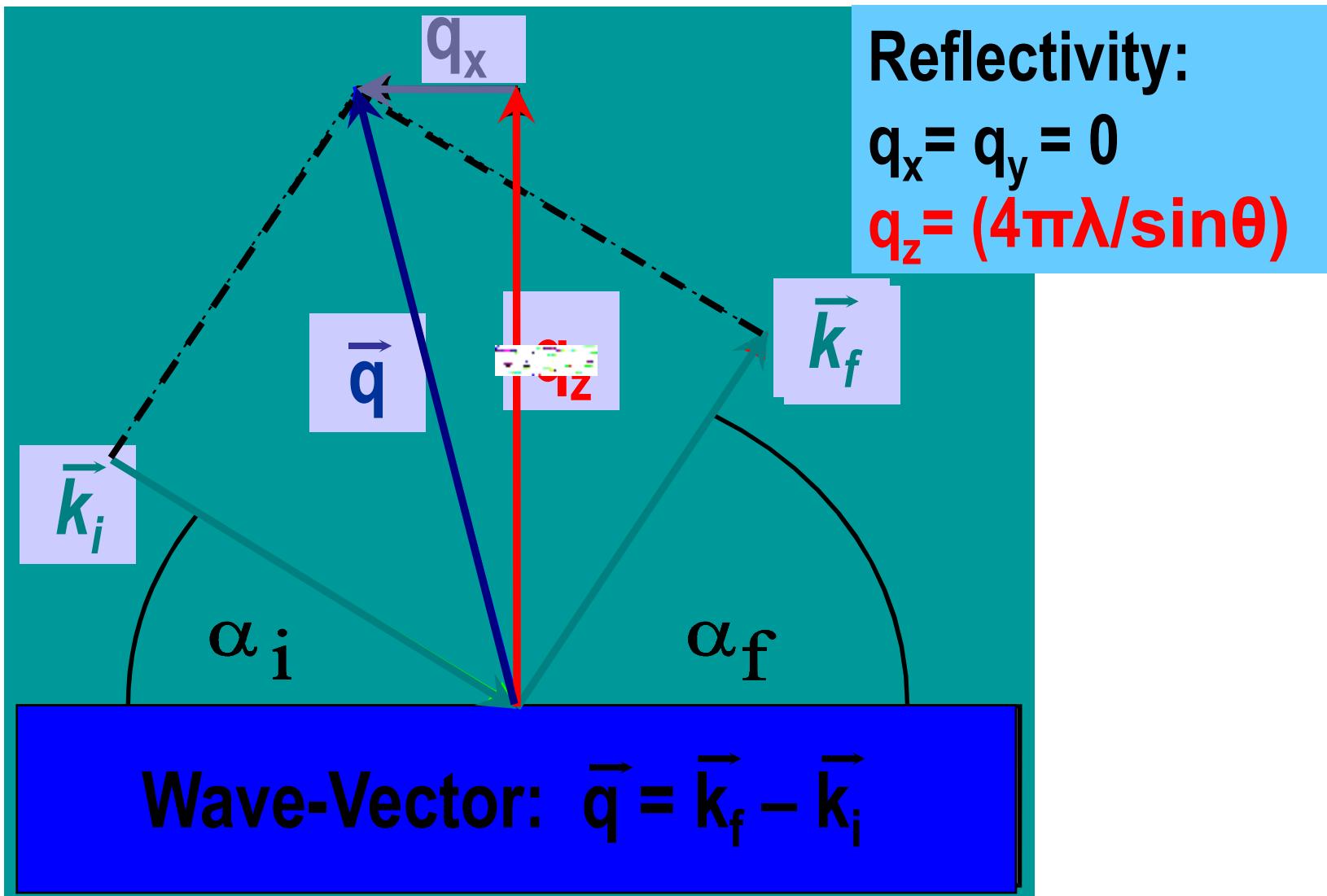
$$\xrightarrow{\text{Form Factor}} = [f_\ell(\bar{q})]^2 S_0(\bar{q}) \xleftarrow{\text{Structure Factor}}$$



