

SPALLATION NEUTRON SOURCE PROJECT EXECUTION PLAN

APPENDIX D

The section of the PEP contains the current SNS Project Data Sheet.

99-E-334 – Spallation Neutron Source, Oak Ridge National Laboratory, Oak Ridge, Tennessee

(Changes from FY 2003 Congressional Budget Request are denoted with a vertical line in the left margin.)

1. Construction Schedule History

	Fiscal Quarter				Total Estimated Cost (\$000)	Total Project Cost (\$000)
	A-E Work Initiated	A-E Work Completed	Physical Construction Start	Physical Construction Complete		
FY 1999 Budget Request (Preliminary Estimate)	1Q 1999	4Q 2003	3Q 2000	4Q 2005	1,138,800	1,332,800
FY 2000 Budget Request	1Q 1999	4Q 2003	3Q 2000	1Q 2006	1,159,500	1,360,000
FY 2001 Budget Request	1Q 1999	4Q 2003	3Q 2000	3Q 2006	1,220,000	1,440,000
FY 2001 Budget Request (<i>Amended</i>) ..	1Q 1999	4Q 2003	1Q 2000	3Q 2006	1,192,700	1,411,700
FY 2002 Budget Request	1Q 1999	4Q 2003	1Q 2000	3Q 2006	1,192,700	1,411,700
FY 2003 Budget Request	1Q 1999	4Q 2003	1Q 2000	3Q 2006	1,192,700	1,411,700
FY 2004 Budget Request (<i>Current Estimate</i>).....	1Q 1999	4Q 2003	1Q 2000	3Q 2006	1,192,700	1,411,700

2. Financial Schedule ¹

(dollars in thousands)

Fiscal Year	Appropriations	Obligations	Costs
1999	101,400	101,400	37,140
2000	100,000	100,000	105,542
2001	258,929	258,929	170,453
2002	276,300	276,300	253,059
2003	210,571	210,571	322,277
2004	124,600	124,600	176,690
2005	79,800	79,800	73,065
2006	41,100	41,100	54,474

¹ In FY 2001, two grants were awarded to universities for research covering the design, fabrication and installation of instruments for neutron scattering. Both awards were made based on competitive peer review under 10CFR Part 605, Financial Assistance Program. Both instruments will be located at the SNS. These awards follow the advice of the Basic Energy Sciences Advisory Committee, that the Department should “expand the university base for neutron scattering. The only way to build the user base required to be internationally competitive is to enhance the participation from academic institutions. An immediate injection of funds to support the exploitation of pulsed neutron sources for science by the U.S. academic community is needed.” Several universities participate in these grants, including MIT, University of California, University of Delaware, University of Colorado, University of Utah, Johns Hopkins, University of New Mexico, and Syracuse University. Pennsylvania State University submitted an application on April 12, 2001. After peer review the award to Pennsylvania State University was made for 5 years, starting August 15, 2001, and ending August 14, 2006, for a total of \$12,824,168 of operating funds for an instrument for research in inelastic neutron scattering, quantum liquids, magnetism, environmental chemistry, polymer dynamics, and lubrication. This instrument will be owned by Pennsylvania State University. In order to efficiently fund certain work related to this instrument that must uniquely be performed by the SNS project (e.g., design and procurement of target interfacing components, technical coordination, instrument installation), DOE will be providing about 20 percent of the above grant amount directly to Oak Ridge National Laboratory and the grant will be reduced accordingly.

The California Institute of Technology submitted an application on June 11, 2001. After peer review, the award to California Institute of Technology was made for 5 years, starting September 15, 2001, and ending September 14, 2006, for a total of \$11,579,000 of operating funds for an instrument for research in lattice dynamics, magnetic dynamics, chemical physics, and characterization of novel materials. This instrument will be owned by California Institute of Technology. As with the previous instrument grant and for the same reasons, DOE will be providing about 20 percent of the grant amount directly to Oak Ridge National Laboratory and the grant will be reduced accordingly.