examples: Aggregates of micelles, colloids, granular materials, rocks*

$$\text{Surface fractals } S(q) \sim \frac{1}{q^{6-D_S}}$$

Scattering Geometry & Notation



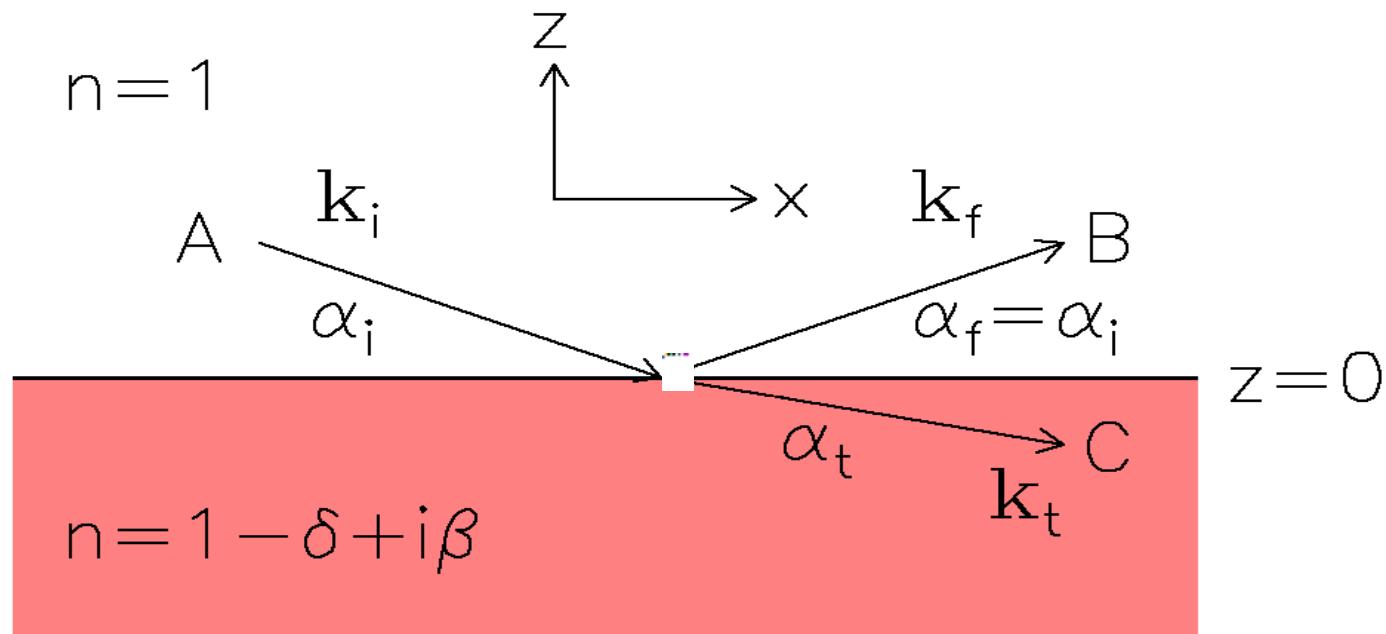
Reflection of Visible Light



Perfect & Imperfect „Mirrors“



Basic Equation: X-Rays



Helmholtz-Equation & Boundary Conditions

$$\nabla^2 E(r) + k^2 n^2(r) E(r) = 0$$

Refractive Index: X-Rays & Neutrons

$$n_x^2(\vec{r}) = 1 + N \frac{e^2}{m \varepsilon_0} \frac{f(\vec{r}, E)}{\omega_0^2 - \omega^2 - 2i \eta_0 \omega} + \text{magnetic part}$$

$$n_n^2(\vec{r}) = 1 - \frac{2m\lambda^2}{h^2} V(\vec{r}) + \text{magnetic part}$$

The diagram illustrates the decomposition of the refractive index $n(\vec{r})$ into its real and imaginary components. A red rectangular box contains the equation $n(\vec{r}) = 1 - \delta(\vec{r}) + i \beta(\vec{r})$. Above the box, a blue cube sits on a horizontal red line, which represents the real part of the refractive index. Three blue arrows point downwards from the text labels to the red line: one from "Minus!!" to the left end of the line, one from "Dispersion" to the middle of the line, and one from "Absorption" to the right end of the line.

$$n(\vec{r}) = 1 - \delta(\vec{r}) + i \beta(\vec{r})$$

Minus!!