In addition to the two above identified instruments, the Basic Energy Sciences program will provide \$5,000,000 of Materials Sciences and Engineering subprogram funding in FY 2003 and FY 2004 to continue the development of instrumentation to exploit the scientific potential of the SNS facility. These instruments will be built by individual DOE laboratories or consortia of DOE laboratories in collaboration with the SNS based on scientific merit and importance to users from universities, industries, and government laboratories. The instruments will be operated for users by the SNS based on applications for experiments selected competitively by the peer review procedures established for access to the SNS. See further discussion in Materials Sciences and Engineering subprogram under X-ray and Neutron Scattering.

3. Project Description, Justification and Scope ¹

The purpose of the Spallation Neutron Source (SNS) Project is to provide a next-generation short-pulse spallation neutron source for neutron scattering and related research in broad areas of the physical, chemical, materials, biological, and medical sciences. The SNS will be a national facility with an open user policy attractive to scientists from universities, industries, and federal laboratories. It is anticipated that the facility, when in full operation, will be used by 1,000-2,000 scientists and engineers each year and that it will meet the national need for neutron science capabilities well into the 21st Century.

The scientific justification and need for a new neutron source and instrumentation in the U.S. were established by numerous studies by the scientific community since the 1970s. These include the 1984 National Research Council study *Major Facilities for Materials Research and Related Disciplines* (the Seitz-Eastman Report), which recommended the immediate start of the design of both a steady-state source and an accelerator-based pulsed spallation source. More recently, the 1993 DOE Basic Energy Sciences Advisory Committee (BESAC) report *Neutron Sources for America's Future* (the Kohn Panel Report) again included construction of a new pulsed spallation source with SNS capabilities among its highest priorities. This conclusion was even more strongly reaffirmed by the 1996 BESAC Report (the Russell Panel Report), which recommended the construction of a 1 megawatt (MW) spallation source that could be upgraded to significantly higher powers in the future.

Neutron scattering enables the determination of the positions and motions of atoms in materials, and it has become an increasingly indispensable scientific tool. Over the past decade, they have made invaluable contributions to the understanding and development of many classes of new materials, from high temperature superconductors to fullerenes, a new form of carbon. The information that neutron scattering provides has wide impacts. For example, chemical companies use neutrons to make better fibers, plastics, and catalysts; drug companies use neutrons to design drugs with higher potency and fewer side effects; and automobile manufacturers use the penetrating power of neutrons to understand how to cast and forge gears and brake disks in order to make cars run better and more safely. Furthermore, research on magnetism using neutrons has led to higher strength magnets for more efficient electric generators and motors and to better magnetic materials for magnetic tapes and computer hard drives.

Based on the recommendations of the scientific community obtained via the Russell Panel Report, the SNS is required to operate at an average power on target of at least 1 megawatt (MW); although the designers had aimed for 2 MW, current projections fall between 1 to 2 MW. At this power level, the SNS will be the most powerful spallation source in the world-many times that of ISIS at the Rutherford Laboratory in the United Kingdom. Furthermore, the SNS is specifically designed to take advantage of improvements in technology, new technologies, and additional hardware to permit upgrades to substantially higher power as they become available. Thus, the SNS will be the nation's premiere neutron facility for many decades.

The importance of high power, and consequently high neutron flux (i.e., high neutron intensity), cannot be overstated. The properties of neutrons that make them an ideal probe of matter also require that they

¹ As part of the development of Oak Ridge National Laboratory, other buildings may be located on Chestnut Ridge, which is the site of the SNS and is located just across Bethel Valley Road from improvements planned for the main ORNL campus. For example, the Center for Nanophase Materials Sciences (CNMS) will be located on Chestnut Ridge, because research activities at the CNMS will integrate nanoscale science research with neutron science; synthesis; and theory, modeling, and simulation. The CNMS will be adjacent to the SNS Laboratory – Office Building and will be connected to it by a walkway. See construction project datasheet 03-R-312 for further information on the CNMS.

be generated with high flux. (Neutrons are particles with the mass of the proton, with a magnetic moment, and with no electrical charge.) Neutrons interact with nuclei and magnetic fields; both interactions are extremely weak, but they are known with great accuracy. Because they have spin, neutrons have a magnetic moment and can be used to study magnetic structure and magnetic properties of materials. Because they weakly interact with materials, neutrons are highly penetrating and can be used to study bulk phase samples, highly complex samples, and samples confined in thick-walled metal containers. Because their interactions are weak and known with great accuracy, neutron scattering is far more easily interpreted than either photon scattering or electron scattering. However, the relatively low flux of existing neutron sources and the small fraction of neutrons that get scattered by most materials mean that most measurements are limited by the source intensity.

The pursuit of high-flux neutron sources is more than just a desire to perform experiments faster, although that, of course, is an obvious benefit. High flux enables broad classes of experiments that cannot be done with low-flux sources. For example, high flux enables studies of small samples, complex molecules and structures, time-dependent phenomena, and very weak interactions.

The SNS will consist of a linac-ring accelerator system that delivers short (microsecond) pulses to a target/moderator system where neutrons are produced by a nuclear reaction process called spallation. The process of neutron production in the SNS consists of the following: negatively charged hydrogen ions are produced in an ion source and are accelerated to approximately 1 billion electron volts (GeV) energy in a linear accelerator (linac); the hydrogen ion beam is injected into an accumulator ring through a stripper foil, which strips the electrons off of the hydrogen ions to produce a proton beam; the proton beam is collected and bunched into short pulses in the accumulator ring; and, finally, the proton beam is injected into a heavy metal target at a frequency of up to 60 Hz. The intense proton bursts striking the target produce pulsed neutron beams by the spallation process. The high-energy neutrons so produced are moderated (i.e., slowed down) to reduce their energies, typically by using thermal or cold moderators. The moderated neutron beams are then used for neutron scattering experiments. Specially designed scientific instruments use these pulsed neutron beams for a wide variety of investigations.

The primary objectives in the design of the site and buildings for the SNS are to provide optimal facilities for the DOE and the scientific community for neutron scattering well into the 21st Century and to address the mix of needs associated with the user community, the operations staff, security, and safety.

A research and development (R&D) program is required to ensure technical feasibility and to determine physics design of accelerator and target systems that will meet performance requirements.

The objectives stated above will be met by the technical components described earlier (ion source; linac; accumulator ring; target station with moderators; beam transport systems; and initial experimental equipment necessary to place the SNS in operation) and attendant conventional facilities. As the project design and construction progresses, value engineering analyses and R&D define changes that are applied to the technical baseline to maximize the initial scientific capability of the SNS within the currently established cost and schedule. Thus the SNS project will be considered complete when all capital facilities necessary to achieve the initial baseline goals have been installed and certified to operate safely and properly. In addition, to the extent possible within the total project cost, provisions will be made to facilitate a progression of future improvements and upgrades aimed at keeping SNS at the forefront of neutron scattering science throughout its operating lifetime. Indeed, the current design contains a number of enhancements (e.g. superconducting radiofrequency acceleration, best-in-class instruments, more instrument stations, and higher energy ring) that provide higher performance than the conceptual design that was the basis of initial project approval.

The scientific user community has advised the DOE Office of Basic Energy Sciences that the SNS should keep pace with developments in scientific instruments. Since the average cost for a state-of-the-art instrument has roughly doubled in recent years, SNS has reduced the number of instruments provided within the project TEC. Although this translates into an initial suite of five rather than the ten instruments originally envisioned, the cumulative scientific capability of the SNS has actually increased more than ten-fold. In order to optimize the overall project installation sequence and early experimental operations, three of these instruments will be installed as part of the project; the other two will be completed, with installation occurring during initial low power operations. As with all scientific user facilities such as SNS, additional and even more capable instruments will be installed over the course of its operating lifetime.

Funds appropriated in FY 2002 continue R&D, design, procurement, and construction activities, and to begin component installation. Essentially all R&D supporting construction of the SNS was completed, with instrument R&D continuing. Title II design was completed on the linac, and continued on the ring, target, and instrument systems. The completed ion source and portions of the drift tube linac were delivered to the site and their installation was begun. Other system components for the accelerator, ring, target, and instruments continued to be manufactured. Work on conventional facilities continued, with some reaching completion and being turned over for equipment installation, such as the front end building, and portions of the klystron building and linac tunnel. Construction work began on the ring tunnel.