Dispersion

Absorption

Derivation of n for neutrons:

Consider Schrodinger Eqn.

$$-(\hbar^2/2m)\nabla^2\psi + (V - E)\psi = 0 \quad E = (\hbar^2/2m)k_0^2$$

can be written:

$$\nabla^2\psi + [1 - (2m/[\hbar^2 k_0^2])V] k_0^2\psi = 0$$

$$V = (2\pi\hbar^2/m)b N; \quad k_0 = 2\pi/\lambda$$

so:

$$n^2 = (1 - (2m/[\hbar^2 k_0^2])V) = 1 - (\lambda^2 b / \pi) N$$

$$2\text{nd term} \ll 1, \text{ so } n = 1 - (\lambda^2 b / 2\pi) N$$

Refractive Index: X-Rays

$$n(z) = 1 - \frac{\lambda^2}{2\pi} r_e \varrho(z) + i \frac{\lambda}{4\pi} \mu(z)$$

	$r_e \varrho (10^{10} \text{cm}^{-2})$	$\delta (10^{-6})$	$\mu (\text{cm}^{-1})$	$\alpha_c (\text{°})$
Vacuum	0	0	0	0
PS (C_8H_8) _n	9.5	3.5	4	0.153
PMMA ($\text{C}_5\text{H}_8\text{O}_2$) _n	10.6	4.0	7	0.162
PVC ($\text{C}_2\text{H}_3\text{Cl}$) _n	12.1	4.6	86	0.174
PBrS ($\text{C}_8\text{H}_7\text{Br}$) _n	13.2	5.0	97	0.181
Quartz (SiO_2)	18.0–19.7	6.8–7.4	85	0.21–0.22
Silicon (Si)	20.0	7.6	141	0.223
Nickel (Ni)	72.6	27.4	407	0.424
Gold (Au)	131.5	49.6	4170	0.570

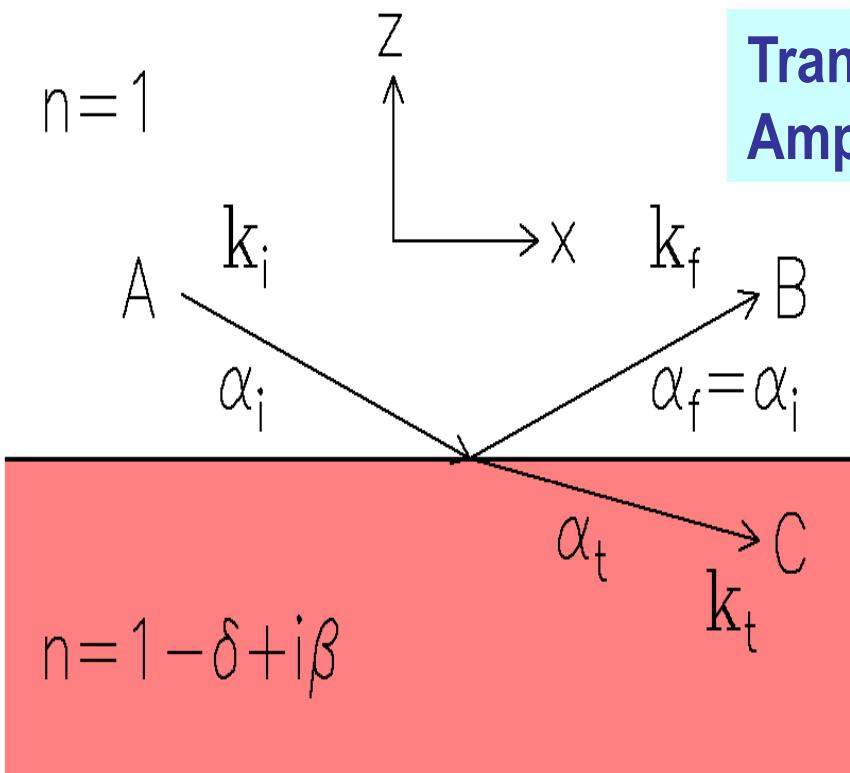
$$\varrho(z) = \langle \varrho(x, y, z) \rangle_{x,y}$$