FY 2003 budget authority is requested to continue instrument R&D and design, procurement, construction, and installation activities, and to begin system commissioning. The ion source will be commissioned, and the drift tube linac will be installed and commissioning begun. Installation of other linac components will proceed and installation of ring components will begin. Target building construction and equipment installation will continue in concert with each other. Numerous conventional facilities, including the klystron, central utilities, and ring service buildings as well as the linac and ring tunnels, will be completed. All site utilities will be available to support linac commissioning activities.

FY 2004 budget authority is requested to continue instrument R&D, design, and procurement. The drift tube linac and coupled cavity linac subsystems will be commissioned. Other commissioning activities will continue in the linac. Cryogenic refrigerator installation and system cool down will be completed, and cryogenic transfer line installation and testing will be completed. Cryogenic module fabrication and installation will continue. The high-energy beam transport installation and testing will be completed. Ring fabrication and assembly activities will continue. Target fabrication and assembly activities will continue. Most buildings will be completed with the exception of ongoing construction work in the target building and the central laboratory and office building.

4. Details of Cost Estimate.

(dollars in thousands)

	Current Estimate	Previous Estimate
Design and Management Costs		
Engineering, design and inspection at approximately 21% of construction costs	159,500	159,500
Construction management at approximately 2% of construction costs.....	14,000	14,000
Project management at approximately 14% of construction costs.....	104,700	104,700
Land and land rights.....	0	0
Construction Costs		
Improvements to land (grading, paving, landscaping, and sidewalks)	31,500	31,500
Buildings.....	196,300	181,600
Utilities (electrical, water, steam, and sewer lines).....	20,900	20,900
Technical Components	507,200	505,500
Standard Equipment.....	17,500	17,500
Major computer items.....	5,500	5,500
Design and project liaison, testing, checkout and acceptance.....	31,000	31,000
Subtotal	1,088,100	1,071,700
Contingencies at approximately 10% of above costs ²	104,600	121,000
Total Line Item Cost.....	1,192,700	1,192,700
Less: Non-Agency Contribution	0	0
Total, Line Item Costs (TEC)	1,192,700	1,192,700

¹ The project is using the appropriated funds included in the TEC to meet or exceed the project performance baseline. The project is also accepting transferred surplus materials and equipment to the extent possible. Examples of the transferred items include ring pumps, lead bricks, concrete blocks, trailers, and furniture. The net book value of surplus materials will be far less than one percent of the TEC over the life of the project. All such transferred materials will be appropriately recorded as non-fund cost and capitalized.

² The contingency, expressed as a percentage of the remaining effort to complete the line item project, is approximately 20%.

5. Method of Performance

The SNS project is being carried out by a partnership of six DOE national laboratories, led by Oak Ridge National Laboratory, as the prime contractor to DOE. The other five laboratories are Argonne, Brookhaven, Lawrence Berkeley, Los Alamos National Laboratories and Thomas Jefferson National Accelerator Facility. Each laboratory is assigned responsibility for accomplishing a well defined portion of the project's scope that takes advantage of their technical strengths: Argonne - Instruments; Brookhaven - Accumulator Ring; Lawrence Berkeley - Front End; Los Alamos – Normal conducting linac and RF power systems; TJNAF – Superconducting Linac; Oak Ridge - Target. Project execution is the responsibility of the SNS Project Director with the support of a central SNS Project Office at ORNL, which provides overall project management, systems integration, ES&H, quality assurance, and commissioning support. The SNS Project Director has authority for directing the efforts at all six partner laboratories and exercises financial control over all project activities. ORNL has subcontracted to an Industry Team that consists of an Architect-Engineer for the conventional facilities design and a Construction Manager for construction installation, equipment procurement, testing and commissioning support. Procurements by all six laboratories will be accomplished, to the extent feasible, by fixed price subcontracts awarded on the basis of competitive bidding.