Electron Density
Profile !

$$E = 8 \text{ keV} \quad \lambda = 1.54 \text{ \AA}$$

Single Interface: Vacuum/Matter

Fresnel- Formulae



Reflected
Amplitude

$$r = \frac{B}{A} = \frac{k_{i,z} - k_{t,z}}{k_{i,z} + k_{t,z}}$$

Transmitted
Amplitude

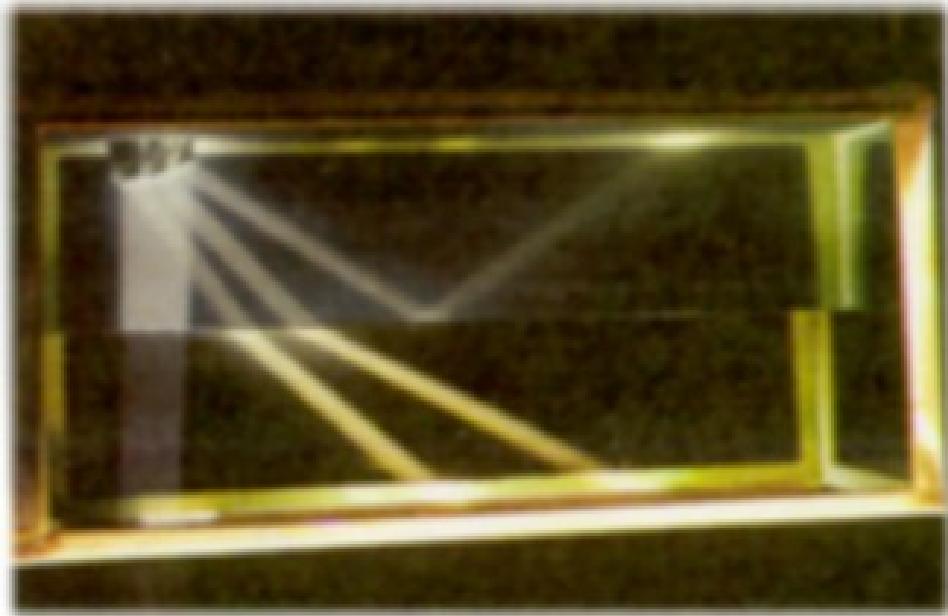
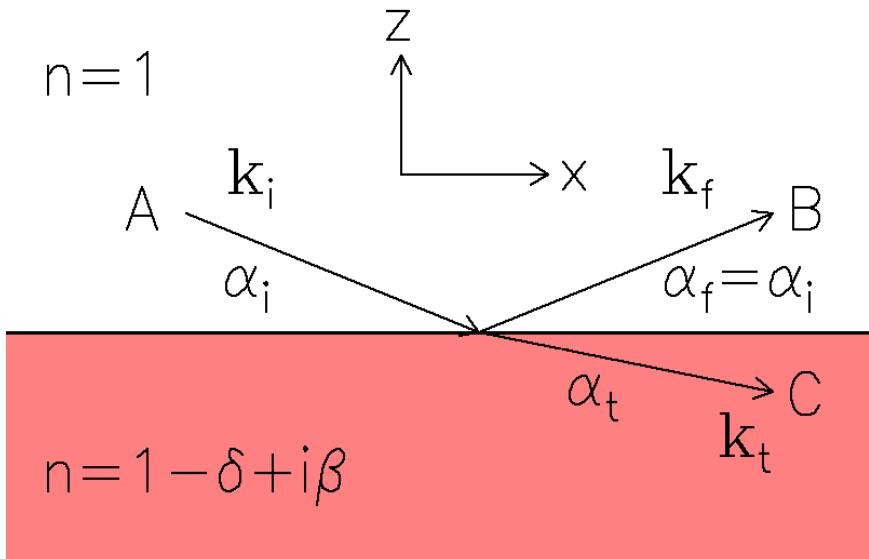
$$t = \frac{C}{A} = \frac{2 k_{i,z}}{k_{i,z} + k_{t,z}}$$

Wave-
Vectors

$$k_{i,z} = k \sin \alpha_i$$

$$k_{t,z} = k(n^2 - \cos^2 \alpha_i)^{1/2}$$

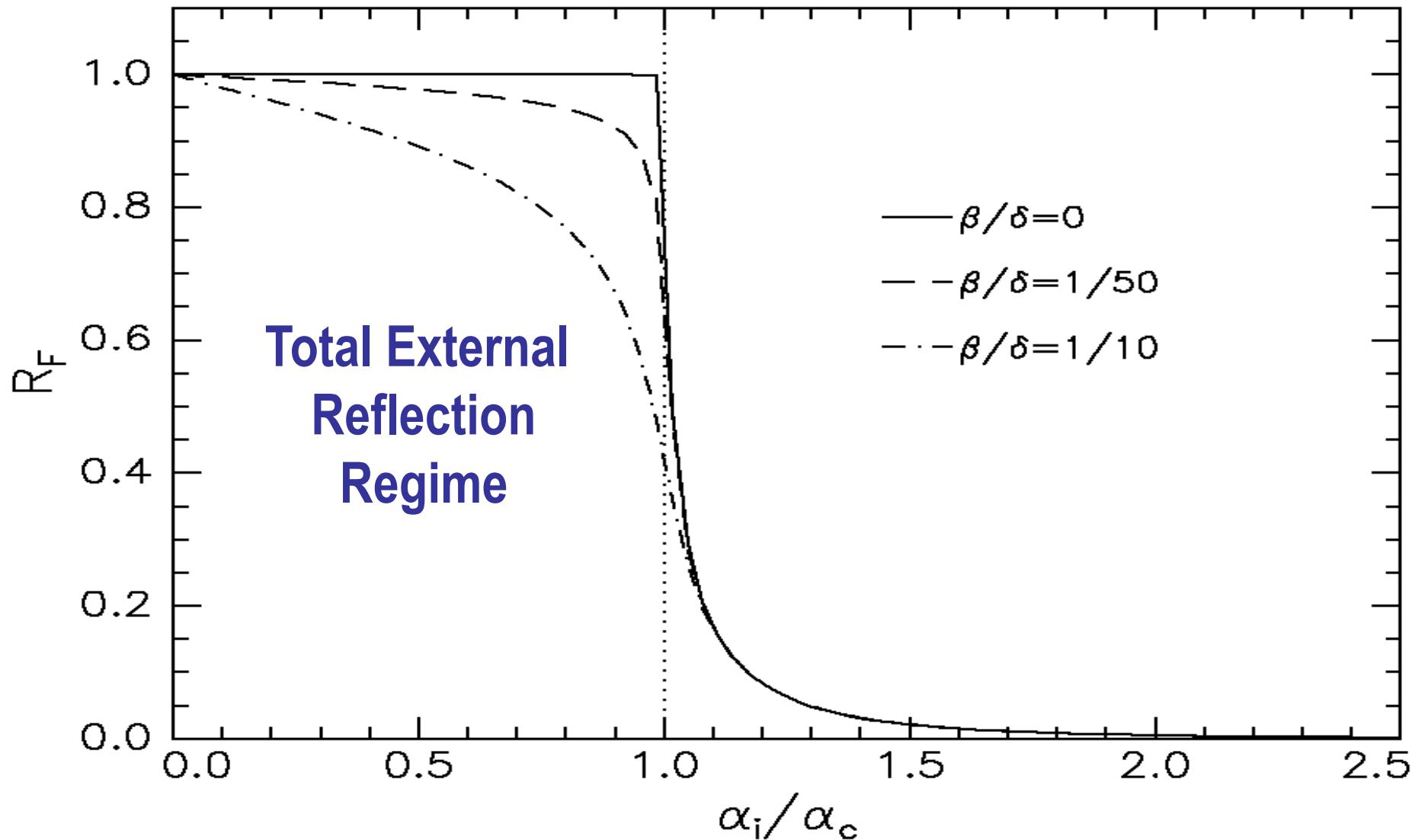
Total External Reflection



$$\cos \alpha_i = (1 - \delta) \cos \alpha_t$$

Critical Angle:
 $\alpha_c = (2\delta)^{1/2} \sim 0.3^\circ$