6. Schedule of Project Funding

(dollars in thousands)

	Prior Year Costs	FY 2002	FY 2003	FY 2004	Outyears	Total
Project Cost						
Facility Cost. ¹						
Line Item TEC.....	313,135	253,059	322,277	176,690	127,539	1,192,700
Plant Engineering & Design	0	0	0	0	0	0
Expense-funded equipment.....	0	0	0	0	0	0
Inventories	0	0	0	0	0	0
Total direct cost	313,135	253,059	322,277	176,690	127,539	1,192,700
Other project costs						
R&D necessary to complete project. ²	73,374	5,409	2,122	1,663	1,156	83,724
Conceptual design cost. ³	14,397	0	0	0	0	14,397
Decontamination & Decommissioning (D&D)	0	0	0	0	0	0
NEPA Documentation costs. ⁴	1,948	10	0	0	0	1,958
Other project-related costs. ⁵	10,531	10,164	13,048	17,376	66,698	117,818
Capital equipment not related construction. ⁶	846	-1	150	107	0	1,103
Total, Other project costs.....	101,097	15,583	15,320	19,146	67,854	219,000
Total project cost (TPC).....	414,232	268,642	337,597	195,836	195,393	1,411,700

1 Construction line item costs included in this budget request are for providing Title I and II design, inspection, procurement, and construction of the SNS facility for an estimated cost of \$1,192,700,000.

2 A research and development program at an estimated cost of \$83,724,000 is needed to confirm several design bases related primarily to the accelerator systems, the target systems, safety analyses, cold moderator designs, and neutron guides, beam tubes, and instruments. Several of these development tasks require long time durations and the timely coupling of development results into the design is a major factor in detailed task planning.

3 Costs of \$14,397,000 are included for conceptual design and for preparation of the conceptual design documentation prior to the start of Title I design in FY 1999.

4 Costs of \$1,958,000 are included for completion of the Environmental Impact Statement.

5 Estimated costs of \$117,818,000 are included to cover pre-operations costs.

6 Estimated costs of \$1,103,000 to provide test facilities and other capital equipment to support the R&D program.

7. Related Annual Funding Requirements.

	(FY 2007 dollars in thousands)	
	Current Estimate	Previous Estimate
Facility operating costs.....	45,700	45,700
Facility maintenance and repair costs.....	24,800	24,800
Programmatic operating expenses directly related to the facility.....	40,000	40,000
Capital equipment not related to construction but related to the programmatic effort in the facility.	11,800	11,800
GPP or other construction related to the programmatic effort in the facility.....	1,000	1,000
Utility costs.....	19,400	19,400
Accelerator Improvement Modifications (AIMs).....	7,300	7,300
Total related annual funding (4Q FY 2006 will begin operations).....	150,000	150,000

During conceptual design of the SNS project, the annual funding requirements were initially estimated based on the cost of operating similar facilities (e.g., ISIS and the Advanced Photon Source) at \$106,700,000. The operating parameters, technical capabilities, and science program are now better defined and the key members of the ORNL team that will operate SNS are now in place. Based on these factors, the SNS Project developed a new estimate of annual operating costs, which was independently reviewed by the Department, and provides the basis of the current estimate indicated above. FY 2007 will be the first full year of operations and this estimate is generally representative of the early period of SNS operations. By the time SNS is fully instrumented and the facility is upgraded to reach its full scientific potential, the annual funding requirements will increase by an additional 10-15 percent.

8. Design and Construction of Federal Facilities

All DOE facilities are designed and constructed in accordance with applicable Public Laws, Executive Orders, OMB Circulars, Federal Property Management Regulations, and DOE Orders. The total estimated cost of the project includes the cost of measures necessary to assure compliance with Executive Order 12088, "Federal Compliance with Pollution Control Standards"; section 19 of the Occupational Safety and Health Act of 1970, the provisions of Executive Order 12196, and the related Safety and Health provisions for Federal Employees (CFR Title 29, Chapter XVII, Part 1960); and the Architectural Barriers Act, Public Law 90-480, and implementing instructions in 41 CFR 101-19.6. This project includes the construction of new buildings and/or building additions; therefore, a review of the GSA Inventory of Federal Scientific Laboratories is required. The project will be located in an area not subject to flooding determined in accordance with the Executive Order 11988.