Fresnel Reflectivity: $R_F(\alpha_i)$



The „Master Formula“

Reformulation for Interfaces

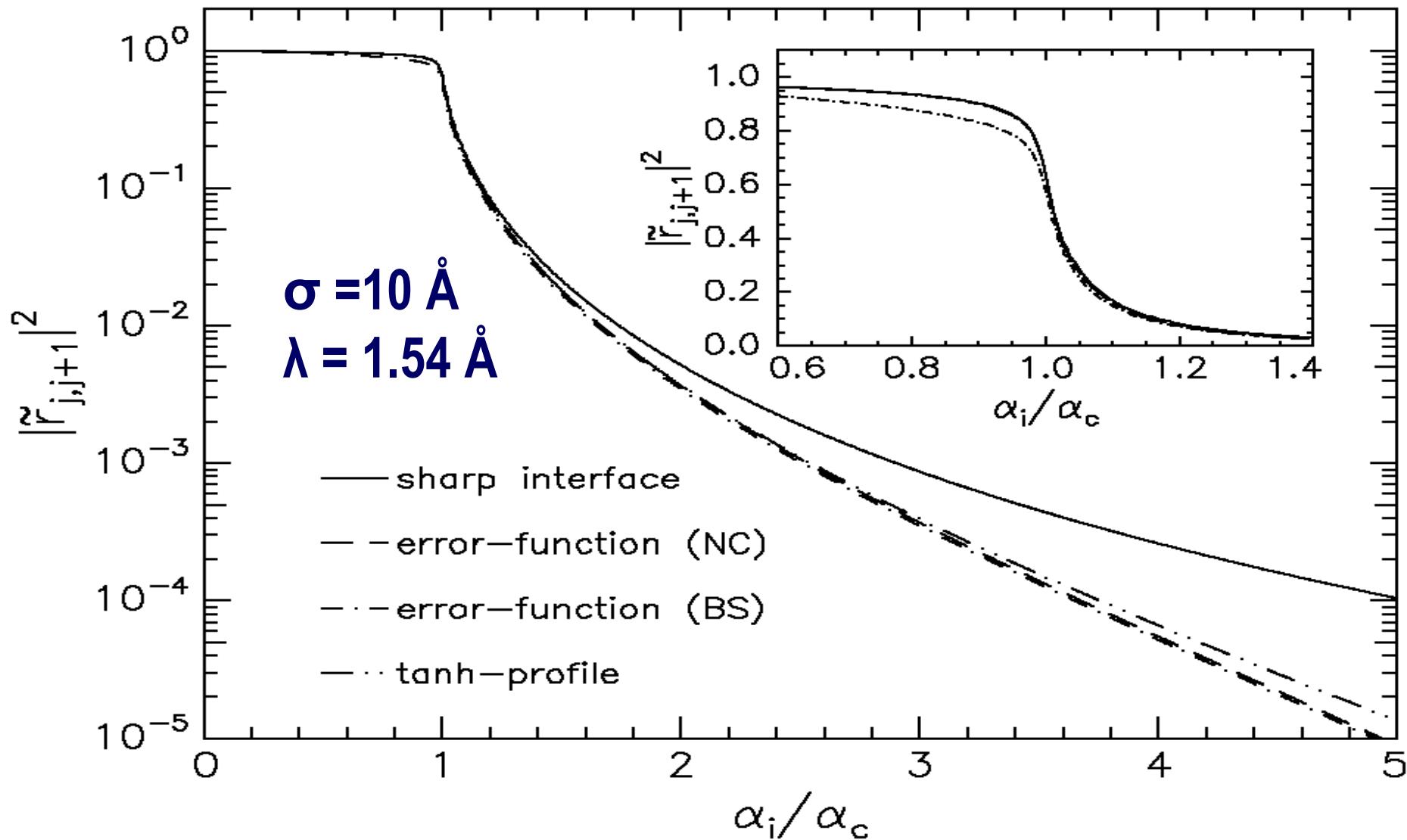
$$R(q_z) = R_F(q_z) \left| \frac{1}{\varrho_\infty} \int \frac{d\varrho(z)}{dz} \exp(i q_z z) dz \right|^2$$

**Fresnel-Reflectivity
of the Substrate**

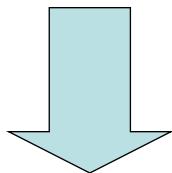
Electron Density Profile

$$R(q_z) = R_F \exp(-q_z^2 \sigma^2)$$

Roughness Damps Reflectivity

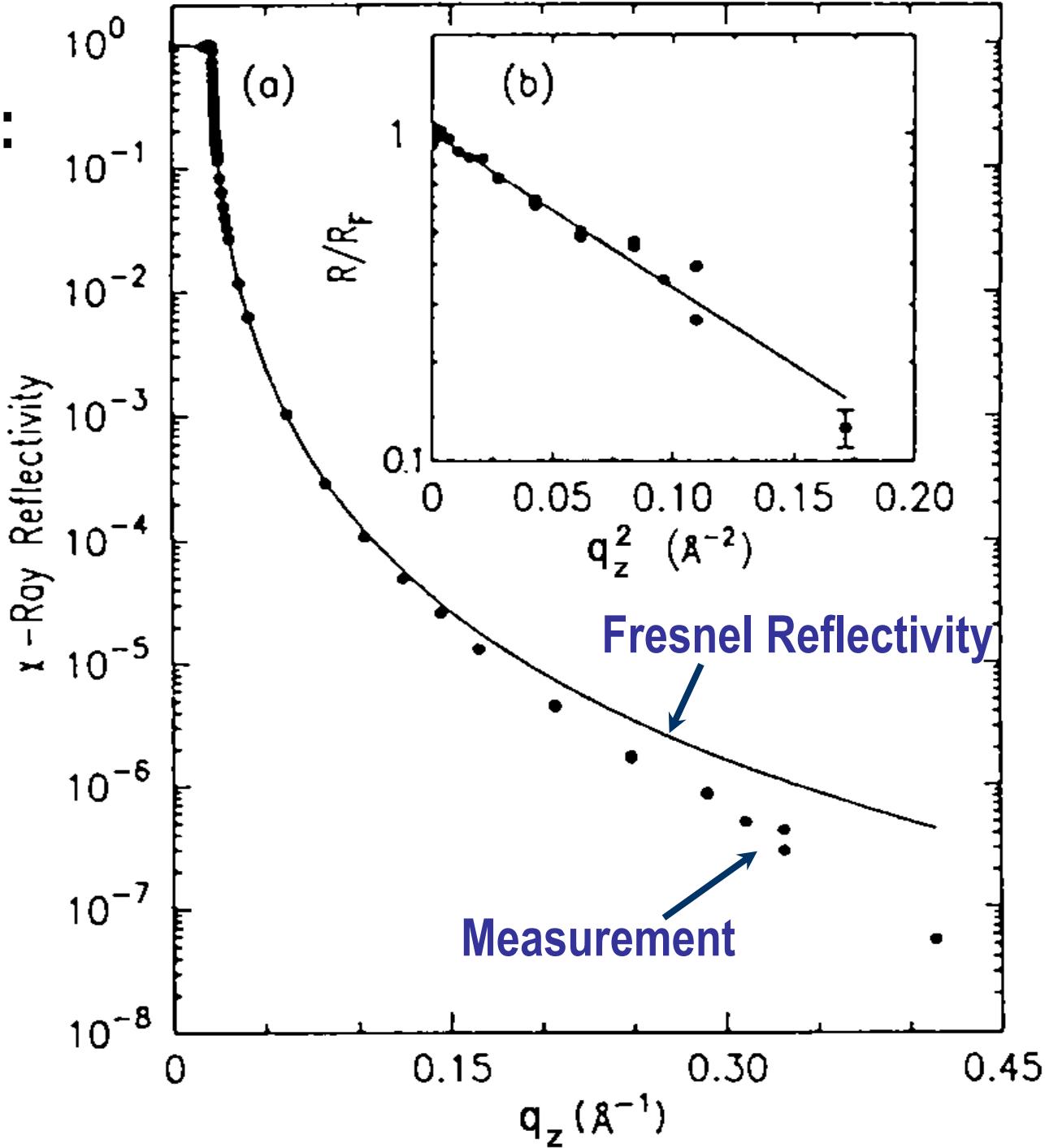


X-Ray Reflectivity: Water Surface

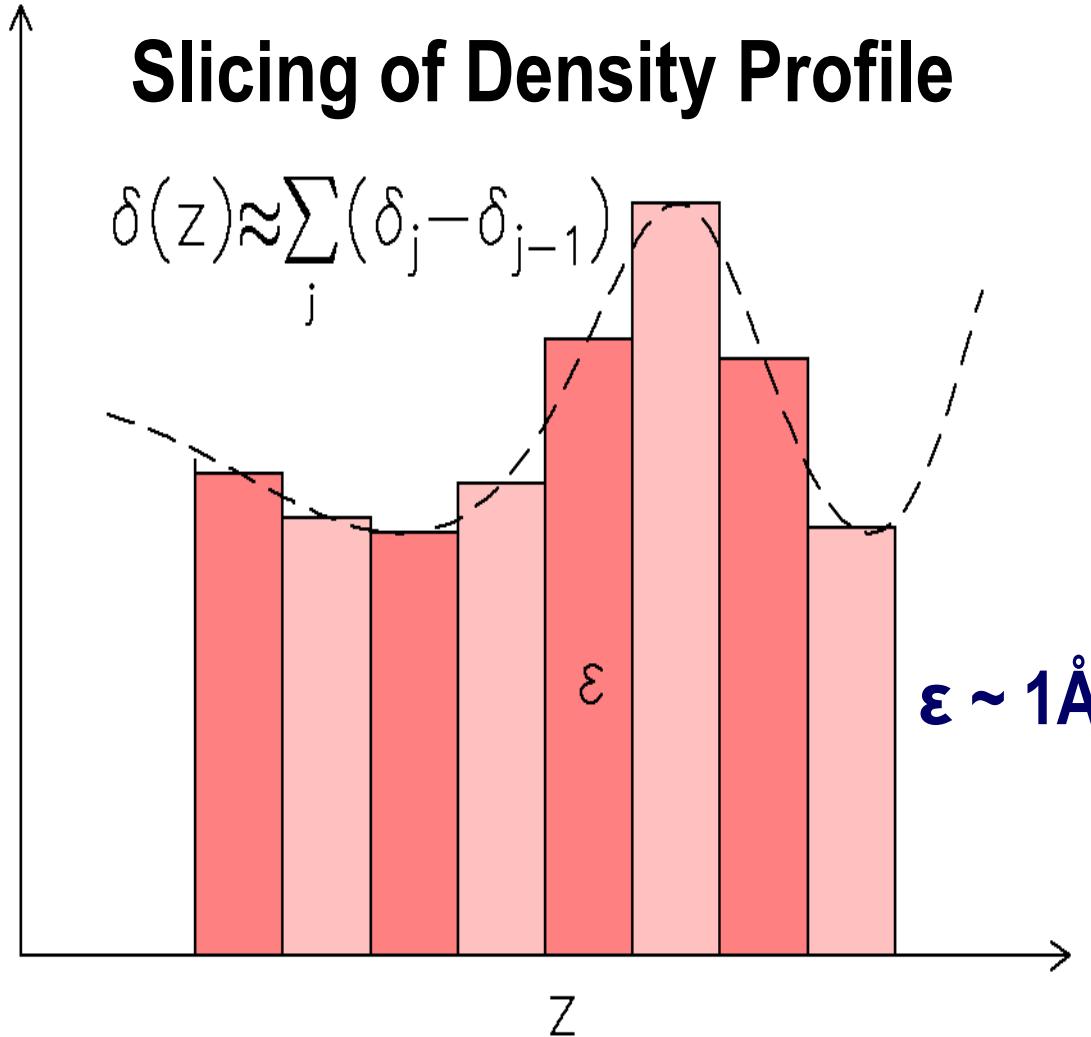


Difference
Experiment-
Theory:
Roughness !!

Braslau et al.
PRL 54, 114 (1985)



Calculation of Reflectivity



Example: PS Film on Si/SiO₂

